







THE OUTLINE OF HISTORY  
IN FOUR VOLUMES

VOLUME THREE

*Christendom and the Crusades*

*The Great Empire of Jengis Khan and His Successors*

*The Renascence of Western Civilization*

*Princes, Parliaments, and Powers*

*The New Democratic Republics of America and France*

*The Career of Napoleon Bonaparte*



# THE OUTLINE *of* HISTORY

BEING A PLAIN HISTORY OF LIFE AND MANKIND

BY

H. G. WELLS

WRITTEN ORIGINALLY WITH THE ADVICE  
AND EDITORIAL HELP OF

MR. ERNEST BARKER, SIR H. H. JOHNSTON  
SIR E. RAY LANKESTER, AND PROFESSOR  
GILBERT MURRAY

ILLUSTRATED WITH LINE DRAWINGS BY J. F. HORRABIN, AND  
WITH REPRODUCTIONS OF MANY FAMOUS PAINTINGS



*THE FOURTH EDITION*

REVISED AND REARRANGED BY THE AUTHOR  
(WITH SEVERAL NEW MAPS AND DIAGRAMS)  
IN FOUR VOLUMES

*VOLUME THREE*

THE REVIEW OF REVIEWS COMPANY  
PUBLISHERS NEW YORK

1925

Published by arrangement with The Macmillan Company  
*ALL RIGHTS RESERVED*

COPYRIGHT, 1920  
By THE MACMILLAN COMPANY

---

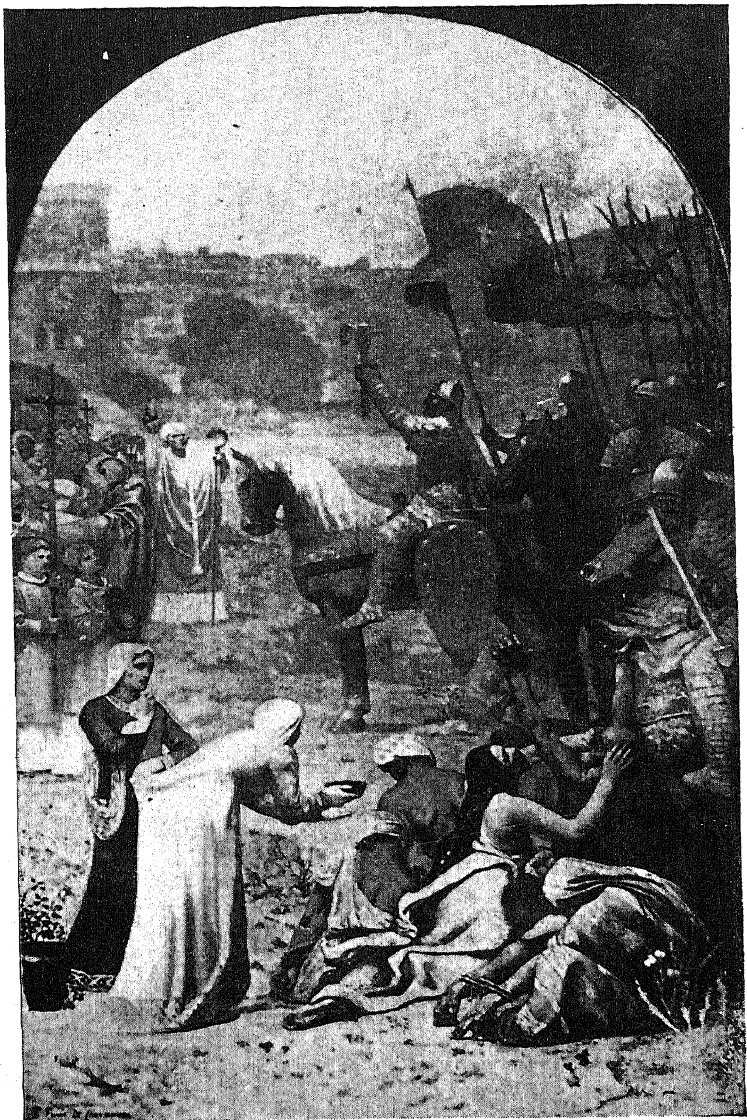
COPYRIGHT, 1920, 1921 AND 1922  
By H. G. WELLS

---

Set up and electrotyped. Published November, 1920  
Third Edition revised and rearranged September, 1921  
Fourth Edition revised and rearranged November, 1922

---

PRINTED IN THE UNITED STATES OF AMERICA



#### CHARLES MARTEL AT POITIERS

This defeat of the Moorish invaders, 732, checked them forever at the Pyrenees and gave the Frank leader the name of "Martel," the Hammer. (Painting by Puvis de Chavannes)



#### CRUSADERS ENTERING CONSTANTINOPLE

From the painting by Delacroix. The forces of the Fourth Crusade stormed and captured the city in April, 1204, Baldwin of Flanders becoming Emperor

## XXXII

### CHRISTENDOM AND THE CRUSADES

- § 1. *The Western World at its Lowest Ebb.* § 2. *The Feudal System.* § 3. *The Frankish Kingdom of the Merovingians.* § 4. *The Christianization of the Western Barbarians.* § 5. *Charlemagne becomes Emperor of the West.* § 6. *The Personality of Charlemagne.* § 7. *The French and the Germans become Distinct.* § 8. *The Normans, the Saracens, the Hungarians, and the Seljuk Turks.* § 9. *How Constantinople Appealed to Rome.* § 10. *The Crusades.* § 11. *The Crusades a test of Christianity.* § 12. *The Emperor Frederick II.* § 13. *Defects and Limitations of the Papacy.* § 14. *A List of Leading Popes.*

#### § 1

LET us turn again now from this intellectual renaissance in the cradle of the ancient civilizations to the affairs of the Western world. We have described the complete economic, social, and political break up of the Roman imperial system in the west, the confusion and darkness that followed in the sixth and seventh centuries, and the struggles of such men as Cassiodorus to keep alight the flame of human learning amidst these windy confusions. For a time it would be idle to write of states and rulers. Smaller or greater adventurers seized a castle or a countryside and ruled an uncertain area. The British Islands, for instance, were split up amidst a multitude of rulers; numerous Keltic chiefs in Ireland and Scotland and Wales and Cornwall fought and prevailed over and succumbed to each other; the English invaders were also divided into a number of fluctuating "kingdoms," Kent, Wessex, Essex, Sussex, Mercia,



Northumbria, and East Anglia, which were constantly at war with one another. So it was over most of the Western world. Here a bishop would be the monarch, as Gregory the Great was in Rome; here a town or a group of towns would be under the rule of the duke or prince of this or that. Amidst the vast ruins of the city of Rome half-independent families of quasi-noble adventurers and their retainers maintained themselves. The Pope kept a sort of general predominance there, but he was sometimes more than balanced by a "Duke of Rome." The great arena of the Colosseum had been made into a privately-owned castle, and so too had the vast circular tomb of the Emperor Hadrian; and the adventurers who had possession of these strongholds and their partisans waylaid each other and fought and bickered in the ruinous streets of the once imperial city. The tomb of Hadrian was known after the days of Gregory the Great as the Castle of St. Angelo, the Castle of the Holy Angel, because when he was crossing the bridge over the Tiber on his way to St. Peter's to pray against the great pestilence which was devastating the city, he had had a vision of a great angel standing over the dark mass of the mausoleum and sheathing a sword, and he had known then that his prayers would be answered. This Castle of St. Angelo played a very important part in Roman affairs during this age of disorder.

Spain was in much the same state of political fragmentation as Italy or France or Britain; and in Spain the old feud of Carthaginian and Roman was still continued in the bitter hostility of their descendants and heirs, the Jew and the Christian. So that when the power of the Caliph had swept along the North African coast to the Straits of Gibraltar, it found in the Spanish Jews ready helpers in its invasion of Europe. A Moslem army of Arabs and of Berbers, the nomadic Hamitic people of the African desert and mountain hinterland who had been converted to Islam, crossed and defeated the West Goths in a great battle in 711. In a few years the whole country was in their possession.

In 720 Islam had reached the Pyrenees, and had pushed round their eastern end into France; and for a time it

seemed that the faith was likely to subjugate Gaul as easily as it had subjugated the Spanish peninsula. But presently it struck against something hard, a new kingdom of the Franks, which had been consolidating itself for some two centuries in the Rhineland and North France.

Of this Frankish kingdom, the precursor of France and Germany, which formed the western bulwark of Europe against the faith of Muhammad, as the Byzantine empire behind the Taurus Mountains formed the eastern, we shall now have much to tell; but first we must give some account of the new system of social groupings out of which it arose.

## § 2

It is necessary that the reader should have a definite idea of the social condition of western Europe in the eighth century. It was not a barbarism. Eastern Europe was still barbaric and savage; things had progressed but little beyond the state of affairs described by Gibbon in his account of the mission of Priscus to Attila (see p. 575). But western Europe was a shattered civilization, without law, without administration, with roads destroyed and education disorganized but still with great numbers of people with civilized ideas and habits and traditions. It was a time of confusion, of brigandage, of crimes unpunished and universal insecurity. It is very interesting to trace how out of the universal mêlée, the beginnings of a new order appeared. In a modern breakdown there would probably be the formation of local vigilance societies, which would combine and restore a police administration and a roughly democratic rule. But in the broken-down western empire of the sixth, seventh, and eighth centuries, men's ideas turned rather to leaders than to committees, and the centres about which affairs crystallized were here barbaric chiefs, here a vigorous bishop or some surviving claimant to a Roman official position, here a long-recognized landowner or man of ancient family, and here again some vigorous usurper of power. No solitary man was safe. So men were forced to link themselves with

others, preferably people stronger than themselves. The lonely man chose the most powerful and active person in his district and became *his* man. The freeman or the weak lordling of a petty territory linked himself to some more powerful lord. The protection of that lord (or the danger of his hostility) became more considerable with every such accession. So very rapidly there went on a process of political crystallization in the confused and lawless sea into which the Western Empire had liquefied. These natural associations and alliances of protector and subordinates grew very rapidly into a system, *the feudal system*, traces of which are still to be found in the social structure of every European community west of Russia.

This process speedily took on technical forms and laws of its own. In such a country as Gaul it was already well in progress in the days of insecurity *before* the barbarian tribes broke into the empire as conquerors. The Franks when they came into Gaul brought with them an institution, which we have already noted in the case of the Macedonians, and which was probably of very wide distribution among the Nordic people, the gathering about the chief or war king of a body of young men of good family, the companions or *comitatus*, his counts or captains. It was natural in the case of invading peoples that the relations of a weak lord to a strong lord should take on the relations of a count to his king, and that a conquering chief should divide seized and confiscated estates among his companions. From the side of the decaying empire there came to feudalism the idea of the grouping for mutual protection of men and estates; from the Teutonic side came the notions of knightly association, devotion, and personal service. The former was the economic side of the institution, the latter the chivalrous.

The analogy of the aggregation of feudal groupings with crystallization is a very close one. As the historian watches the whirling and eddying confusion of the fourth and fifth century in Western Europe, he begins to perceive the appearance of these pyramidal growths of heads and subordinates and sub-subordinates, which jostle against one another,

branch, dissolve again, or coalesce. "We use the term 'feudal system' for convenience sake, but with a degree of impropriety if it conveys the meaning 'systematic.' Feudalism in its most flourishing age was anything but systematic. It was confusion roughly organized. Great diversity prevailed everywhere, and we should not be surprised to find some different fact or custom in every lordship. Anglo-Nor-



man feudalism attained in the eleventh and twelfth centuries a logical completeness and a uniformity of practice which, in the feudal age proper, can hardly be found elsewhere through so large a territory. . . .

"The foundation of the feudal relationship proper was the *fief*, which was usually land, but might be any desirable thing, as an office, a revenue in money or kind, the right to

collect a toll, or operate a mill. In return for the fief, the man became the *vassal* of his lord; he knelt before him, and, with his hands between his lord's hands, promised him fealty and service. . . . The faithful performance of all the duties he had assumed in homage constituted the vassal's right and title to his fief. So long as they were fulfilled, he, and his heir after him, held the fief as his property, practically and in relation to all under-tenants as if he were the owner. In the ceremony of homage and investiture, which is the creative contract of feudalism, the obligations assumed by the two parties were, as a rule, not specified in exact terms. They were determined by local custom. . . . In many points of detail the vassal's services differed widely in different parts of the feudal world. We may say, however, that they fall into two classes, general and specific. The general included all that might come under the idea of loyalty, seeking the lord's interests, keeping his secrets, betraying the plans of his enemies, protecting his family, etc. The specific services are capable of more definite statement, and they usually received exact definition in custom and sometimes in written documents. The most characteristic of these was the military service, which included appearance in the field on summons with a certain force, often armed in a specified way, and remaining a specified length of time. It often included also the duty of guarding the lord's castle, and of holding one's own castle subject to the plans of the lord for the defence of his fief. . . .

"Theoretically regarded, feudalism covered Europe with a network of these fiefs, rising in graded ranks one above the other from the smallest, the knight's fee, at the bottom, to the king at the top, who was the supreme landowner, or who held the kingdom from God. . . ." <sup>1</sup>

But this was the theory that was super-imposed upon the established facts. The reality of feudalism was its voluntary co-operation.

"The feudal state was one in which, it has been said,

<sup>1</sup> *Encyclopædia Britannica*, article "Feudalism," by Professor G. B. Adams.

private law had usurped the place of public law." But rather is it truer that public law had failed and vanished and private law had come in to fill the vacuum. Public duty had become private obligation.

### § 3

We have already mentioned various kingdoms of the barbarian tribes who set up a more or less flimsy dominion over this or that area amidst the debris of the empire, the kingdoms of the Suevi and West Goths in Spain, the East-Gothic kingdom in Italy, and the Italian Lombard kingdom which succeeded the Goths after Justinian had expelled the latter and after the great pestilence had devastated Italy. The Frankish kingdom was another such barbarian power which arose first in what is now Belgium, and which spread southward to the Loire, but it developed far more strength and solidarity than any of the others. It was the first real state to emerge from the universal wreckage. It became at last a wide and vigorous political reality, and from it are derived two great powers of modern Europe, France and the German Empire. Its founder was Clovis (481-511), who began as a small king in Belgium and ended with his southern frontiers nearly at the Pyrenees. He divided his kingdom among his four sons, but the Franks retained a tradition of unity in spite of this division, and for a time fraternal wars for a single control united rather than divided them. A more serious split arose, however, through the Latinization of the Western Franks, who occupied Romanized Gaul and who learnt to speak the corrupt Latin of the subject population, while the Franks of the Rhineland retained their Low German speech. At a low level of civilization, differences in language cause very powerful political strains. For a hundred and fifty years the Frankish world was split in two, Neustria, the nucleus of France, speaking a Latinish speech, which became at last the French language we know, and Austrasia, the Rhineland, which remained German.<sup>1</sup>

<sup>1</sup> The Franks differed from the Swabians and South Germans, and

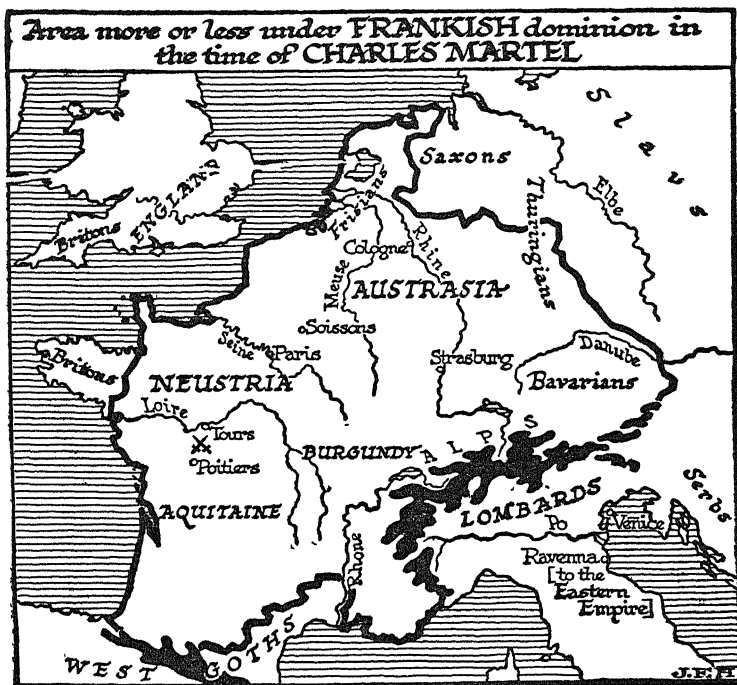
We will not tell here of the decay of the dynasty, the Merovingian dynasty, founded by Clovis; nor how in Austrasia a certain court official, the Mayor of the Palace, gradually became the king *de facto* and used the real king as a puppet. The position of Mayor of the Palace also became hereditary in the seventh century, and in 687 a certain Pepin of Heristhal, the Austrasian Mayor of the Palace, had conquered Neustria and reunited all the Franks. He was followed in 714 by his son, Charles Martel, who also bore no higher title than mayor of the palace. (His poor little Merovingian kings do not matter in the slightest degree to us here.) It was this Charles Martel who stopped the Moslems. They had pushed as far as Tours when he met them, and in a great battle between that place and Poitiers (732) utterly defeated them and broke their spirit. Thereafter the Pyrenees remained their utmost boundary; they came no further into Western Europe.

Charles Martel divided his power between two sons, but one resigned and went into a monastery, leaving his brother Pepin sole ruler. This Pepin it was who finally extinguished the descendants of Clovis. He sent to the Pope to ask who was the true king of the Franks, the man who held the power or the man who wore the crown; and the Pope, who was in need of a supporter, decided in favour of the Mayor of the Palace. So Pepin was chosen king at a gathering of the Frankish nobles in the Merovingian capital Soissons, and anointed and crowned. That was in 751. The Franco-Germany he united was consolidated by his son Charlemagne. It held together until the death of his grandson Louis (840), and then France and Germany broke

came much nearer the Anglo-Saxons in that they spoke a "Low German" and not a "High German" dialect. Their language resembled *plattdeutsch* and Anglo-Saxon, and was the direct parent of Dutch and Flemish. In fact, the Franks where they were not Latinized became Flemings and "Dutchmen" of South Holland (North Holland is still *Friesisch*—i. e. Anglo-Saxon). The "French" which the Latinized Franks and Burgundians spoke in the seventh to the tenth centuries was remarkably like the *Rumansch* language of Switzerland, judging from the vestiges that remain in old documents.—H. H. J.

away again—to the great injury of mankind. It was not a difference of race or temperament, it was a difference of language and tradition that split these Frankish peoples asunder.

That old separation of Neustria and Austrasia still works out in bitter consequences. In 1916 the ancient conflict of



Neustria and Austrasia had broken out into war once more. In the August of that year the present writer visited Soissons, and crossed the temporary wooden bridge that had been built by the English after the Battle of the Aisne from the main part of the town to the suburb of Saint Médard. Canvas screens protected passengers upon the bridge from the observation of the German sharpshooters who were sniping from their trenches down the curve of the river. He



went with his guides across a field and along by the wall of an orchard in which a German shell exploded as he passed. So he reached the battered buildings that stand upon the site of the ancient abbey of St. Médard, in which the last Merovingian was deposed and Pepin the Short was crowned in his stead. Beneath these ancient buildings there were great crypts, very useful as dug-outs—for the German advanced lines were not more than a couple of hundred yards away. The sturdy French soldier lads were cooking and resting in these shelters, and lying down to sleep among the stone coffins that had held the bones of their Merovingian kings.

#### § 4

The populations over which Charles Martel and King Pepin ruled were at very different levels of civilization in different districts. To the west and south the bulk of the people consisted of Latinized and Christian Kelts; in the central regions these rulers had to deal with such more or less Christianized Germans as the Franks and Burgundians and Alemanni; to the north-east were still pagan Frisians and Saxons; to the east were the Bavarians, recently Christianized through the activities of St. Boniface; and to the east of them again pagan Slavs and Avars. The "Paganism" of the Germans and Slavs was very similar to the primitive religion of the Greeks; it was a manly religion in which temple, priest, and sacrifices played a small part, and its gods were like men, a kind of "school prefects" of more powerful beings who interfered impulsively and irregularly in human affairs. The Germans had a Jupiter in Odin, a Mars in Thor, a Venus in Freya, and so on. Throughout the seventh and eighth centuries a steady process of conversion to Christianity went on amidst these German and Slavonic tribes.

It will be interesting to English-speaking readers to note that the most zealous and successful missionaries among the Saxons and Frisians came from England. Christianity was

twice planted in the British Isles. It was already there while Britain was a part of the Roman Empire; a martyr, St. Alban, gave his name to the town of St. Albans, and nearly every visitor to Canterbury has also visited little old St. Martin's church, which was used during the Roman times. From Britain, as we have already said, Christianity spread beyond the imperial boundaries into Ireland—the chief missionary was St. Patrick—and there was a vigorous monastic movement with which are connected the names of St. Columba and the religious settlements of Iona. Then in the fifth and sixth centuries came the fierce and pagan English, and they cut off the early Church of Ireland from the main body of Christianity. In the seventh century Christian missionaries were converting the English, both in the north from Ireland and in the south from Rome. The Rome mission was sent by Pope Gregory the Great just at the close of the sixth century. The story goes that he saw English boys for sale in the Roman slave market, though it is a little difficult to understand how they got there. They were very fair and good-looking. In answer to his inquiries, he was told that they were Angles. "Not Angles, but Angels," said he, "had they but the gospel."

The mission worked through the seventh century. Before that century was over, most of the English were Christians; though Mercia, the central English kingdom, held out stoutly against the priests and for the ancient faith and ways. And there was a swift progress in learning upon the part of these new converts. The monasteries of the kingdom of Northumbria in the north of England became a centre of light and learning. Theodore of Tarsus was one of the earliest archbishops of Canterbury (669–690). "While Greek was utterly unknown in the west of Europe, it was mastered by some of the pupils of Theodore. The monasteries contained many monks who were excellent scholars. Most famous of all was Bede, known as the Venerable Bede (673–735), a monk of Jarrow (on Tyne). He had for his pupils the six hundred monks of that monastery, besides the many strangers who came to hear him:

He gradually mastered all the learning of his day, and left at his death forty-five volumes of his writings, the



most important of which are 'The Ecclesiastical History of the English' and his translation of the Gospel of John into English. His writings were widely known and used through-

out Europe. He reckoned all dates from the birth of Christ, and through his works the use of Christian chronology became common in Europe. Owing to the large number of monasteries and monks in Northumbria, that part of England was for a time far in advance of the south in civilization."<sup>1</sup>

In the seventh and eighth centuries we find the English missionaries active upon the eastern frontiers of the Frankish kingdom. Chief among these was St. Boniface (680-755), who was born at Crediton, in Devonshire, who converted the Frisians, Thuringians, and Hessians, and who was martyred in Holland.

Both in England and on the Continent the ascendant rulers seized upon Christianity as a unifying force to cement their conquests. Christianity became a banner for aggressive chiefs—as it did in Uganda in Africa in the bloody days before that country was annexed to the British Empire. After Pepin, who died in 768, came two sons, Charles and another, who divided his kingdom; but the brother of Charles died in 771, and Charles then became sole king (771-814) of the growing realm of the Franks. This Charles is known in history as Charles the Great, or Charlemagne. As in the case of **Alexander the Great and Julius Cæsar**, posterity has enormously exaggerated his memory. He made his wars of aggression definitely religious wars. All the world of north-western Europe, which is now Great Britain, France, Germany, Denmark, and Norway and Sweden, was in the ninth century an arena of bitter conflict between the old faith and the new. Whole nations were converted to Christianity by the sword just as Islam in Arabia, Central Asia, and Africa had converted whole nations a century or so before.

With fire and sword Charlemagne preached the Gospel of the Cross to the Saxons, Bohemians, and as far as the Danube into what is now Hungary; he carried the same teaching down the Adriatic Coast through what is now Dalmatia.

<sup>1</sup> *A General History of Europe*, Thatcher and Schwill.

and drove the Moslems back from the Pyrenees as far as Barcelona.

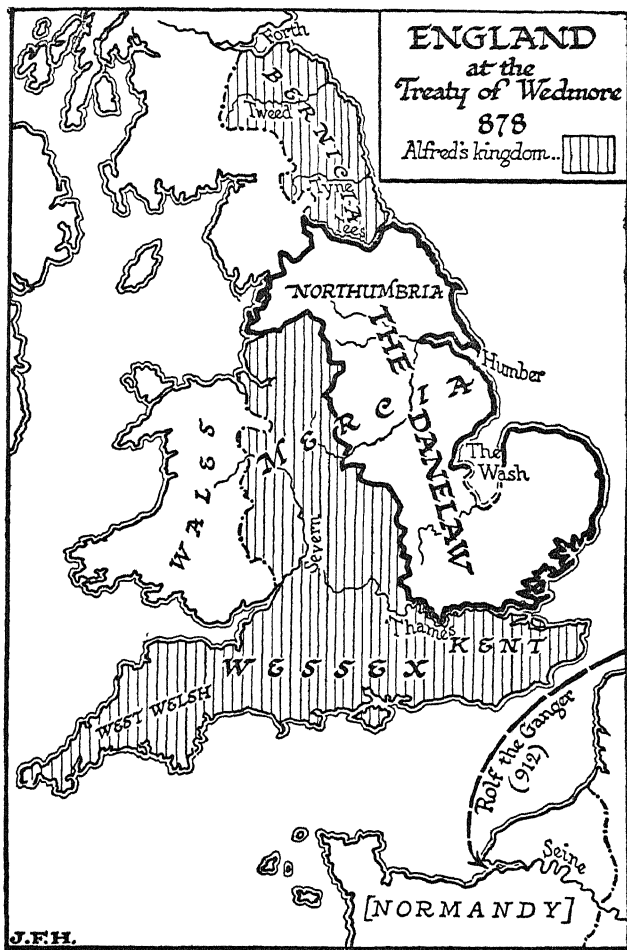
Moreover, he it was who sheltered Egbert, an exile from Wessex, in England, and assisted him presently to establish himself as King in Wessex (802). Egbert subdued the Britons in Cornwall, as Charlemagne conquered the Britons of Brittany, and, by a series of wars, which he continued after the death of his Frankish patron, made himself at last the first King of all England (828).

But the attacks of Charlemagne upon the last strongholds of paganism provoked a vigorous reaction on the part of the unconverted. The Christianized English had retained very little of the seamanship that had brought them from the mainland, and the Franks had not yet become seamen. As the Christian propaganda of Charlemagne swept towards the shores of the North and Baltic Seas, the pagans were driven to the sea. They retaliated for the Christian persecutions with plundering raids and expeditions against the northern coasts of France and against Christian England. These pagan Saxons and English of the mainland and their kindred from Denmark and Norway are the Danes and Northmen of our national histories. They were also called Vikings,<sup>1</sup> which means "inlet-men," because they came from the deep inlets of the Scandinavian coast. They came in long black galleys, making little use of sails. Most of our information about these wars and invasions of the pagan Vikings is derived from Christian sources, and so we have abundant information of the massacres and atrocities of their raids and very little about the cruelties inflicted upon their pagan brethren, the Saxons, at the hands of Charlemagne. Their animus against the cross and against monks and nuns was extreme. They delighted in the burning of monasteries and nunneries and the slaughter of their inmates.

Throughout the period between the fifth and the ninth centuries these Vikings or Northmen were learning seamanship, becoming bolder, and ranging further. They braved the northern seas until the icy shores of Greenland were a

<sup>1</sup> N. B.—Vik-ings, not Vi-kings, Vik = a fiord or inlet.

familiar haunt, and by the ninth century they had settlements (of which Europe in general knew nothing) in



'America. In the tenth and eleventh centuries many of their sagas began to be written down in Iceland. They saw the world in terms of valiant adventure. They assailed the

walrus, the bear, and the whale. In their imaginations, a great and rich city to the south, a sort of confusion of Rome and Byzantium, loomed large. They called it "Miklagård" ("Michael's court") or Micklegarth. The magnetism of Micklegarth was to draw the descendants of these Northmen down into the Mediterranean by two routes, by the west and also across Russia from the Baltic, as we shall tell later. By the Russian route went also the kindred Swedes.

So long as Charlemagne and Egbert lived, the Vikings were no more than raiders; but as the ninth century wore on, these raids developed into organized invasions. In several districts of England the hold of Christianity was by no means firm as yet. In Mercia in particular the pagan Northmen found sympathy and help. By 886 the Danes had conquered a fair part of England, and the English king, Alfred the Great, had recognized their rule over their conquests, the Dane-law, in the pact he made with Guthrum their leader. A little later, in 912, another expedition under Rolf the Ganger established itself upon the coast of France in the region that was known henceforth as Normandy (= Northman-dy). But of how there was presently a fresh conquest of England by the Danes, and how finally the Duke of Normandy became King of England, we cannot tell at any length. There were very small racial and social differences between Angle, Saxon, Jute, Dane, or Norman; and though these changes loom large in the imaginations of the English, they are seen to be very slight ruffings indeed of the stream of history when we measure them by the standards of a greater world. The issue between Christianity and paganism vanished presently from the struggle. By the Treaty of Wedmore the Danes agreed to be baptized if they were assured of their conquests; and the descendants of Rolf in Normandy were not merely Christianized, but they learnt to speak French from the more civilized people about them, forgetting their own Norse tongue. Of much greater significance in the history of mankind are the relations of Charlemagne with his neighbours to the south and east, and to the imperial tradition.

## § 5

Through Charlemagne the tradition of the Roman Cæsar was revived in Europe. The Roman Empire was dead and decaying; the Byzantine Empire was far gone in decline; but the education and mentality of Europe had sunken to a level at which new creative political ideas were probably impossible. In all Europe there survived not a tithe of the speculative vigour that we find in the Athenian literature of the fifth century B. C. There was no power to postulate a new occasion or to conceive and organize a novel political method. Official Christianity had long overlaid and accustomed itself to ignore these strange teachings of Jesus of Nazareth from which it had arisen. The Roman Church, clinging tenaciously to its possession of the title of *pontifex maximus*, had long since abandoned its appointed task of achieving the Kingdom of Heaven. It was preoccupied with the revival of Roman ascendancy on earth, which it conceived of as its inheritance. It had become a political body, using the faith and needs of simple men to forward its schemes. Europe drifted towards a dreary imitation and revival of the misconceived failures of the past. For eleven centuries from Charlemagne onwards, "Emperors" and "Cæsars" of this line and that come and go in the history of Europe like fancies in a disordered mind. We shall have to tell of a great process of mental growth in Europe, of enlarged horizons and accumulating power, but it was a process that went on independently of, and in spite of, the political forms of the time, until at last it shattered those forms altogether. Europe during those eleven centuries of the imitation Cæsars which began with Charlemagne, and which closed only in the monstrous bloodshed of 1914-1918, has been like a busy factory owned by a somnambulist, who is sometimes quite unimportant and sometimes disastrously in the way. Or rather than a somnambulist, let us say by a corpse that magically simulates a kind of life. The Roman Empire staggers, sprawls,



is thrust off the stage, and reappears, and—if we may carry the image one step further—it is the Church of Rome which plays the part of the magician and keeps this corpse alive.

And throughout the whole period there is always a struggle going on for the control of the corpse between the spiritual and various temporal powers. We have already noted the spirit of St. Augustine's *City of God*. It was a book which we know Charlemagne read, or had read to him—for his literary accomplishments are rather questionable. He conceived of this Christian Empire as being ruled and maintained in its orthodoxy by some such great Cæsar as himself. He was to rule even the Pope. But at Rome the view taken of the revived empire differed a little from that. There the view taken was that the Christian Cæsar must be anointed and guided by the Pope—who would even have the power to excommunicate and depose him. Even in the time of Charlemagne this divergence of view was apparent. In the following centuries it became acute.

The idea of the revived Empire dawned only very gradually upon the mind of Charlemagne. At first he was simply the ruler of his father's kingdom of the Franks, and his powers were fully occupied in struggles with the Saxons and Bavarians, and with the Slavs to the east of them, with the Moslem in Spain, and with various insurrections in his own dominions. And as the result of a quarrel with the King of Lombardy, his father-in-law, he conquered Lombardy and North Italy. We have noted the establishment of the Lombards in North Italy about 570 after the great pestilence, and after the overthrow of the East Gothic kings by Justinian. These Lombards had always been a danger and a fear to the Popes, and there had been an alliance between Pope and Frankish King against them in the time of Pepin. Now Charlemagne completely subjugated Lombardy (774), sent his father-in-law to a monastery, and carried his conquests beyond the present north-eastern boundaries of Italy into Dalmatia in 776. In 781 he caused one of his sons, Pepin, who did not outlive him, to be crowned King of Italy in Rome.

There was a new Pope, Leo III, in 795, who seems from the first to have resolved to make Charlemagne emperor. Hitherto the court at Byzantium had possessed a certain indefinite authority over the Pope. Strong emperors like Justinian had bullied the Popes and obliged them to come to Constantinople; weak emperors had annoyed them ineffectively. The idea of a breach, both secular and religious, with Constantinople had long been entertained at the Lateran,<sup>1</sup> and in the Frankish power there seemed to be just the support that was necessary if Constantinople was to be defied. So at his accession Leo III sent the keys of the tomb of St. Peter and a banner to Charlemagne as the symbols of his sovereignty in Rome as King of Italy. Very soon the Pope had to appeal to the protection he had chosen. He was unpopular in Rome; he was attacked and ill-treated in the streets during a procession, and obliged to fly to Germany (799). Eginhard says his eyes were gouged out and his tongue cut off; he seems, however, to have had both eyes and tongue again a year later. Charlemagne brought him back and reinstated him (800).

Then occurred a very important scene. On Christmas Day, in the year 800, as Charles was rising from prayer in the Church of St. Peter, the Pope, who had everything in readiness, clapped a crown upon his head and hailed him Cæsar and Augustus. There was great popular applause. But Eginhard, the friend and biographer of Charlemagne, says that the new emperor was by no means pleased by this coup of Pope Leo's. If he had known this was to happen, he said, "he would not have entered the church, great festival though it was." No doubt he had been thinking and talking of making himself emperor, but he had evidently not intended that the Pope should make him emperor. He had had some idea of marrying the Empress Irene, who at that time reigned in Constantinople, and so becoming monarch of both Eastern and Western Empires. He was now obliged to accept the title in the manner that Leo III had

<sup>1</sup> The Lateran was the earlier palace of the Popes in Rome. Later they occupied the Vatican.

adopted as a gift from the Pope, and in a way that estranged Constantinople and secured the separation of Rome from the Byzantine Church.

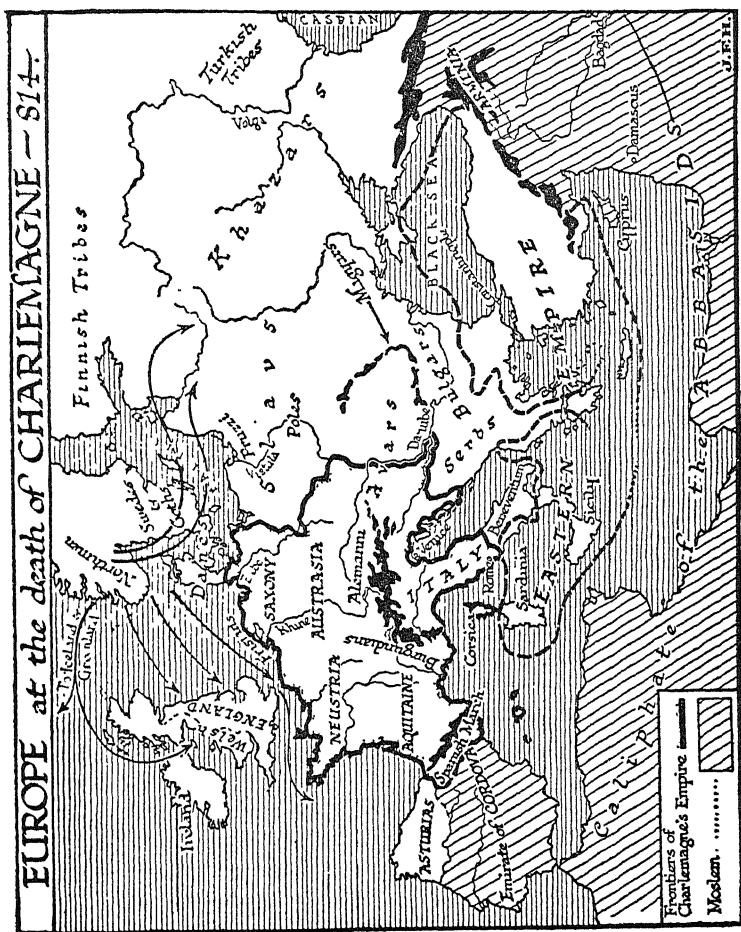
At first Byzantium was unwilling to recognize the imperial title of Charlemagne. But in 810 a great disaster fell upon the Byzantine Empire. The pagan Bulgarians, under their Prince Krum (802-814), defeated and destroyed the armies of the Emperor Nicephorus, whose skull became a drinking-cup for Krum. The greater part of the Balkan peninsula was conquered by these people. (The Bulgarian and the English nations thus became established as political unities almost simultaneously.) After this misfortune Byzantium was in no position to dispute this revival of the empire in the West, and in 812 Charlemagne was formally recognized by Byzantine envoys as Emperor and Augustus.

So the Empire of Rome, which had died at the hands of Odoacer in 476, rose again in 800 as the "Holy Roman Empire." While its physical strength lay north of the Alps, the centre of its idea was Rome. It was therefore from the beginning a divided thing of uncertain power: a claim and an argument rather than a necessary reality. The German sword was always clattering over the Alps into Italy, and missions and legates toiling over in the reverse direction. But the Germans could never hold Italy permanently, because they could not stand the malaria that the ruined, neglected, undrained country fostered. And in Rome, as well as in several other of the cities of Italy, there smouldered a more ancient tradition, the tradition of the aristocratic republic, hostile to both Emperor and Pope.

## § 6

In spite of the fact that we have a life of him written by his contemporary, Eginhard,<sup>1</sup> the character and personality of Charlemagne are difficult to visualize. Eginhard lacks vividness; he tells many particulars, but not the particulars that make a man live again in the record. Charlemagne,

<sup>1</sup> Eginhard's *Life of Karl the Great*. (Glaister.)



he says, was a tall man, with a rather feeble voice; and he had bright eyes and a long nose. "The top of his head was round," whatever that may mean, and his hair was "white." He had a thick, rather short neck, and "his belly too prominent." He wore a tunic with a silver border, and gartered hose. He had a blue cloak, and was always girt with his sword, hilt and belt being of gold and silver. He was evidently a man of great activity, one imagines him moving quickly, and his numerous love affairs did not interfere at all with his incessant military and political labours. He had numerous wives and mistresses. He took much exercise, was fond of pomp and religious ceremonies, and gave generously. He was a man of very miscellaneous activity and great intellectual enterprise, and with a self-confidence that is rather suggestive of William II, the ex-German Emperor, the last, perhaps for ever, of this series of imitation Cæsars in Europe which Charlemagne began.

The mental life that Eginhard records of him is interesting, because it not only gives glimpses of a curious character, but serves as a sample of the intellectuality of the time. He could read probably; at meals he "listened to music or reading," but we are told that he had not acquired the art of writing; "he used to keep his writing-book and tablets under his pillow, that when he had leisure he might practise his hand in forming letters, but he made little progress in an art begun too late in life." He had, however, a real respect for learning and a real desire for knowledge, and he did his utmost to attract men of learning to his court. Among others who came was Alcuin, a learned Englishman. All those learned men were, of course, clergymen, there being no other learned men, and naturally they gave a strongly clerical tinge to the information they imparted to their master. At his court, which was usually at Aix-la-Chapelle or Mayence, he maintained in the winter months a curious institution called his "school," in which he and his erudite associates affected to lay aside all thoughts of worldly position, assumed names taken from the classical writers or from Holy Writ, and discoursed upon theology and

literature. Charlemagne himself was "David." He developed a considerable knowledge of theology, and it is to him that we must ascribe the addition of the words *filio que* to the Nicene Creed, an addition that finally split the Latin and Greek Churches asunder. But it is more than doubtful if he had any such separation in mind. He wanted to add a word or so to the creed, just as the Emperor William II wanted to write operas and paint pictures,<sup>1</sup> and he took up what was originally a Spanish innovation.

Of his organization of his empire there is little to be said here. He was far too restless and busy to consider the quality of his successor or the condition of political stability, and the most noteworthy thing in this relationship is that he particularly schooled his son and successor, Louis the Pious (814-840), to take the crown from the altar and *crown himself*. But Louis the Pious was too pious to adhere to those instructions when the Pope made an objection.

The legislation of Charlemagne was greatly coloured by Bible reading; he knew his Bible well, as the times went; and it is characteristic of him that after he had been crowned emperor he required every male subject above the age of twelve to renew his oath of allegiance, and to undertake to be not simply a good subject, but a good Christian. To refuse baptism and to retract after baptism were crimes punishable by death. He did much to encourage architecture, and imported many Italian architects, chiefly from Ravenna, to whom we owe many of the pleasant Byzantine buildings that still at Worms and Cologne and elsewhere delight the tourist in the Rhineland. He founded a number of cathedrals and monastic schools, did much to encourage the study of classical Latin, and was a distinguished amateur of church music. The possibility of his talking Latin and understanding Greek is open to discussion; probably he talked French-Latin. Frankish, however, was his habitual tongue. He made a collection of old German

<sup>1</sup> The addition was discreetly opposed by Leo III. "In the correspondence between them the Pope assumes the liberality of a statesman and the prince descends to the prejudice and passions of a priest."—Gibbon, chap. lx.

songs and tales, but these were destroyed by his successor Louis the Pious on account of their paganism.

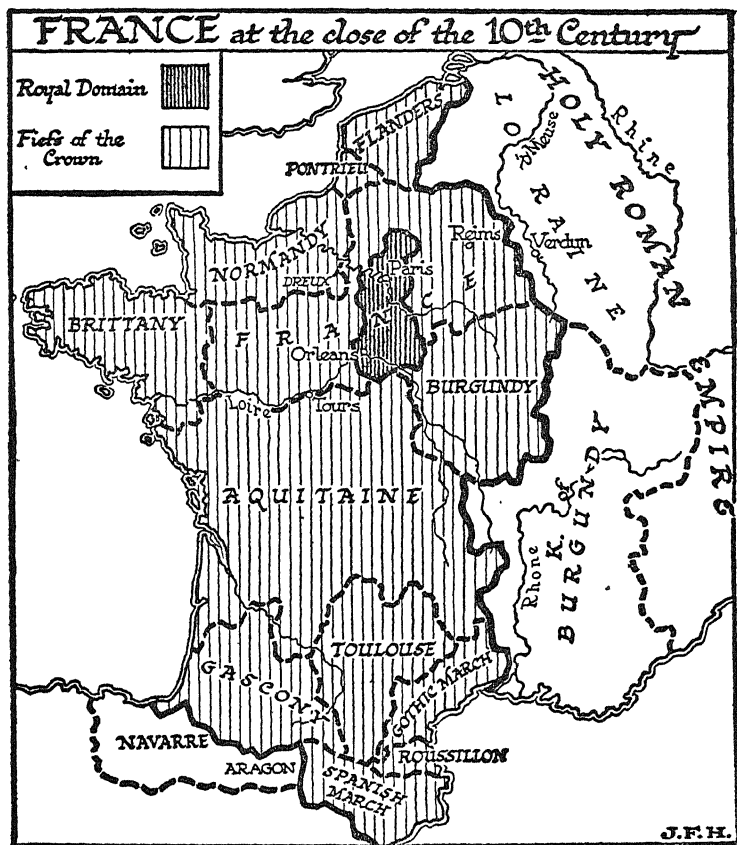
He corresponded with Haroun-al-Raschid, the Abbasid Caliph at Bagdad, who was not perhaps the less friendly to him on account of his vigorous handling of the Omayyad Arabs in Spain. Gibbon supposes that this "public correspondence was founded on vanity," and that "their remote situation left no room for a competition of interest." But with the Byzantine Empire between them in the East, and the independent caliphate of Spain in the West, and a common danger in the Turks of the great plains, they had three very excellent reasons for cordiality. Haroun-al-Raschid, says Gibbon, sent Charlemagne by his ambassadors a splendid tent, a water clock, an elephant, and the keys of the Holy Sepulchre. The last item suggests that Charlemagne was to some extent regarded by the Saracen monarch as the protector of the Christians and Christian properties in his dominions. Some historians declare explicitly that there was a treaty to that effect.

## § 7

The Empire of Charlemagne did not outlive his son and successor, Louis the Pious. It fell apart into its main constituents. The Latinized Keltic and Frankish population of Gaul begins now to be recognizable as France, though this France was broken up into a number of dukedoms and principalities, often with no more than a nominal unity; and German-speaking peoples between the Rhine and the Slavs to the east similarly begin to develop an even more fragmentary intimation of Germany. When at length a real emperor reappears in Western Europe (962), he is not a Frank, but a Saxon; the conquered in Germany have become the masters.

It is impossible here to trace the events of the ninth and tenth centuries in any detail, the alliances, the treacheries, the claims and acquisitions. Everywhere there was lawlessness, war, and a struggle for power. In 987 the nominal

kingdom of France passed from the hands of the Carolingians, the last descendants of Charlemagne, into the hands of Hugh Capet, who founded a new dynasty. Most of his alleged subordinates were in fact independent, and



willing to make war on the king at the slightest provocation. The dominions of the Duke of Normandy, for example, were more extensive and more powerful than the patrimony of Hugh Capet. Almost the only unity of this France over



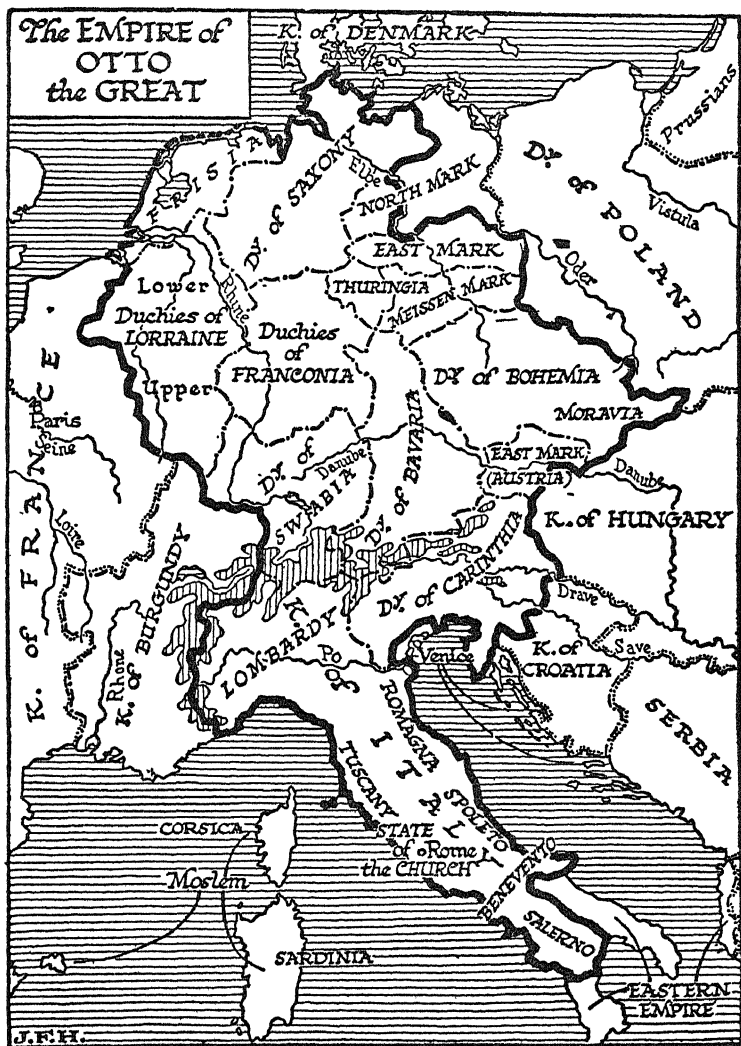
which the king exercised a nominal authority lay in the common resolution of its great provinces to resist incorporation in any empire dominated either by a German ruler or by the Pope. Apart from the simple organization dictated by that common will, France was a mosaic of practically independent nobles. It was an era of castle-building and fortification, and what was called "private war" throughout all Europe.

The state of Rome in the tenth century is almost indescribable. The decay of the Empire of Charlemagne left the Pope without a protector, threatened by Byzantium and the Saracens (who had taken Sicily), and face to face with the unruly nobles of Rome. Among the most powerful of these were two women, Theodora and Marozia, mother and daughter,<sup>1</sup> who in succession held the Castle of St. Angelo (§ 1), which Theophylact, the patrician husband of Theodora, had seized with most of the temporal power of the Pope; these two women were as bold, unscrupulous, and dissolute as any male prince of the time could have been, and they are abused by historians as though they were ten times worse. Marozia seized and imprisoned Pope John X (928), who speedily died under her care. She subsequently made her illegitimate son pope, under the title of John XI. After him her grandson, John XII, filled the chair of St. Peter. Gibbon's account of the manners and morals of John XII takes refuge at last beneath a veil of Latin footnotes. This Pope, John XII, was finally degraded by the new German Emperor Otto, who came over the Alps and down into Italy to be crowned in 962.<sup>2</sup>

This new line of Saxon emperors, which thus comes into prominence, sprang from a certain Henry the Fowler, who

<sup>1</sup> Gibbon mentions a second Theodora, the sister of Marozia.

<sup>2</sup> This period is a tangled one. The authority is Gregorovius, *History of the City of Rome in the Middle Ages*. John X owed the tiara to his mistress, the elder Theodora, but he was "the foremost statesman of his age." He fell in 928 owing to Marozia. John XI became Pope in 931 (after two Popes had intervened in the period 923-931); he was Marozia's son, possibly by Pope Sergius III. John XII did not come at once after John XI, who died in 936; there were several Popes in between; and he became Pope in 955.—E. B.



was elected King of Germany by an assembly of German nobles, princes and prelates in 919. In 936 he was succeeded as King by his son, Otto I, surnamed the Great, who was also elected to be his successor at Aix-la-Chapelle, and who finally descended upon Rome at the invitation of John XII, to be crowned emperor in 962. His subsequent degradation of John was forced upon him by that pope's treachery. With his assumption of the imperial dignity, Otto I did not so much overcome Rome as restore the ancient tussle of Pope and Emperor for ascendancy to something like decency and dignity again. Otto I was followed by Otto II (973-983), and he again by a third Otto (983-1002).<sup>1</sup>

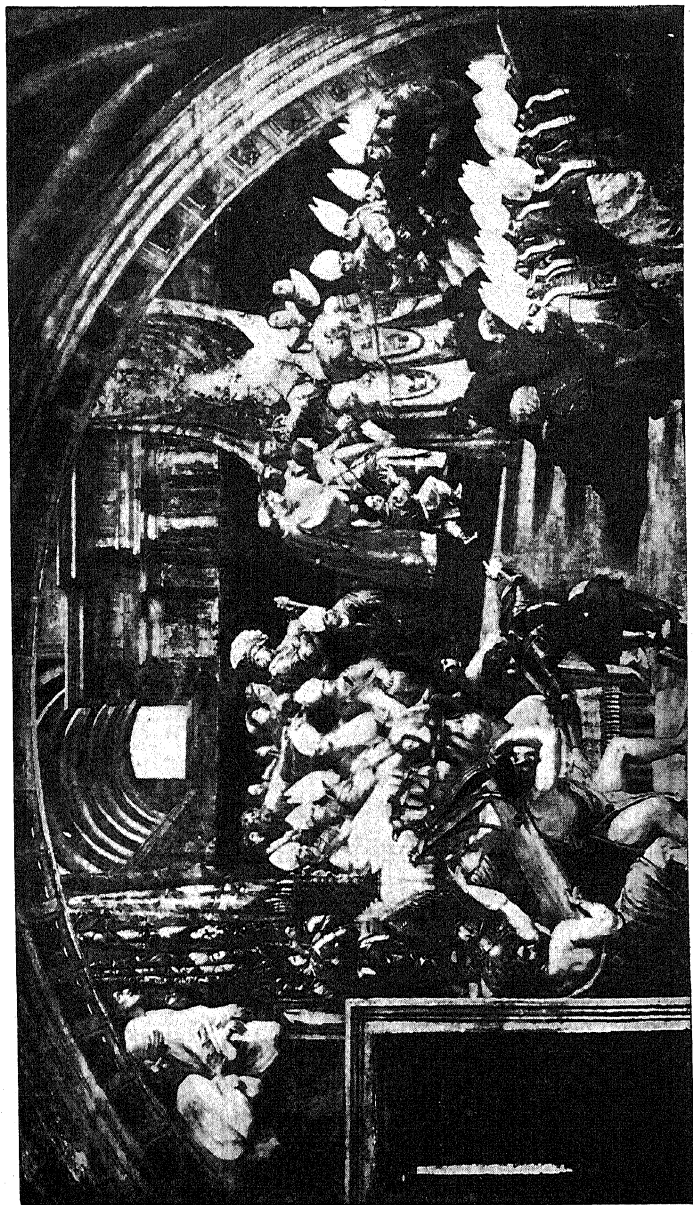
The struggle between the Emperor and the Pope for ascendancy over the Holy Roman Empire plays a large part in the history of the early Middle Ages, and we shall have presently to sketch its chief phases. Though the church never sank quite to the level of John XII again, nevertheless the story fluctuates through phases of great violence, confusion, and intrigue. Yet the outer history of Christendom is not the whole history of Christendom. That the Lateran was as cunning, foolish, and criminal as most other contemporary courts has to be recorded; but, if we are to keep due proportions in this history, it must not be unduly emphasized. We must remember that through all those ages, leaving profound consequences, but leaving no conspicuous records upon the historian's page, countless men and women were touched by that Spirit of Jesus which still lived and lives still at the core of Christianity, that they led lives that were on the whole gracious and helpful, and that they did unselfish and devoted deeds. Through those ages such lives cleared the air and made a better world possible. Just as in the Moslem world the Spirit of Islam generation by

<sup>1</sup> There were three dynasties of emperors in the early Middle Ages: Saxon: Otto I (962) to Henry II, ending 1024.

Salian: Conrad II to Henry V, ending about 1125.

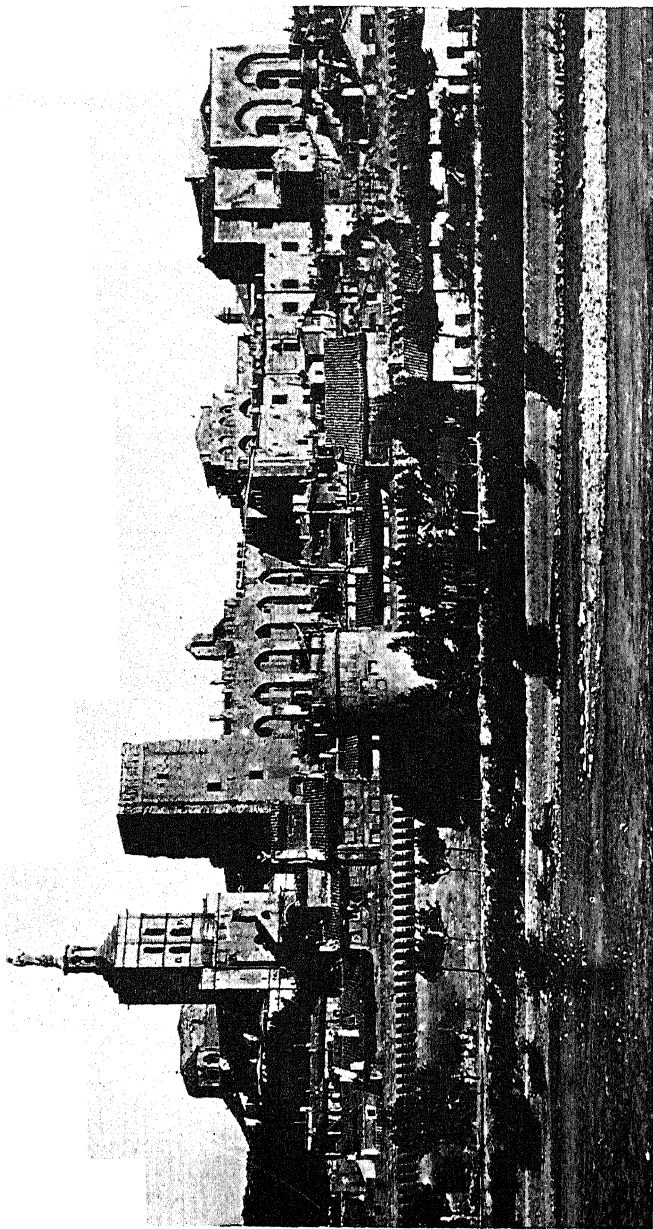
Hohenstaufen: Conrad III to Frederic II, ending in 1250.

The Hohenstaufens were Swabian in origin. Then came the Habsburgs with Rudolph I in 1273, who lasted until 1918.



CHARLEMAGNE CROWNED AS EMPEROR AT ST. PETER'S

From the painting by Raphael



PALACE OF THE POPES AT AVIGNON

In 1309 Clement V transferred his court here from Rome, and it remained the seat of the Papacy till 1377. For forty years longer, during the Great Schism, this was the palace of the anti-Popes

generation produced its crop of courage, integrity, and kindness.

## § 8

While the Holy Roman Empire and the kingdoms of France and England were thus appearing amidst the extreme political fragmentation of the civilization of Western Europe, both that civilization and the Byzantine Empire were being subjected to a threefold attack: from the Saracen powers, from the Northmen, and, more slowly developed and most formidable of all, from a new westward thrust of the Turkish peoples through South Russia, and also by way of Armenia and the Empire of Bagdad from Central Asia.

After the overthrow of the Omayyads by the Abbasid dynasty, the strength of the Saracenic impulse against Europe diminished. Islam was no longer united. Spain was under a separate Omayyad Caliph, North Africa, though nominally subject to the Abbasids, was really independent, and presently (969) Egypt became a separate power with a Shiite Caliph of its own, a pretender claiming descent from Ali and Fatima (the Fatimite Caliphate). These Egyptian Fatimites, the green flag Moslems, were fanatics in comparison with the Abbasids, and did much to embitter the genial relations of Islam and Christianity. They took Jerusalem, and interfered with the Christian access to the Holy Sepulchre. On the other side of the shrunken Abbasid domain there was also a Shiite kingdom in Persia. The chief Saracen conquest in the ninth century was Sicily; but this was not overrun in the grand old style in a year or so, but subjugated tediously through a long century, and with many set-backs. The Spanish Saracens disputed in Sicily with the Saracens from Africa. In Spain the Saracens were giving ground before a renascent Christian effort. Nevertheless, the Byzantine Empire and Western Christendom were still so weak upon the Mediterranean Sea that the Saracen raiders and pirates from North Africa were able to raid almost unchallenged in South Italy and the Greek Islands.

But now a new force was appearing in the Mediterranean. We have already remarked that the Roman Empire never extended itself to the shores of the Baltic Sea, nor had ever the vigour to push itself into Denmark. The Nordic Aryan peoples of these neglected regions learnt much from the empire that was unable to subdue them; as we have already noted, they developed the art of shipbuilding and became bold seamen; they spread across the North Sea to the west, and across the Baltic and up the Russian rivers into the very heart of what is now Russia. One of their earliest settlements in Russia was Novgorod the Great. There is the same trouble and confusion for the student of history with these northern tribes as there is with the Scythians of classical times, and with the Hunnish Turkish peoples of Eastern and Central Asia. They appear under a great variety of names, they change and intermingle. In the case of Britain, for example, the Angles, the Saxons, and Jutes conquered most of what is now England in the fifth and sixth centuries; the Danes, a second wave of practically the same people, followed in the eighth and ninth; and in 1016 a Danish King, Canute the Great, reigned in England, and not only over England, but over Denmark and Norway. His subjects sailed to Iceland, Greenland, and perhaps to the American continent. For a time, under Canute and his sons, it seemed possible that a great confederation of the Northmen might have established itself. Then in 1066 a third wave of the same people flowed over England from the "Norman" state in France, where the Northmen had been settled since the days of Rolf the Ganger (912), and where they had learnt to speak French. William, Duke of Normandy, became the William the Conqueror (1066) of the English history. Practically, from the standpoint of universal history, all these peoples were the same people, waves of one Nordic stock. These waves were not only flowing westward, but eastward. Already we have mentioned a very interesting earlier movement of the same peoples under the name of Goths from the Baltic to the Black Sea. We have traced the splitting of these Goths into the Ostrogoths and the Visi-

goths, and the adventurous wanderings that end at last in the Ostrogoth kingdom in Italy and the Visigoth states in Spain. In the ninth century a second movement of the Northmen across Russia was going on at the same time that their establishments in England and their dukedom of Normandy were coming into existence. The population of South Scotland, England, East Ireland, Flanders, Normandy, and the Russias have more elements in common than we are accustomed to recognize. All are fundamentally Gothic and Nordic peoples. Even in their weights and measures the kinship of Russian and English is to be noted; both have the Norse inch and foot, and many early Norman churches in England are built on a scale that shows the use of the sajene (7ft.) and quarter sajene, a Norse measure still used in Russia. These "Russian" Norsemen travelled in the summer-time, using the river routes that abounded in Russia; they carried their ships by portages from the northward-running rivers to those flowing southward. They appeared as pirates, raiders, and traders both upon the Caspian and the Black Sea. The Arabic chroniclers note their apparition upon the Caspian, and learnt to call them Russians. They raided Persia, and threatened Constantinople with a great fleet of small craft (in 865, 904, 941 and 1043.)<sup>1</sup> One of these Northmen, Rurik (*circa* 850), established himself as the ruler of Novgorod and his successor, the duke Oleg, took Kief, and laid the foundations of modern Russia. The fighting qualities of the Russian Vikings were speedily appreciated at Constantinople; the Greeks called them Varangians, and an Imperial Varangian bodyguard was formed. After the conquest of England by the Normans (1066), a number of Danes and English were driven into exile and joined these Russian Varangians, apparently finding few obstacles to intercourse in their speech and habits.

Meanwhile the Normans from Normandy were also finding their way into the Mediterranean from the West. They came first as mercenaries, and later as independent invaders;

<sup>1</sup> These dates are from Gibbon. Beazley, gives 865, 904-7, 935, 944, 971-2. (*History of Russia*, Clarendon Press.)



and they came mainly, not, it is to be noted, by sea, but in scattered bands by land. They came through the Rhineland and Italy partly in the search for warlike employment and loot, partly as pilgrims. For the ninth and tenth centuries saw a great development of pilgrimage. These Normans, as they grew powerful, discovered themselves such rapacious and vigorous robbers that they forced the Eastern Emperor and the Pope into a feeble and ineffective alliance against them (1053). They defeated and captured and were pardoned by the Pope; they established themselves in Calabria and South Italy, conquered Sicily from the Saracens (1060-1090), and under Robert Guiscard, who had entered Italy as a pilgrim adventurer and began his career as a brigand in Calabria, threatened the Byzantine Empire itself (1081). His army, which contained a contingent of Sicilian Moslems, crossed from Brindisi to Epirus in the reverse direction to that in which Pyrrhus had crossed to attack the Roman Republic, thirteen centuries before (275 B. C.). He laid siege to the Byzantine stronghold of Durazzo.

Robert captured Durazzo (1082), but the pressure of affairs in Italy recalled him, and ultimately put an end to this first Norman attack upon the Empire of Byzantium, leaving the way open for the rule of a comparatively vigorous Comnenian dynasty (1081-1204). In Italy, amidst conflicts too complex for us to tell here, it fell to Robert Guiscard to besiege and sack Rome (1084); and Gibbon notes with quiet satisfaction the presence of that contingent of Sicilian Moslems amongst the looters. There were in the twelfth century three other Norman attacks upon the Eastern power, one by the son of Robert Guiscard, and the two others directly from Sicily by sea. . . .

But neither the Saracens nor the Normans pounded quite so heavily against the old empire at Byzantium or against the Holy Roman Empire, the vamped-up Roman Empire of the West, as did the double thrust from the Turanian centres in Central Asia, of which we must now tell. We have already noted the westward movement of the Avars, and the Turk-

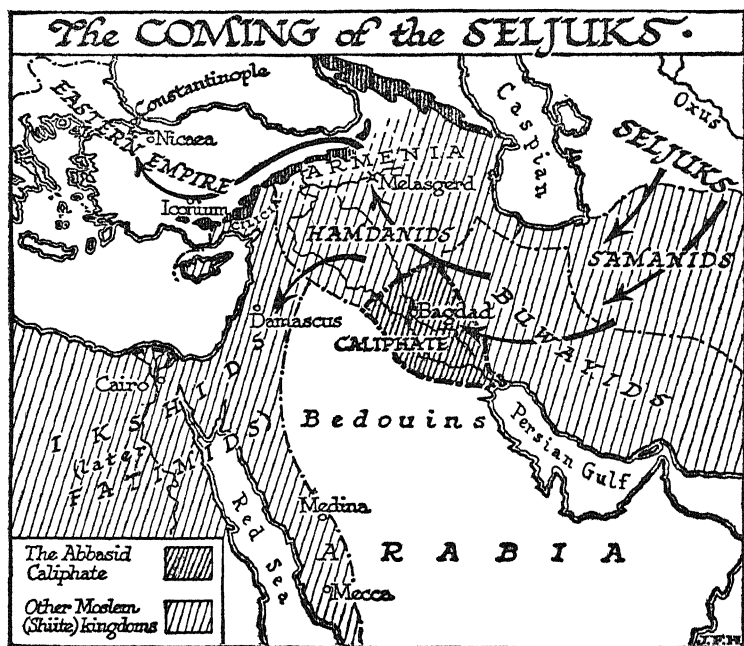
ish Magyars who followed in their track. From the days of Pepin I onward, the Frankish power and its successors in Germany were in conflict with these Eastern raiders along all the Eastern borderlands. Charlemagne held and punished them, and established some sort of overlordship as far east as the Carpathians; but amidst the enfeeblement that followed his death, these peoples, more or less blended now in the accounts under the name of Hungarians, led by the Magyars, re-established their complete freedom again, and raided yearly, often as far as the Rhine. They destroyed, Gibbon notes, the monastery of St. Gall in Switzerland, and the town of Bremen. Their great raiding period was between 900 and 950. Their biggest effort, through Germany right into France, thence over the Alps and home again by North Italy, was in 938-9.

Thrust southward by these disturbances, and by others to be presently noted, the Bulgarians established themselves under Krum, between the Danube and Constantinople. Originally a Turkish people, the Bulgarians, since their first appearance in the east of Russia, had become by repeated admixture almost entirely Slavonic in race and language. For some time after their establishment in Bulgaria they remained pagan. Their king, Boris (852-884), entertained Moslem envoys, and seems to have contemplated an adhesion to Islam, but finally he married a Byzantine princess, and handed himself and his people over to the Christian faith.

The Hungarians were drubbed into a certain respect for civilization by Henry the Fowler, the elected King of Germany, and Otto the First, the first Saxon emperor, in the tenth century. But they did not decide to adopt Christianity until about A. D. 1000. Though they were Christianized, they retained their own Turko-Finnic language (Magyar), and they retain it to this day.

Bulgarians and Hungarians do not, however, exhaust the catalogue of the peoples whose westward movements embodied the Turkish thrust across South Russia. Behind the Hungarians and Bulgarians thrust the Khazars, a Turkish people, with whom were mingled a very considerable proportion of

Jews who had been expelled from Constantinople, and who had mixed with them and made many proselytes. To these Jewish Khazars are to be ascribed the great settlements of Jews in Poland and Russia.<sup>1</sup> Behind the Khazars again, and overrunning them, were the Petschenegs (or Patzinaks), a savage Turkish people who are first heard of in the ninth century, and who were destined to dissolve and vanish as



the kindred Huns did five centuries before. And while the trend of all these peoples was westward, we have, when we are thinking of the present population of these South Russian regions, to remember also the coming and going of the Northmen between the Baltic and the Black Sea, who interwove with the Turkish migrants like warp and woof,

<sup>1</sup> "A Turkish people whose leaders had adopted Judaism," says Harold Williams.

and bear in mind also that there was a considerable Slavonic population, the heirs and descendants of Scythians, Sarmatians, and the like, already established in these restless, lawless, but fertile areas. All these races mixed with and reacted upon one another. The universal prevalence of Slavonic languages, except in Hungary, shows that the population remained predominantly Slav. And in what is now Roumania, for all the passage of peoples, and in spite of conquest after conquest, the tradition and inheritance of the Roman provinces of Dacia and Mœsia Inferior still kept a Latin speech and memory alive.

But this direct thrust of the Turkish peoples against Christendom to the north of the Black Sea was, in the end, not nearly so important as their indirect thrust south of it through the empire of the Caliph. We cannot deal here with the tribes and dissensions of the Turkish peoples of Turkestan, nor with the particular causes that brought to the fore the tribes under the rule of the Seljuk clan. In the eleventh century these Seljuk Turks broke with irresistible force not in one army, but in a group of armies, and under two brothers, into the decaying fragments of the Moslem Empire. For Islam had long ceased to be one empire. The orthodox Sunnite Abbasid rule had shrunken to what was once Babylonia; and even in Bagdad the Caliph was the mere creature of his Turkish palace guards. A sort of mayor of the palace, a Turk, was the real ruler. East of the Caliph, in Persia, and west of him in Palestine, Syria, and Egypt, were Shiite heretics. The Seljuk Turks were orthodox Sunnites; they now swept down upon and conquered the Shiite rulers and upstarts, and established themselves as the protectors of the Bagdad Caliph, taking over the temporal powers of the mayor of the palace. Very early they conquered Armenia from the Greeks, and then, breaking the bounds that had restrained the power of Islam for four centuries, they swept on to the conquest of Asia Minor, almost to the gates of Constantinople. The mountain barrier of Cilicia that had held the Moslem so long had been turned by the conquest of Armenia from the north-east. Un-

der Alp Arslan, who had united all the Seljuk power in his own hands, the Turks utterly smashed the Byzantine army at the battle of Manzikert, or Melasgird (1071). The effect of this battle upon people's imaginations was very great. Islam, which had appeared far gone in decay, which had been divided religiously and politically, was suddenly discovered to have risen again, and it was the secure old Byzantine Empire that seemed on the brink of dissolution. The loss of Asia Minor was very swift. The Seljuks established themselves at Iconium (Konia), in what is now Anatolia. In a little while they were in possession of the fortress of Nicæa over against the capital.

## § 9

We have already told of the attack of the Normans upon the Byzantine Empire from the west, and of the battle of Durazzo (1081); and we have noted that Constantinople had still vivid memories of the Russian sea raids (1043). Bulgaria, it is true, had been tamed, but there was heavy and uncertain warfare going on with the Petschenegs. North and west, the emperor's hands were full. This swift advance of the Turks into country that had been so long securely Byzantine must have seemed like the approach of final disaster. The Eastern Emperor, Michael VII, under the pressure of these convergent dangers, took a step that probably seemed both to himself and to Rome of the utmost political significance. He appealed to the Pope, Gregory VII, for assistance. His appeal was repeated still more urgently by his successor, Alexius Comnenus, to Pope Urban II.

To the counsellors of Rome this must have presented itself as a supreme opportunity for the assertion of the headship of the Pope over the entire Christian world.

In this history we have traced the growth of this idea of a religious government of Christendom—and through Christendom of mankind—and we have shown how naturally and how necessarily, because of the tradition of the world em-

pire, it found a centre at Rome. The Pope of Rome was the only Western patriarch; he was the religious head of a vast region in which the ruling tongue was Latin; the other patriarchs of the Orthodox Church spoke Greek, and so were inaudible throughout his domains; and the two words *Filio que*, which had been added to the Latin creed, had split off the Byzantine Christians by one of those impalpable and elusive doctrinal points upon which there is no reconciliation. (The final rupture was in 1054.) The life of the Lateran changed in its quality with every occupant of the chair of St. Peter: sometimes papal Rome was a den of corruption and uncleanness, as it had been in the days of John XII; sometimes it was pervaded by the influence of widely thinking and nobly thinking men. But behind the Pope was the assembly of the cardinals, priests, and a great number of highly educated officials, who never, even in the darkest and wildest days, lost sight altogether of the very grand idea of a divine world dominion, of a peace of Christ throughout the earth that St. Augustine had expressed. Through all the Middle Ages that idea was the guiding influence in Rome. For a time, perhaps, mean minds would prevail there, and in the affairs of the world Rome would play the part of a greedy, treacherous, and insanely cunning old woman; followed a phase of masculine and quite worldly astuteness perhaps, or a phase of exaltation. Came an interlude of fanaticism or pedantry, when all the pressure was upon exact doctrine. Or there was a moral collapse, and the Lateran became the throne of some sensuous or æsthetic autocrat, ready to sell every hope or honour the church could give for money to spend upon pleasure or display. Yet, on the whole, the papal ship kept its course, and came presently into the wind again.

In this period to which we have now come, the period of the eleventh century, we discover a Rome dominated by the personality of an exceptionally great statesman, Hildebrand, who occupied various official positions under a succession of Popes, and finally became Pope himself under the name of Gregory VII (1073-1085). We find that under his

influence, vice, sloth, and corruption have been swept out of the church, that the method of electing the Popes has been reformed, and that a great struggle has been waged with the Emperor upon the manifestly vital question of "investitures," the question whether Pope or temporal monarch should have the decisive voice in the appointment of the bishops in their domains. How vital that question was we can better realize when we bear in mind that in many kingdoms more than a quarter of the land was clerical property. Hitherto the Roman clergy had been able to marry; but now, to detach them effectually from the world and to make them more completely the instruments of the church, celibacy was imposed upon all priests. . . .

Gregory VII had been prevented by his struggle over the investitures from any effectual answer to the first appeal from Byzantium; but he had left a worthy successor in Urban II (1087-1099); and when the letter of Alexius came to hand, Urban seized at once upon the opportunity it afforded for drawing together all the thoughts and forces of Western Europe into one passion and purpose. Thereby he might hope to end the private warfare that prevailed, and find a proper outlet for the immense energy of the Normans. He saw, too, an opportunity of thrusting the Byzantine power and Church aside, and extending the influence of the Latin Church over Syria, Palestine, and Egypt. The envoys of Alexius were heard at a church council, hastily summoned at Piacenza (= Placentia), and next year (1095) at Clermont, Urban held a second great council, in which all the slowly gathered strength of the Church was organized for a universal war propaganda against the Moslems. Private war, all war among Christians, was to cease until the infidel had been swept back and the site of the Holy Sepulchre was again in Christian hands.

The fervour of the response enables us to understand the great work of creative organization that had been done in Western Europe in the previous five centuries. In the beginning of the seventh century we saw Western Europe as a chaos of social and political fragments, with no common

idea nor hope, a system shattered almost to a dust of self-seeking individuals. Now in the dawn of the eleventh century there is everywhere a common belief, a linking idea, to which men may devote themselves, and by which they can co-operate together in a universal enterprise. We realize that, in spite of much weakness and intellectual and moral unsoundness, to this extent the Christian Church has *worked*. We are able to measure the evil phases of tenth-century Rome, the scandals, the filthiness, the murders and violence, at their proper value by the scale of this fact. No doubt also all over Christendom there had been many lazy, evil, and foolish priests; but it is manifest that this task of teaching and co-ordination that had been accomplished could have been accomplished only through a great multitude of right-living priests and monks and nuns. A new and greater amphictyony, the amphictyony of Christendom, had come into the world, and it had been built by thousands of anonymous, faithful lives.

And this response to the appeal of Urban the Second was not confined only to what we should call educated people. It was not simply knights and princes who were willing to go upon this crusade. Side by side with the figure of Urban we must put the figure of Peter the Hermit, a type novel to Europe, albeit a little reminiscent of the Hebrew prophets. This man appeared preaching the crusade to the common people. He told a story—whether truthful or untruthful hardly matters in this connection—of his pilgrimage to Jerusalem, of the wanton destruction at the Holy Sepulchre by the Seljuk Turks, who took it in 1073, and of the exactions, brutalities, and deliberate cruelties practised upon the Christian pilgrims to the Holy Places. Barefooted, clad in a coarse garment, riding on an ass, and bearing a huge cross, this man travelled about France and Germany, and everywhere harangued vast crowds in church or street or market-place.

Here for the first time we discover Europe with an idea and a soul! Here is a universal response of indignation at the story of a remote wrong, a swift understanding of a



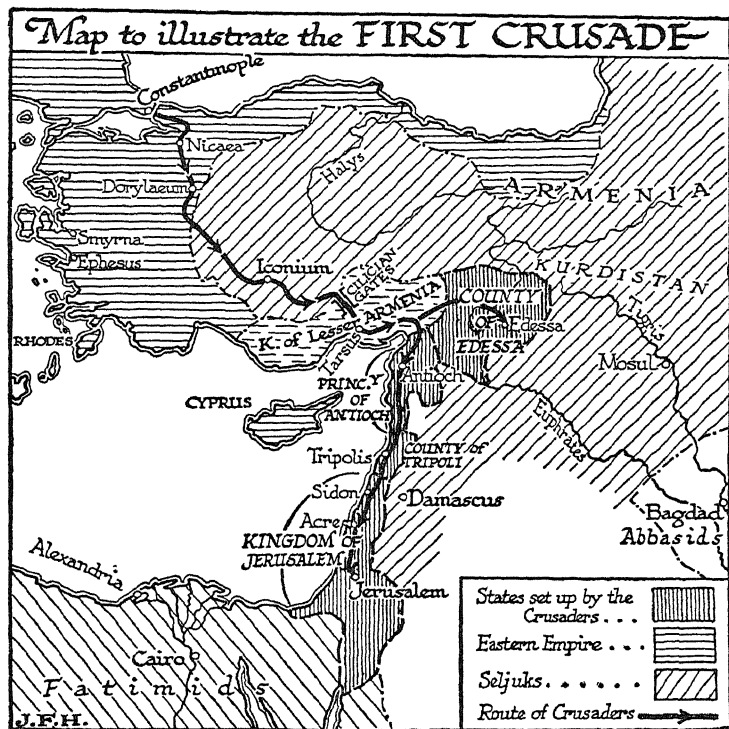
common cause for rich and poor alike. You cannot imagine this thing happening in the Empire of Augustus Cæsar, or indeed in any previous state in the world's history. Something of the kind might perhaps have been possible in the far smaller world of Hellas, or in Arabia before Islam. But this movement affected nations, kingdoms, tongues, and peoples. It is clear that we are dealing with something new that has come into the world, a new clear connection of the common interest with the consciousness of the common man.

### § 10

From the very first this flaming enthusiasm was mixed with baser elements. There was the cold and calculated scheme of the free and ambitious Latin Church to subdue and replace the emperor-ruled Byzantine Church; there was the freebooting instinct of the Normans, who were tearing Italy to pieces, which turned readily enough to a new and richer world of plunder; and there was something in the multitude who now turned their faces east, something deeper than love in the human composition, namely, fear-born hate, that the impassioned appeals of the propagandists and the exaggeration of the horrors and cruelties of the infidel had fanned into flame. And there were still other forces; the intolerant Seljuks and the intolerant Fatimites lay now an impassable barrier across the eastward trade of Genoa and Venice that had hitherto flowed through Bagdad and Aleppo, or through Egypt. They must force open these closed channels, unless Constantinople and the Black Sea route were to monopolize Eastern trade altogether. Moreover, in 1094 and 1095 there had been a pestilence and famine from the Scheldt to Bohemia, and there was great social disorganization. "No wonder," says Mr. Ernest Barker, "that a stream of emigration set towards the East, such as would in modern times flow towards a newly discovered goldfield—a stream carrying in its turbid waters hucksters, fugitive monks and escaped villeins, and marked

by the same motley grouping, the same fever of life, the same alternations of affluence and beggary, which mark the rush for a goldfield to-day."

But these were secondary contributory causes. The fact of predominant interest to the historian of mankind is this *will to crusade* suddenly revealed as a new mass possibility in human affairs.



The story of the crusades abounds in such romantic and picturesque detail that the writer of an Outline of History must ride his pen upon the curb through this alluring field. The first forces to move eastward were great crowds of undisciplined people rather than armies, and they sought to make their way by the valley of the Danube, and thence

southward to Constantinople. This was the "people's crusade." Never before in the whole history of the world had there been such a spectacle as these masses of practically leaderless people moved by an idea. It was a very crude idea. When they got among foreigners, they do not seem to have realized that they were not already among the infidel. Two great mobs, the advance guard of the expedition, committed such excesses in Hungary, where the language must have been incomprehensible to them, as to provoke the Hungarians to destroy them. They were massacred. A third host began with a great pogrom of the Jews in the Rhineland—for the Christian blood was up—and this multitude was also dispersed in Hungary. Two other hosts under Peter got through and reached Constantinople, to the astonishment and dismay of the Emperor Alexius. They looted and committed outrages as they came, and at last he shipped them across the Bosphorus, to be massacred rather than defeated by the Seljuks (1096).

This first unhappy appearance of the "people" as people in modern European history was followed in 1097 by the organized forces of the First Crusade. They came by diverse routes from France, Normandy, Flanders, England, Southern Italy and Sicily, and the will and power of them were the Normans. They crossed the Bosphorus and captured Nicæa, which Alexius snatched away from them before they could loot it. They then went on by much the same route as Alexander the Great, through the Cilician Gates, leaving the Turks in Konia unconquered, past the battle-field of the Issus, and so to Antioch, which they took after nearly a year's siege. Then they defeated a great relieving army from Mosul. A large part of the Crusaders remained in Antioch, a smaller force under Godfrey of Bouillon (in Belgium) went on to Jérusalem. "After a little more than a month's siege, the city was finally captured (July 15). The slaughter was terrible; the blood of the conquered ran down the streets, until men splashed in blood as they rode, At nightfall, 'sobbing for excess of joy,' the crusaders came to the Sepulchre from their treading of the winepress, and

put their blood-stained hands together in prayer. So, on that day of July, the First Crusade came to an end.”<sup>1</sup>

The authority of the Patriarch of Jerusalem was at once seized upon by the Latin clergy with the expedition, and the Orthodox Christians found themselves in rather a worse case under Latin rule than under the Turk. There were already Latin principalities established at Antioch and Edessa, and there began a struggle for ascendancy between these various courts and kings, and an unsuccessful attempt to make Jerusalem a property of the Pope. These are complications beyond our present scope.

Let us quote, however, a characteristic passage from Gibbon:—

“In a style less grave than that of history, I should perhaps compare the Emperor Alexius to the jackal, who is said to follow the steps and to devour the leavings of the lion. Whatever had been his fears and toils in the passage of the First Crusade, they were amply recompensed by the subsequent benefits which he derived from the exploits of the Franks. His dexterity and vigilance secured their first conquest of Nicæa, and from this threatening station the Turks were compelled to evacuate the neighbourhood of Constantinople. While the Crusaders, with blind valour, advanced into the midland countries of Asia, the crafty Greek improved the favourable occasion when the emirs of the sea coast were recalled to the standard of the Sultan. The Turks were driven from the isles of Rhodes and Chios; the cities of Ephesus and Smyrna, of Sardis, Philadelphia, and Laodicea were restored to the empire, which Alexius enlarged from the Hellespont to the banks of the Mæander and the rocky shores of Pamphylia. The churches resumed their splendour; the towns were rebuilt and fortified; and the desert country was peopled with colonies of Christians, who were gently removed from the more distant and dangerous frontier. In these paternal cares we may forgive Alexius, if we forget the deliverance of the holy sepulchre; but, by the Latins,

<sup>1</sup> E. Barker, art. “Crusades,” *Encyclopædia Britannica*.

he was stigmatized with the foul reproach of treason and desertion. They had sworn fidelity and obedience to his throne; but *he* had promised to assist their enterprise in person, or at least, with his troops and treasures; his base retreat dissolved their obligations; and the sword, which had been the instrument of their victory, was the pledge and title of their just independence. It does not appear that the emperor attempted to revive his obsolete claims over the kingdom of Jerusalem, but the borders of Cilicia and Syria were more recent in his possession and more accessible to his arms. The great army of the Crusaders was annihilated or dispersed; the principality of Antioch was left without a head, by the surprise and captivity of Bohemond; his ransom had oppressed him with a heavy debt; and his Norman followers were insufficient to repel the hostilities of the Greeks and Turks. In this distress, Bohemond embraced a magnanimous resolution, of leaving the defence of Antioch to his kinsman, the faithful Tancred; of arming the West against the Byzantine Empire, and of executing the design which he inherited from the lessons and example of his father Guiscard. His embarkation was clandestine; and if we may credit a tale of the Princess Anna, he passed the hostile sea closely secreted in a coffin. (Anna Comnena adds, that to complete the imitation, he was shut up with a dead cock; and condescends to wonder how the barbarian could endure the confinement and putrefaction. This absurd tale is unknown to the Latins.) But his reception in France was dignified by the public applause and his marriage with the king's daughter; his return was glorious, since the bravest spirits of the age enlisted under his veteran command; and he repassed the Adriatic at the head of five thousand horse and forty thousand foot, assembled from the most remote climates of Europe. The strength of Durazzo and prudence of Alexius, the progress of famine and approach of winter, eluded his ambitious hopes; and the venal confederates were seduced from his standard. A treaty of peace suspended the fears of the Greeks."

We have dealt thus lengthily with the First Crusade, be-

cause it displays completely the quality of all these expeditions. The reality of the struggle between the Latin and the Byzantine system became more and more nakedly apparent. In 1101 came reinforcements, in which the fleet of the mercantile republics of Venice and Genoa played a prominent part, and the power of the kingdom of Jerusalem was extended. The year 1147 saw a Second Crusade, in which both the Emperor Conrad III and King Louis of France participated. It was a much more stately and far less successful and enthusiastic expedition than its predecessor. It had been provoked by the fall of Edessa to the Moslems in 1144. One large division of Germans, instead of going to the Holy Land, attacked and subjugated the still pagan Wends east of the Elbe. This, the Pope agreed, counted as crusading, and so did the capture of Lisbon, and the foundation of the Christian kingdom of Portugal by the Flemish and English contingents.

In 1169 a Kurdish adventurer, named Saladin, became ruler of Egypt, in which country the Shiite heresy had now fallen before a Sunnite revival. This Saladin reunited the efforts of Egypt and Bagdad, and preached a Jihad, a Holy War, a counter-crusade, of all the Moslems against the Christians. This Jihad excited almost as much feeling in Islam as the First Crusade had done in Christendom. It was now a case of crusader against crusader; and in 1187 Jerusalem was retaken. This provoked the Third Crusade (1189). This also was a grand affair, planned jointly by the Emperor Frederick I (known better as Frederick Barbarossa), the King of France, and the King of England (who at that time owned many of the fairest French provinces). The papacy played a secondary part in this expedition; it was in one of its phases of enfeeblement; and the crusade was the most courtly, chivalrous, and romantic of all. Religious bitterness was mitigated by the idea of knightly gallantry, which obsessed both Saladin and Richard I (1189-1199) of England (Cœur-de-Lion), and the lover of romance may very well turn to the romances about this period for its flavour. The crusade saved the principality of Antioch for a time,

but failed to retake Jerusalem. The Christians, however, remained in possession of the sea coast of Palestine.

By the time of the Third Crusade, the magic and wonder had gone out of these movements altogether. The common people had found them out. Men went, but only kings and nobles straggled back; and that often only after heavy taxation for a ransom. The idea of the crusades was cheapened by their too frequent and trivial use. Whenever the Pope quarrelled with any one now, or when he wished to weaken the dangerous power of the emperor by overseas exertions, he called for a crusade, until the word ceased to mean anything but an attempt to give flavour to an unpalatable war. There was a crusade against the heretics in the south of France, one against John (King of England), one against the Emperor Frederick II. The Popes did not understand the necessity of dignity to the papacy. They had achieved a moral ascendancy in Christendom. Forthwith they began to fritter it away. They not only cheapened the idea of the crusades, but they made their tremendous power of excommunication, of putting people outside all the sacraments, hopes, and comforts of religion, ridiculous by using it in mere disputes of policy. Frederick II was not only crusaded against, but excommunicated—without visible injury. He was excommunicated again in 1239, and this sentence was renewed by Innocent IV in 1245.

The bulk of the Fourth Crusade never reached the Holy Land at all. It started from Venice (1202), captured Zara, encamped at Constantinople (1203), and finally, in 1204, stormed the city. It was frankly a combined attack on the Byzantine Empire. Venice took much of the coasts and islands of the empire, and a Latin, Baldwin of Flanders, was set up as emperor in Constantinople. The Latin and Greek Churches were declared to be reunited, and Latin emperors ruled as conquerors in Constantinople from 1204 to 1261.

In 1212 occurred a dreadful thing, a children's crusade. An excitement that could no longer affect sane adults was spread among the children in the south of France and in the Rhone valley. A crowd of many thousands of French boys

marched to Marseilles; they were then lured on board ship by slave traders, who sold them into slavery in Egypt. The Rhineland children tramped into Italy, many perishing by the way, and there dispersed. Pope Innocent III made great capital out of this strange business. "The very children put us to shame," he said; and sought to whip up enthusiasm for a Fifth Crusade. This crusade aimed at the conquest of Egypt, because Jerusalem was now held by the Egyptian Sultan; its remnants returned in 1221, after an inglorious evacuation of its one capture, Damietta, with the Jerusalem vestiges of the True Cross as a sort of consolation concession on the part of the victor. We have already noted the earlier adventures of this venerable relic before the days of Muḥammad when it was carried off by Chosroes II to Ctesiphon, and recovered by the Emperor Heraclius. Fragments of the True Cross, however, had always been in Rome at the church of S. Croce-in-Gerusalemme, since the days of the Empress Helena (the mother of Constantine the Great) to whom, says the legend, its hiding-place had been revealed in a vision during her pilgrimage to the Holy Land.<sup>1</sup>

The Sixth Crusade (1229) was a crusade bordering upon absurdity. The Emperor Frederick II had promised to go upon a crusade, and evaded his vow. He had made a false start and returned. He was probably bored by the mere idea of a crusade. But the vow had been part of the bargain by which he secured the support of Pope Innocent III in his election as emperor. He busied himself in reorganizing the government of his Sicilian kingdom, though he had given the Pope to understand that he would relinquish those possessions if he became emperor; and the Pope was anxious

<sup>1</sup> "The custody of the *True Cross*, which on Easter Sunday was solemnly exposed to the people, was entrusted to the Bishop of Jerusalem; and he alone might gratify the curious devotion of the pilgrims, by the gift of small pieces, which they encased in gold or gems, and carried away in triumph to their respective countries. But, as this gainful branch of commerce must soon have been annihilated, it was found convenient to suppose that the marvellous wood possessed a secret power of vegetation, and that its substance, though continually diminished, still remained entire and unimpaired."—Gibbon.



to stop this process of consolidation by sending him to the Holy Land. The Pope did not want Frederick II, or any German emperor at all in Italy, because he himself wished to rule Italy. As Frederick II remained evasive, Gregory IX excommunicated him, proclaimed a crusade against him, and invaded his dominions in Italy (1228). Whereupon the Emperor sailed with an army to the Holy Land. There he had a meeting with the Sultan of Egypt (the Emperor spoke six languages freely, including Arabic); and it would seem these two gentlemen, both of sceptical opinions, exchanged views of a congenial sort, discussed the Pope in a worldly spirit, debated the Mongolian rush westward, which threatened them both alike, and agreed finally to a commercial convention, and the surrender of a part of the kingdom of Jerusalem to Frederick. This indeed was a new sort of crusade, a crusade by private treaty. As this astonishing crusader had been excommunicated, he had to indulge in a purely secular coronation in Jerusalem, taking the crown from the altar with his own hands, in a church from which all the clergy had gone. Probably there was no one to show him the Holy Places; indeed these were presently all put under an interdict by the Patriarch of Jerusalem and locked up; manifestly the affair differed altogether in spirit from the red onslaught of the First Crusade. It had not even the kindly sociability of the Caliph Omar's visit six hundred years before. Frederick II rode out of Jerusalem almost alone, returned from this unromantic success to Italy, put his affairs there in order very rapidly, chased the papal armies out of his possessions, and obliged the Pope to give him absolution from his excommunication (1230). This Sixth Crusade was indeed not only the *reductio ad absurdum* of crusades, but of papal excommunications. Of this Frederick II we shall tell more in a later section, because he was very typical of certain new forces that were coming into European affairs.

The Christians lost Jerusalem again in 1244; it was taken from them very easily by the Sultan of Egypt when they attempted an intrigue against him. This provoked the Seventh

Crusade, the Crusade of St. Louis, King of France (Louis IX), who was taken prisoner in Egypt and ransomed in 1250. Not until 1918, when it fell to a mixed force of French, British, and Indian troops, did Jerusalem slip once more from the Moslem grasp. . . .

One more crusade remains to be noted, an expedition to Tunis by this same Louis IX, who died of fever there.

### § 11

The essential interest of the crusades for the historian of mankind lies in the wave of emotion, of unifying feeling, that animated the first. Thereafter these expeditions became more and more an established process, and less and less vital events. The First Crusade was an occurrence like the discovery of America; the later ones were more and more like a trip across the Atlantic. In the eleventh century, the idea of the crusade must have been like a strange and wonderful light in the sky; in the thirteenth one can imagine honest burghers saying in tones of protest, "What! *another* crusade!" The experience of St. Louis in Egypt is not like a fresh experience for mankind; it is much more like a round of golf over some well known links, a round that was dogged by misfortune. It is an insignificant series of events. The interest of life had shifted to other directions.

The beginning of the crusades displays all Europe saturated by a naïve Christianity, and ready to follow the leading of the Pope trustfully and simply. The scandals of the Lateran during its evil days, with which we are all so familiar now, were practically unknown outside Rome. And Gregory VII and Urban II had redeemed all that. But intellectually and morally their successors at the Lateran and the Vatican<sup>1</sup> were not equal to their opportunities. The strength of the

<sup>1</sup> The Popes inhabited the palace of the Lateran until 1305, when a French Pope set up the papal court at Avignon. When the Pope returned to Rome in 1377 the Lateran was almost in ruins, and the palace of the Vatican became the seat of the papal court. It was, among other advantages, much nearer to the papal stronghold, the Castle of St. Angelo.

papacy lay in the faith men had in it, and it used that faith so carelessly as to enfeeble it. Rome has always had too much of the shrewdness of the priest and too little of the power of the prophet. So that while the eleventh century was a century of ignorant and confiding men, the thirteenth was an age of knowing and disillusioned men. It was a far more civilized and profoundly sceptical world.

The bishops, priests, and the monastic institutions of Latin Christendom before the days of Gregory VII had been perhaps rather loosely linked together and very variable in quality; but it is clear that they were, as a rule, intensely intimate with the people among whom they found themselves, and with much of the spirit of Jesus still alive in them; they were trusted, and they had enormous power *within the conscience of their followers*. The church, in comparison with its later state, was more in the hands of local laymen and the local ruler; it lacked its later universality. The energetic localizing of the church organization by Gregory VII, which was designed to increase the central power of Rome, broke many subtle filaments between priest and monastery on the one hand, and the country-side about them on the other. Men of faith and wisdom believe in growth and their fellow men; but priests, even such priests as Gregory VII, believe in the false "efficiency" of an imposed discipline. The squabble over investitures made every prince in Christendom suspicious of the bishops as agents of a foreign power; this suspicion filtered down to the parishes. The political enterprises of the papacy necessitated an increasing demand for money. Already in the thirteenth century it was being said everywhere that the priests were not good men, that they were always hunting for money.

In the days of ignorance there had been an extraordinary willingness to believe the Catholic priesthood good and wise. Relatively it was better and wiser in those days. Great powers beyond her spiritual functions had been entrusted to the church, and very extraordinary freedoms. Of this confidence the fullest advantage had been taken. In the Middle Ages the church had become a state within the state.

It had its own law courts. Cases involving not merely priests, but monks, students, crusaders, widows, orphans, and the helpless, were reserved for the clerical courts; and whenever the rites or rules of the church were involved, there the church claimed jurisdiction over such matters as wills, marriages, oaths, and of course over heresy, sorcery, and blasphemy. There were numerous clerical prisons in which offenders might pine all their lives. The Pope was the supreme law-giver of Christendom, and his court at Rome the final and decisive court of appeal. And the church levied taxes; it had not only vast properties and a great income from fees, but it imposed a tax of a tenth, the tithe, upon its subjects. It did not call for this as a pious benefaction; it demanded it as a right. The clergy, on the other hand, were now claiming exemption from lay taxation.

This attempt to trade upon their peculiar prestige and evade their share in fiscal burdens was certainly one very considerable factor in the growing dissatisfaction with the clergy. Apart from any question of justice, it was impolitic. It made taxes seem ten times more burthensome to those who had to pay. It made every one feel the immunities of the church. And a still more extravagant and unwise claim made by the church was the claim to the power of *dispensation*. The Pope might in many instances set aside the laws of the church in individual cases; he might allow cousins to marry, permit a man to have two wives, or release any one from a vow. But to do such things is to admit that the laws affected are not based upon necessity and an inherent righteousness; that they are in fact restrictive and vexatious. The lawgiver, of all beings, most owes the law allegiance. He of all men should behave as though the law compelled him. But it is the universal weakness of mankind that what we are given to administer we presently imagine we own.

## § 12

The Emperor Frederick II is a very convenient example of the sort of doubter and rebel the thirteenth century could

produce. It may be interesting to tell a little of this intelligent and cynical man. He was the son of the German Emperor, Henry VI, and grandson of Frederick Barbarossa, and his mother was the daughter of Roger I, the Norman King of Sicily. He inherited this kingdom in 1198, when he was four years old; his mother was his guardian for six months, and when she died, Pope Innocent III (1198 to 1216) became regent and guardian. He seems to have had an exceptionally good and remarkably mixed education, and his accomplishments earned him the flattering title of *Stupor mundi*, the amazement of the world. The result of getting an Arabic view of Christianity, and a Christian view of Islam, was to make him believe that all religions were impostures, a view held perhaps by many a stifled observer in the Age of Faith. But he talked about his views; his blasphemies and heresies are on record. Growing up under the arrogant rule of Innocent III, who never seems to have realized that his ward had come of age, he developed a slightly humorous evasiveness. It was the papal policy to prevent any fresh coalescence of the power of Germany and Italy, and it was equally Frederick's determination to get whatever he could. When presently opportunity offered him the imperial crown of Germany, he secured the Pope's support by agreeing, if he were elected, to relinquish his possessions in Sicily and South Italy, and to put down heresy in Germany. For Innocent III was one of the great persecuting Popes, an able, grasping, and aggressive man. (For a Pope, he was exceptionally young. He became Pope at thirty-seven.) It was Innocent who had preached a cruel crusade against the heretics in the south of France, a crusade that presently became a looting expedition beyond his control. So soon as Frederick was elected emperor (1211),<sup>1</sup> Innocent pressed for the performance of the vows and promises he had wrung from his dutiful ward. The clergy were to be freed from lay jurisdiction and from taxation, and exem-

<sup>1</sup> He was crowned emperor in 1220 by Honorius III, the successor of Innocent.

plary cruelties were to be practised upon the heretics. None of which things Frederick did. As we have already told, he would not even relinquish Sicily. He liked Sicily as a place of residence better than he liked Germany.

Innocent III died baffled in 1216, and his successor, Honorius III, effected nothing. Honorius was succeeded by Gregory IX (1227), who evidently came to the papal throne with a nervous resolution to master this perplexing young man. He excommunicated him at once for failing to start upon his crusade, which was now twelve years overdue; and he denounced his vices, heresies, and general offences in a public letter (1227). To this Frederick replied in a far abler document addressed to all the princes of Europe, a document of extreme importance in history, because it is the first clear statement of the issue between the pretensions of the Pope to be absolute ruler of all Christendom, and the claims of the secular rulers.<sup>1</sup> This conflict had always been smouldering; it had broken out here in one form, and there in another; but now Frederick put it in clear general terms upon which men could combine together.

Having delivered this blow, he departed upon the pacific crusade of which we have already told. In 1239, Gregory IX was excommunicating him for a second time, and renewing that warfare of public abuse in which the papacy had already suffered severely. The controversy was revived after Gregory IX was dead, when Innocent IV was Pope; and again a devastating letter, which men were bound to remember, was written by Frederick against the church. He denounced the pride and irreligion of the clergy, and ascribed all the corruptions of the time to their pride and wealth. He proposed to his fellow princes a general confiscation of church property—for the good of the church. It was a suggestion that never afterwards left the imagination of the European princes.

We will not go on to tell of his last years or of the disaster at Parma, due to his carelessness, which cast a shadow of failure over his end. The particular events of his life

<sup>1</sup> Some authorities deny his authorship of this letter.

are far less significant than its general atmosphere. It is possible to piece together something of his court life in Sicily. He is described towards the end of his life as "red, bald, and short-sighted"; but his features were good and pleasing. He was luxurious in his way of living, and fond of beautiful things. He is described as licentious. But it is clear that his mind was not satisfied by religious scepticism, and that he was a man of very effectual curiosity and inquiry. He gathered Jewish and Moslem as well as Christian philosophers at his court, and he did much to irrigate the Italian mind with Saracenic influences. Through him Arabic numerals and algebra were introduced to Christian students, and among other philosophers at his court was Michael Scott, who translated portions of Aristotle and the commentaries thereon of the great Arab philosopher Averroes (of Cordoba). In 1224 Frederick founded the University of Naples, and he enlarged and enriched the great medical school at Salerno University, the most ancient of universities. He also founded a zoological garden. He left a book on hawking, which shows him to have been an acute observer of the habits of birds, and he was one of the first Italians to write Italian verse. Italian poetry was indeed born at his court. He has been called by an able writer, "the first of the moderns," and the phrase expresses aptly the unprejudiced detachment of his intellectual side. His was an all-round originality. During a gold shortage he introduced and made a success of a coinage of stamped leather, bearing his promise to pay in gold, a sort of leather bank-note issue.<sup>1</sup>

In spite of the torment of abuse and calumny in which Frederick was drenched, he left a profound impression upon the popular imagination. He is still remembered in South Italy almost as vividly as is Napoleon I by the peasants of France; he is the "Gran Federigo." And German scholars

<sup>1</sup> Perhaps parchment rather than leather. Such promises on parchment were also used by the Carthaginians. Was Frederick's money an inheritance from an old tradition living on in Sicily since Carthaginian times?—E. B.

declare that, in spite of Frederick's manifest dislike for Germany, it is he, and not Frederick I, Frederick Barbarossa, to whom the German legend originally attached—that legend which represents a great monarch slumbering in a deep cavern, his beard grown round a stone table, against a day of awakening when the world will be restored by him from an extremity of disorder to peace. Afterwards, it seems, the story was transferred to the Crusader Barbarossa, the grandfather of Frederick II.

A difficult child was Frederick II for Mother Church, and he was only the precursor of many such difficult children. The princes and educated gentlemen throughout Europe read his letters and discussed them. The more enterprising university students found, marked, and digested the Arabic Aristotle he had made accessible to them in Latin. Salerno cast a baleful light upon Rome. All sorts of men must have been impressed by the futility of the excommunications and interdicts that were levelled at Frederick.

### § 13

We have said that Innocent III never seemed to realize that his ward, Frederick II, was growing up. It is equally true that the papacy never seemed to realize that Europe was growing up. It is impossible for an intelligent modern student of history not to sympathize with the underlying idea of the papal court, with the idea of one universal rule of righteousness keeping the peace of the earth, and not to recognize the many elements of nobility that entered into the Lateran policy. Sooner or later mankind must come to one universal peace, unless our race is to be destroyed by the increasing power of its modern destructive inventions; and that universal peace must needs take the form of a government, that is to say a law-sustaining organization in the best sense of the word religious; a government ruling men through the educated co-ordination of their minds in a common conception of human history and human destiny.

The papacy we must now recognize as the first clearly



conscious attempt to provide such a government in the world. We cannot too earnestly examine its deficiencies and inadequacies, for every lesson we can draw from them is necessarily of the greatest value to us in forming our ideas of our own international relationships. We have tried to suggest the main factors in the breakdown of the Roman Republic and it now behooves us to attempt a diagnosis of the failure of the Roman Church to secure and organize the good will of mankind.

The first thing that will strike the student is the intermittence of the efforts of the church to establish the world City of God. The policy of the church was not wholeheartedly and continuously set upon that end. It was only now and then that some fine personality or some group of fine personalities dominated it in that direction. The kingdom of God that Jesus of Nazareth had preached was overlaid, as we have explained, almost from the beginning by the doctrines and ceremonial traditions of an earlier age, and of an intellectually inferior type. Christianity almost from its commencement ceased to be purely prophetic and creative. It entangled itself with archaic traditions and human sacrifice, with Mithraic blood-cleansing, and priestcraft as ancient as human society, and with elaborate doctrines about the structure of the divinity. The gory forefinger of the Etruscan pontifex maximus emphasized the teachings of Jesus of Nazareth; the mental complexity of the Alexandrian Greek entangled them. In the inevitable jangle of these incompatibles the church had become dogmatic. In despair of other solutions to its intellectual discords it had resorted to arbitrary authority. Its priests and bishops were more and more moulded to creeds and dogmas and set procedures; by the time they became cardinals or popes they were usually oldish men, habituated to a politic struggle for immediate ends and no longer capable of world-wide views. They no longer wanted to see the Kingdom of God established in the hearts of men—they had forgotten about that; they wanted to see the power of the church, which was their own power, dominating men. They were prepared to bargain even with

the hates and fears and lusts in men's hearts to ensure that power. And it was just because many of them probably doubted secretly of the entire soundness of their vast and elaborate doctrinal fabric, that they would brook no discussion of it. They were intolerant of questions or dissent, not because they were sure of their faith, but because they were not. They wanted conformity for reason of policy. By the thirteenth century the church was evidently already morbidly anxious about the gnawing doubts that might presently lay the whole structure of its pretensions in ruins. It had no serenity of soul. It was hunting everywhere for heretics as timid old ladies are said to look under beds and in cupboards for burglars before retiring for the night.

We have already mentioned the Persian Mani, who was crucified and flayed in the year 277. His way of representing the struggle between good and evil was as a struggle between a power of light which was, as it were, in rebellion against a power of darkness inherent in the universe. All these profound mysteries are necessarily represented by symbols and poetic expressions, and the ideas of Mani still find a response in many intellectual temperaments to-day. One may hear Manichæan doctrines from many Christian pulpits. But the orthodox Catholic symbol was a different one. These Manichæan ideas had spread very widely in Europe, and particularly in Bulgaria, and the south of France. In the south of France the people who held them were called the Cathars or Albigenses. Their ideas jarred so little with the essentials of Christianity, that they believed themselves to be devout Christians. As a body they lived lives of conspicuous virtue and purity in a violent, undisciplined, and vicious age. But they questioned the doctrinal soundness of Rome and the orthodox interpretation of the Bible. They thought Jesus was a rebel against the cruelty of the God of the Old Testament, and not his harmonious son. Closely associated with the Albigenses were the Waldenses, the followers of a man called Waldo, who seems to have been quite soundly Catholic in his theology, but equally offensive to the church because he denounced

the riches and luxury of the clergy. This was enough for the Lateran, and so we have the spectacle of Innocent III preaching a crusade against these unfortunate sectaries, and permitting the enlistment of every wandering scoundrel at loose ends to carry fire and sword and rape and every conceivable outrage among the most peaceful subjects of the King of France. The accounts of the cruelties and abominations of this crusade are far more terrible to read than any account of Christian martyrdoms by the pagans, and they have the added horror of being indisputably true.

This black and pitiless intolerance was an evil spirit to be mixed into the project of a rule of God on earth. This was a spirit entirely counter to that of Jesus of Nazareth. We do not hear of his smacking the faces or wringing the wrists of recalcitrant or unresponsive disciples. But the Popes during their centuries of power were always raging against the slightest reflection upon the intellectual sufficiency of the church.

And the intolerance of the church was not confined to religious matters. The shrewd, pompous, irascible, and rather malignant old men who manifestly constituted a dominant majority in the councils of the church, resented any knowledge but their own knowledge, and distrusted any thought at all that they did not correct and control. They set themselves to restrain science, of which they were evidently jealous. Any mental activity but their own struck them as being insolent. Later on they were to have a great struggle upon the question of the earth's position in space, and whether it moved round the sun or not. This was really not the business of the church at all. She might very well have left to reason the things that are reason's, but she seems to have been impelled by an inner necessity to estrange the intellectual conscience in men.

Had this intolerance sprung from a real intensity of conviction it would have been bad enough, but it was accompanied by a scarcely disguised contempt for the intelligence and mental dignity of the common man that makes it far less acceptable to our modern judgments, and which no doubt

made it far less acceptable to the free spirits of the time. We have told quite dispassionately the policy of the Roman church towards her troubled sister in the East. Many of the tools and expedients she used were abominable. In her treatment of her own people a streak of real cynicism is visible. She destroyed her prestige by disregarding her own teaching of righteousness. Of dispensations we have already spoken. Her crowning folly in the sixteenth century was the sale of *indulgences*, whereby the sufferings of the soul in purgatory could be commuted for a money payment. But the spirit that led at last to this shameless and, as it proved, disastrous proceeding, was already very evident in the twelfth and thirteenth centuries.

Long before the seed of criticism that Frederick II had sown had germinated in men's minds and produced its inevitable crop of rebellion, there was apparent a strong feeling in Christendom that all was not well with the spiritual atmosphere. There began movements, movements that nowadays we should call "revivalist," within the church, that implied rather than uttered a criticism of the sufficiency of her existing methods and organization. Men sought fresh forms of righteous living outside the monasteries and priesthood. One notable figure is that of St. Francis of Assisi (1181-1226). We cannot tell here in any detail of how this pleasant young gentleman gave up all the amenities and ease of his life and went forth to seek God; the opening of the story is not unlike the early experiences of Gautama Buddha. He had a sudden conversion in the midst of a life of pleasure, and, taking a vow of extreme poverty, he gave himself up to an imitation of the life of Christ, and to the service of the sick and wretched, and more particularly to the service of the lepers, who then abounded in Italy. He was joined by great multitudes of disciples, and so the first Friars of the Franciscan Order came into existence. An order of women devotees was set up beside the original confraternity, and in addition great numbers of men and women were brought into less formal association. He preached, unmolested by the Moslems, be it noted, in Egypt and Pales-

tine, though the Fifth Crusade was then in progress. His relations with the church are still a matter for discussion. His work had been sanctioned by Pope Innocent III, but while he was in the East there was a reconstitution of his order, intensifying its discipline and substituting authority for responsive impulse, and as a consequence of these changes he resigned its headship. To the end he clung passionately to the ideal of poverty, but he was hardly dead before the order was holding property through trustees and building a great church and monastery to his memory at Assisi. The disciplines of the order that were applied after his death to his immediate associates are scarcely to be distinguished from a persecution; several of the more conspicuous zealots for simplicity were scourged, others were imprisoned, one was killed while attempting to escape, and Brother Bernard, the "first disciple," passed a year in the woods and hills, hunted like a wild beast.

This struggle within the Franciscan Order is a very interesting one, because it foreshadows the great troubles that were coming to Christendom. All through the thirteenth century a section of the Franciscans were straining at the rule of the church, and in 1318 four of them were burnt alive at Marseilles as incorrigible heretics. There seems to have been little difference between the teaching and spirit of St. Francis and that of Waldo in the twelfth century, the founder of the murdered sect of Waldenses. Both were passionately enthusiastic for the spirit of Jesus of Nazareth. But while Waldo rebelled against the church, St. Francis did his best to be a good child of the church, and his comment on the spirit of official Christianity was only implicit. But both were instances of an outbreak of conscience against authority and the ordinary procedure of the church. And it is plain that in the second instance, as in the first, the church scented rebellion.

A very different character to St. Francis was the Spaniard St. Dominic (1170-1221), who was, of all things, orthodox. He had a passion for the argumentative conversion of heretics, and he was commissioned by Pope Innocent III to go

and preach to the Albigenses. His work went on side by side with the fighting and massacres of the crusade; whom Dominic could not convert, Innocent's crusaders slew; yet his very activities and the recognition and encouragement of his order by the Pope witness to the rising tide of discussion, and to the persuasion even of the papacy that force was no remedy. In several respects the development of the Black Friars or Dominicans—the Franciscans were the Grey Friars—shows the Roman church at the parting of the ways, committing itself more and more deeply to organized dogma, and so to a hopeless conflict with the quickening intelligence and courage of mankind. She whose one duty was to lead, chose to compel. The last discourse of St. Dominic to the heretics he had sought to convert is preserved to us. It is a signpost in history. It betrays the fatal exasperation of a man who has lost his faith in the power of truth because *his* truth has not prevailed. "For many years," he said, "I have exhorted you in vain, with gentleness, preaching, praying, and weeping. But according to the proverb of my country, 'where blessing can accomplish nothing, blows may avail.' We shall rouse against you princes and prelates, who, alas! will arm nations and kingdoms against the land . . . and thus blows will avail where blessings and gentleness have been powerless."<sup>1</sup>

The thirteenth century saw the development of a new institution in the church, the papal Inquisition. Before this time it had been customary for the Pope to make occasional inquests or inquiries into heresy in this region or that, but now Innocent III saw in the new order of the Dominicans a powerful instrument of suppression. The Inquisition was organized as a standing inquiry under their direction, and with fire and torment the church set itself, through this instrument, to assail and weaken the human conscience in which its sole hope of world dominion resided. Before the thirteenth century the penalty of death had been inflicted but rarely upon heretics and unbelievers. Now in a hundred market-places in Europe the dignitaries of the

<sup>1</sup> *Encyclopædia Britannica*, art. "Dominic."

church watched the blackened bodies of its antagonists, for the most part poor and insignificant people, burn and sink pitifully, and their own great mission to mankind burn and sink with them in dust and ashes.

The beginnings of the Franciscans and the Dominicans were but two among many of the new forces that were arising in Christendom, either to help or shatter the church, as its own wisdom might decide. Those two orders the church did assimilate and use, though with a little violence in the case of the former. But other forces were more frankly disobedient and critical. A century and a half later came Wycliffe (1320–1384). He was a learned doctor at Oxford; for a time he was Master of Balliol; and he held various livings in the church. Quite late in his life he began a series of outspoken criticisms of the corruption of the clergy and the unwisdom of the church. He organized a number of poor priests, the Wycliffites, to spread his ideas throughout England; and in order that people should judge between the church and himself, he translated the Bible into English. He was a more learned and far abler man than either St. Francis or St. Dominic. He had supporters in high places and a great following among the people; and though Rome raged against him, and ordered his imprisonment, he died a free man, still administering the Sacraments as parish priest of Lutterworth. But the black and ancient spirit that was leading the Catholic church to its destruction would not let his bones rest in his grave. By a decree of the Council of Constance in 1415, his remains were ordered to be dug up and burnt, an order which was carried out at the command of Pope Martin V by Bishop Fleming in 1428. This desecration was not the act of some isolated fanatic; it was the official act of the church.

### § 14

The history of the papacy is confusing to the general reader because of the multitude and abundance of the Popes. They mostly began to reign as old men, and their reigns

were short, averaging less than two years each. But certain of the Popes stand out and supply convenient handles for the student to grasp. Such was Gregory I (590-604) the Great, the first monkish Pope, the friend of Benedict, the sender of the English mission. Other noteworthy Popes are Leo III (795-816), who crowned Charlemagne, the scandalous Popes John XI (931-936) and John XII (955-963), which later was deposed by the Emperor Otto I, and the great Hildebrand, who ended his days as Pope Gregory VII (1073-1085), and who did so much by establishing the celibacy of the clergy, and insisting upon the supremacy of the church over kings and princes, to centralize the power of the church in Rome. There was a great struggle between Hildebrand and the emperor-elect, Henry IV, upon the question of investitures. The emperor attempted to depose the pope; the pope excommunicated the emperor and released his subject princes from their allegiance. The emperor was obliged to go in penitence to the pope at Canossa (1077), and to await forgiveness for three days clad in sackcloth and barefooted in the snow in the courtyard of the castle. The next Pope but one after Gregory VII was Urban II (1087-1099), the Pope of the First Crusade. The period from the time of Gregory VII onward for a century and a half, was the great period of ambition and effort for the church. There was a real sustained attempt to unite all Christendom under a purified and reorganized church.

The setting up of Latin kingdoms in Syria and the Holy Land, in religious communion with Rome, after the First Crusade, marked the opening stage of a conquest of Eastern Christianity by Rome that reached its climax during the Latin rule in Constantinople (1204-1261).

In 1176, at Venice, the Emperor Frederick Barbarossa (Frederick I) knelt to the Pope Alexander III, recognized his spiritual supremacy, and swore fealty to him. But after the death of Alexander III, in 1181, the peculiar weakness of the papacy, its liability to fall to old and enfeebled men, became manifest. Five Popes tottered to the Lateran to die within the space of ten years. Only with Innocent III



(1198-1216) did another vigorous Pope take up the great policy of the City of God.

Under Innocent III, the guardian of that Emperor Frederick II, whose career we have already studied and the five Popes who followed him, the Pope of Rome came nearer to being the monarch of a united Christendom than he had ever been before, and was ever to be again. The empire was weakened by internal dissensions, Constantinople was in Latin hands, from Bulgaria to Ireland and from Norway to Sicily and Jerusalem the Pope was supreme. Yet this supremacy was more apparent than real. For, as we have seen, while in the time of Urban the power of faith was strong in all Christian Europe, in the time of Innocent III the papacy had lost its hold upon the hearts of princes, and the faith and conscience of the common people was turning against a merely political and aggressive church.

The church in the thirteenth century was extending its legal power in the world, and losing its grip upon men's consciences. It was becoming less persuasive and more violent. No intelligent man can tell of this process, or read of this process of failure without very mingled feelings. The church had sheltered and formed a new Europe throughout the long ages of European darkness and chaos; it had been the matrix in which the new civilization had been cast. But this new-formed civilization was impelled to grow by its own inherent vitality, and the church lacked sufficient power of growth and accommodation. The time was fast approaching when this matrix was to be broken.

The first striking intimation of the decay of the living and sustaining forces of the papacy appeared when presently the Popes came into the conflict with the growing power of the French King. During the lifetime of the Emperor Frederick II, Germany fell into disunion, and the French king began to play the rôle of guard, supporter, and rival to the Pope that had hitherto fallen to the Hohenstaufen emperors. A series of Popes pursued the policy of supporting the French monarchs. French princes were estab-

lished in the kingdom of Sicily and Naples, with the support and approval of Rome, and the French kings saw before them the possibility of restoring and ruling the Empire of Charlemagne. When, however, the German interregnum after the death of Frederick II, the last of the Hohenstaufens, came to an end and Rudolf of Habsburg was elected first Habsburg Emperor (1273), the policy of the Lateran began to fluctuate between France and Germany, veering about with the sympathies of each successive Pope. In the East in 1261 the Greeks recaptured Constantinople from the Latin emperors, and the founder of the new Greek dynasty, Michael Palæologus, Michael VIII, after some unreal tentatives of reconciliation with the Pope, broke away from the Roman communion altogether, and with that, and the fall of the Latin kingdoms in Asia, the eastward ascendancy of the Popes came to an end.

In 1294 Boniface VIII became Pope. He was an Italian, hostile to the French, and full of a sense of the great traditions and mission of Rome. For a time he carried things with a high hand. In 1300 he held a jubilee, and a vast multitude of pilgrims assembled in Rome. "So great was the influx of money into the papal treasury that two assistants were kept busy with rakes collecting the offerings that were deposited at the tomb of St. Peter."<sup>1</sup> But this festival was a delusive triumph. It is easier to raise a host of excursionists than a band of crusaders. Boniface came into conflict with the French king in 1302, and in 1303, as he was about to pronounce sentence of excommunication against that monarch, he was surprised and arrested in his own ancestral palace, at Anagni, by Guillaume de Nogaret. This agent from the French king forced an entrance into the palace, made his way into the bedroom of the frightened Pope—he was lying in bed with a cross in his hands—and heaped threats and insults upon him. The Pope was liberated a day or so later by the townspeople and returned to

<sup>1</sup> J. H. Robinson.

Rome; but there he was seized upon and again made prisoner by the Orsini family, and in a few weeks' time the shocked and disillusioned old man died a prisoner in their hands.

The people of Anagni did resent the first outrage, and rose against Nogaret to liberate Boniface, but then Anagni was the Pope's native town. The important point to note is that the French king, in this rough treatment of the head of Christendom, was acting with the full approval of his people; he had summoned a council of the Three Estates of France (lords, church, and commons) and gained their consent before proceeding to extremities. Neither in Italy, Germany, nor England was there the slightest general manifestation of disapproval at this free handling of the sovereign pontiff. The idea of Christendom had decayed until its power over the minds of men had gone.

Throughout the fourteenth century the papacy did nothing to recover its moral sway. The next Pope elected, Clement V, was a Frenchman, the choice of King Philip of France. He never came to Rome. He set up his court in the town of Avignon, which then belonged not to France, but to the Papal See, though embedded in French territory, and there his successors remained until 1377, when Pope Gregory XI returned to the Vatican palace in Rome. But Gregory XI did not take the sympathies of the whole church with him. Many of the cardinals were of French origin, and their habits and associations were rooted at Avignon. When in 1378 Gregory XI died, and an Italian, Urban VI, was elected, these dissentient cardinals declared the election invalid, and elected another Pope, the anti-Pope, Clement VII. This split is called the Great Schism. The Popes remained in Rome, and all the anti-French powers, the Emperor, the King of England, Hungary, Poland, and the North of Europe were loyal to them. The anti-Popes, on the other hand, continued in Avignon, and were supported by the King of France, his ally the King of Scotland, Spain, Portugal, and various German princes. Each Pope excommunicated and cursed the adherents of his rival, so that by

one standard or another all Christendom was damned during this time (1378-1417). The lamentable effect of this split upon the solidarity of Christendom it is impossible to exaggerate. Is it any marvel that such men as Wycliffe began to teach men to think on their own account when the fountain of truth thus squirted against itself? In 1417 the Great Schism was healed at the Council of Constance, the same council that dug up and burnt Wycliffe's bones, and which, as we shall tell later, caused the burning of John Huss; at this council, Pope and anti-Pope resigned or were swept aside, and Martin V became the sole Pope of a formally reunited but spiritually very badly strained Christendom.

How later on the Council of Basle (1437) led to a fresh schism, and to further anti-Popes, we cannot relate here.

Such, briefly, is the story of the great centuries of papal ascendancy and papal decline. It is the story of the failure to achieve the very noble and splendid idea of a unified and religious world. We have pointed out in the previous section how greatly the inheritance of a complex dogmatic theology encumbered the church in this its ambitious adventure. It had too much theology, and not enough religion. But it may not be idle to point out here how much the individual insufficiency of the Popes also contributed to the collapse of its scheme and dignity. There was no such level of education in the world as to provide a succession of cardinals and popes with the breadth of knowledge and outlook needed for the task they had undertaken; they were not sufficiently educated for their task, and only a few, by sheer force of genius, transcended that defect. And, as we have already pointed out, they were, when at last they got to power, too old to use it. Before they could grasp the situation they had to control, most of them were dead. It would be interesting to speculate how far it would have tilted the balance in favour of the church if the cardinals had retired at fifty, and if no one could have been elected Pope after fifty-five. This would have lengthened the average reign of each Pope, and enormously increased the con-

tinuity of the policy of the church. And it is perhaps possible that a more perfect system of selecting the cardinals, who were the electors and counsellors of the Pope, might have been devised. The rules and ways by which men reach power are of very great importance in human affairs. The psychology of the ruler is a science that has still to be properly studied. We have seen the Roman Republic wrecked, and here we see the church failing in its world mission very largely through ineffective electoral methods.

## XXXIII

# THE GREAT EMPIRE OF JENGIS KHAN AND HIS SUCCESSORS

(The Age of the Land Ways)

- § 1. *Asia at the End of the Twelfth Century.* § 2. *The Rise and Victories of the Mongols.* § 3. *The Travels of Marco Polo.* § 4. *The Ottoman Turks and Constantinople.* § 5. *Why the Mongols were not Christianized.* § 5a. *Kublai Khan Founds the Yuan Dynasty.* § 5b. *The Mongols Revert to Tribalism.* § 5c. *The Kipchak Empire and the Tsar of Muscovy.* § 5d. *Timurlane.* § 5e. *The Mongol Empire of India.* § 5f. *The Mongols and the Gipsies.*

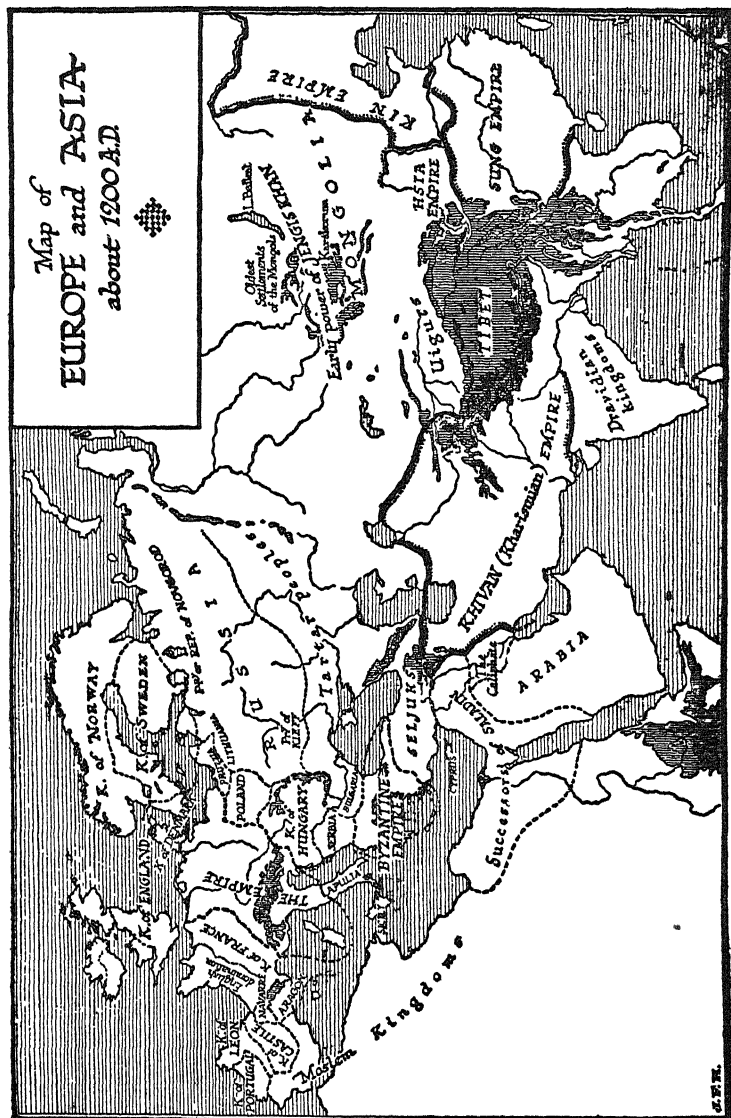
### § 1

WE have to tell now of the last and greatest of all the raids of nomadism upon the civilizations of the East and West. We have traced in this history the development side by side of these two ways of living, and we have pointed out that as the civilizations grew more extensive and better organized, the arms, the mobility, and the intelligence of the nomads also improved. The nomad was not simply an uncivilized man, he was a man specialized and specializing along his own line. From the very beginning of history the nomad and the settled people have been in reaction. We have told of the Semitic and Elamite raids upon Sumeria; we have seen the Western empire smashed by the nomads of the great plains and Persia conquered and Byzantium shaken by the nomads of Arabia. The Mongol aggression, which began with the thirteenth century, was the last of these destructive reploughings of human association.

From entire obscurity the Mongols came very suddenly into history towards the close of the twelfth century. They appeared in the country to the north of China, in the land of origin of the Huns and Turks, and they were manifestly of the some strain as these peoples. They were gathered together under a chief, with whose name we will not tax the memory of the reader; under his son Jengis Khan their power grew with extraordinary swiftness.

The reader will already have an idea of the gradual breaking up of the original unity of Islam. In the beginning of the thirteenth century there were a number of separate and discordant Moslem states in Western Asia. There was Egypt (Palestine and much of Syria) under the successors of Saladin, there was the Seljuk power in Asia Minor, there was still an Abbasid caliphate in Bagdad, and to the east of this again there had grown up a very considerable empire, the Kharismian empire, that of the Turkish princes from Khiva who had conquered a number of fragmentary Seljuk principalities and reigned from the Ganges valley to the Tigris. They had but an insecure hold on the Persian and Indian populations.

The state of the Chinese civilization was equally inviting to an enterprising invader. One last glimpse of China in this history was in the seventh century during the opening years of the Tang dynasty, when that shrewd and able emperor Tai-tsung was weighing the respective merits of Nestorian Christianity, Islam, Buddhism, and the teaching of the Lao Tse, and on the whole inclining to the opinion that Lao Tse was as good a teacher as any. We have described his reception of the traveller Yuan Chwang. Tai-tsung tolerated all religions, but several of his successors conducted a pitiless persecution of the Buddhist faith; it flourished in spite of these persecutions, and its monasteries played a somewhat analogous part in at first sustaining learning and afterwards retarding it, that the Christian monastic organization did in the West. By the tenth century the great Tang dynasty was in an extreme state of decay; the usual degener-





ative process through a series of voluptuaries and incapables had gone on, and China broke up again politically into a variable number of contending states, "The age of the Ten States," an age of confusion that lasted through the first half of the tenth century. Then arose a dynasty, the Northern Sung (960-1127), which established a sort of unity, but which was in constant struggle with a number of Hunnish peoples from the north who were pressing down the eastern coast. For a time one of these peoples, the Khitan, prevailed. In the twelfth century these people had been subjugated and had given place to another Hunnish empire, the empire of the Kin, with its capital at Peking and its southern boundary south of Hwangho. The Sung empire shrank before this Kin empire. In 1138 the capital was shifted from Nanking, which was now too close to the northern frontier, to the city of Han Chau on the coast. From 1127 onward to 1295, the Sung dynasty is known as the Southern Sung. To the north-west of its territories there was now the Tartar empire of the Hsia; to the north, the Kin empire—both states in which the Chinese population was under rulers in whom nomadic traditions were still strong. So that here on the east also the main masses of Asiatic mankind were under uncongenial rulers and ready to accept, if not to welcome, the arrival of a conqueror.

Northern India we have already noted was also a conquered country at the opening of the thirteenth century. It was at first a part of the Khivan empire, but in 1206 an adventurous ruler, Kutub, who had been a slave and who had risen as a slave to be governor of the Indian province, set up a separate Moslem state of Hindustan in Delhi. Brahminism had long since ousted Buddhism from India, but the converts to Islam were still but a small ruling minority in the land.

Such was the political state of Asia when Jengis Khan began to consolidate his power among the nomads in the country between Lakes Balkash and Baikal in the beginning of the thirteenth century.

## § 2

The career of conquest of Jengis Khan and his immediate successors astounded the world, and probably astounded no one more than these Mongol Khans themselves.

The Mongols were in the twelfth century a tribe subject to those Kin who had conquered North-east China. They were a horde of nomadic horsemen living in tents, and subsisting mainly upon mare's milk products and meat. Their occupations were pasturage and hunting, varied by war. They drifted northward as the snows melted for summer pasture, and southward to winter pasture after the custom of the steppes. Their military education began with a successful insurrection against the Kin. The empire of Kin had the resources of half China behind it, and in the struggle the Mongols learnt very much of the military science of the Chinese. By the end of the twelfth century they were already a fighting tribe of exceptional quality.

The opening years of the career of Jengis were spent in developing his military machine, in assimilating the Mongols and the associated tribes about them into one organized army. His first considerable extension of power was westward, when the Tartar Kirghis and the Uigurs<sup>1</sup> (who were

<sup>1</sup> The Uigurs first appear in the 6th century, when they were known as the Kao-ku or High Carters, one of the two main divisions of the Turks in and around Northern Mongolia. Their period of independent greatness was from 750-850 A.D., corresponding with the height of the glory of the famous T'ang Dynasty.

The Uigurs attained a very high level of culture, and recent archaeological research has brought to light a vast amount of Uigur literature and art from which we learn that Christianity, Buddhism and Manichæism were all practised in their kingdom, the utmost tolerance being observed while Manichæism was the state religion. The Uigurs were certainly the most civilized of all the northern neighbours of China, and though their kingdom was destroyed in 850 by a northern Turkish tribe, the Khirgiz, the Uigurs by no means disappear from history, and up to the 15th century we constantly find small Uigur principalities and states springing up, while during the whole of this period the Uigurs were extensively employed in Muhammadan chancelleries, playing much the same role in the govern-

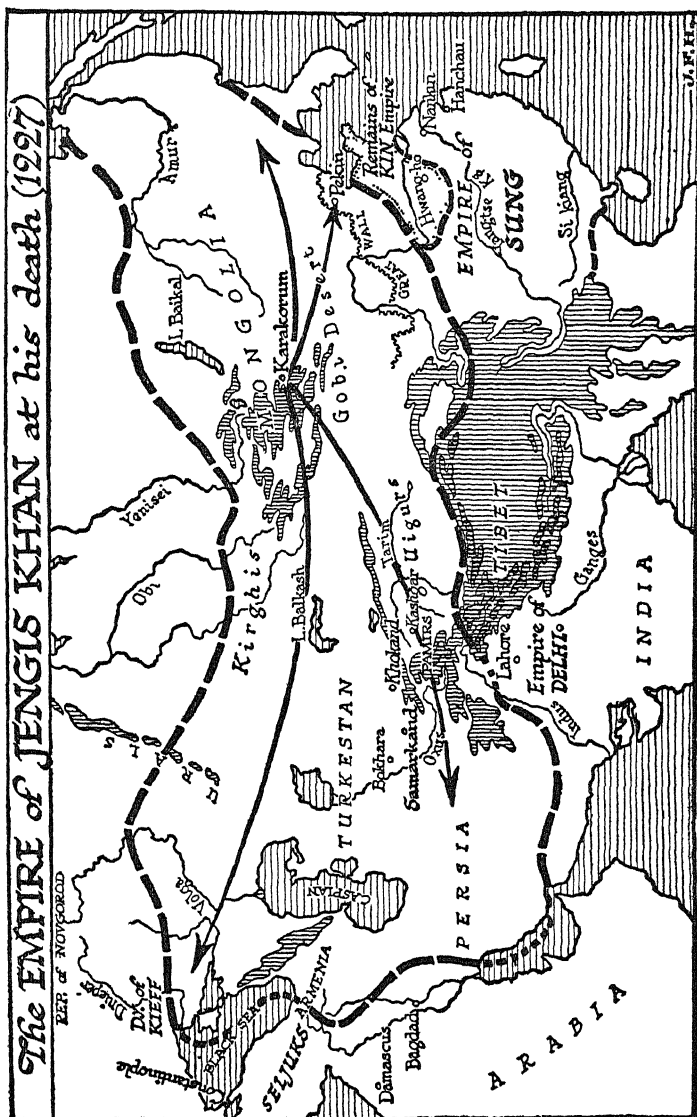
the Tartar people of the Tarim basin) were not so much conquered as induced to join his organization. He then attacked the Kin empire and took Pekin (1214). The Khitan people, who had been so recently subdued by the Kin, threw in their fortunes with his, and were of very great help to him. The settled Chinese population went on sowing and reaping and trading during this change of masters without lending its weight to either side.

We have already mentioned the very recent Kharismian empire of Turkestan, Persia and North India. This empire extended eastward to Kashgar, and it must have seemed one of the most progressive and hopeful empires of the time. Jengis Khan, while still engaged in this war with the Kin empire, sent envoys to Kharismia. They were put to death, an almost incredible stupidity. The Kharismian government, to use the political jargon of to-day, had decided not to "recognize" Jengis Khan, and took this spirited course with him. Thereupon (1218) the great host of horsemen that Jengis Khan had consolidated and disciplined swept

ment offices of Turkestan as the Hindus under the Delhi Moghuls and the Bengalis under the British in India.

The period of oriental history beginning with the appearance of Jengis Khan in the 13th century and ending with the conquest of Constantinople by the Ottoman Turks, tells us of the rise and fall of a great number of Turkish dynasties in Central Asia, India and Persia; and it is curious to note that in most cases these dynasties were founded by men who had begun life as slaves. In an unpublished Persian MS. of the 13th century the following curious account of the Turks occurs:—

"It is common knowledge that all races and classes while they remain among their own people and in their own country are honoured and respected; but when they go abroad they become miserable and abject. The Turks on the contrary while they remain among their own people are merely a tribe among many tribes, and enjoy no particular power or status. But when they leave their own country and come to a Muhammadan country (the more remote they are from their own homes and relatives, the more highly they are valued and appreciated) they become Amirs and Generalissimos. Now from the days of Adam down to the present day no slave bought at a price has ever become King except among the Turks; and among the sayings of Af-rasyah, who was king of the Turks, and was extraordinarily wise and learned, was his dictum that the Turk is like a pearl in its shell at the bottom of the sea, which only becomes valuable when it leaves the sea, and adorns the diadems of kings and the ears of brides."—D. R.



over the Pamirs and down into Turkestan. It was well armed, and probably it had some guns and gunpowder for siege work—for the Chinese were certainly using gunpowder at this time, and the Mongols learnt its use from them. Kashgar, Khokand, Bokhara fell and then Samarkand, the capital of the Kharismian empire. Thereafter nothing held the Mongols in the Kharismian territories. They swept westward to the Caspian, and southward as far as Lahore. To the north of the Caspian a Mongol army encountered a Russian force from Kieff. There was a series of battles, in which the Russian armies were finally defeated and the Grand Duke of Kieff taken prisoner. So it was the Mongols appeared on the northern shores of the Black Sea. A panic swept Constantinople, which set itself to reconstruct its fortifications. Meanwhile other armies were engaged in the conquest of the empire of the Hsia in China. This was annexed, and only the southern part of the Kin empire remained unsubdued. In 1227 Jengis Khan died in the midst of a career of triumph. His empire reached already from the Pacific to the Dnieper. And it was an empire still vigorously expanding.

Like all the empires founded by nomads, it was, to begin with, purely a military and administrative empire, a framework rather than a rule. It centred on the personality of the monarch, and its relations with the mass of the populations over which it ruled was simply one of taxation for the maintenance of the horde. But Jengis Khan had called to his aid a very able and experienced administrator of the Kin empire, who was learned in all the traditions and science of the Chinese. This statesman, Yeliu Chutsai, was able to carry on the affairs of the Mongols long after the death of Jengis Khan, and there can be little doubt that he is one of the great political heroes of history. He tempered the barbaric ferocity of his masters, and saved innumerable cities and works of art from destruction. He collected archives and inscriptions, and when he was accused of corruption, his sole wealth was found to consist of documents and a few musical instruments. To him perhaps quite as much as to

Jengis is the efficiency of the Mongol military machine to be ascribed. Under Jengis, we may note further, we find the completest religious toleration established across the entire breadth of Asia.

At the death of Jengis the capital of the new empire was still in the great barbaric town of Karakorum in Mongolia. There an assembly of Mongol leaders elected Ogdai Khan, the son of Jengis, as his successor. The war against the vestiges of the Kin empire was prosecuted until Kin was altogether subdued (1234). The Chinese empire to the south under the Sung dynasty helped the Mongols in this task, so destroying their own bulwark against the universal conquerors. The Mongol hosts then swept right across Asia to Russia (1235), an amazing march. Kieff was destroyed in 1240, and nearly all Russia became tributary to the Mongols. Poland was ravaged, and a mixed army of Poles and Germans was annihilated at the battle of Liegnitz in Lower Silesia in 1241. The Emperor Frederick II does not seem to have made any great efforts to stay the advancing tide.

"It is only recently," says Bury in his notes to Gibbon's *Decline and Fall of the Roman Empire*, "that European history has begun to understand that the successes of the Mongol army which overran Poland and occupied Hungary in the spring of A. D. 1241 were won by consummate strategy and were not due to a mere overwhelming superiority of numbers. But this fact has not yet become a matter of common knowledge; the vulgar opinion which represents the Tartars as a wild horde carrying all before them solely by their multitude, and galloping through Eastern Europe without a strategic plan, rushing at all obstacles and overcoming them by mere weight, still prevails. . . .

"It was wonderful how punctually and effectually the arrangements of the commander were carried out in operations extending from the Lower Vistula to Transylvania. Such a campaign was quite beyond the power of any European army of the time, and it was beyond the vision of any European commander. There was no general in Europe, from Frederick II downward, who was not a tyro in strategy

compared to Subutai. It should also be noticed that the Mongols embarked upon the enterprise with full knowledge of the political situation of Hungary and the condition of Poland—they had taken care to inform themselves by a well-organized system of spies; on the other hand, the Hungarians and Christian powers, like childish barbarians, knew hardly anything about their enemies."

But though the Mongols were victorious at Liegnitz, they did not continue their drive westward. They were getting into woodlands and hilly country, which did not suit their tactics; and so they turned southward and prepared to settle in Hungary, massacring or assimilating the kindred Magyar, even as these had previously massacred and assimilated the mixed Scythians and Avars and Huns before them. From the Hungarian plan they would probably have made raids west and south as the Hungarians had done in the ninth century, the Avars in the seventh and eighth, and the Huns in the fifth. But in Asia the Mongols were fighting a stiff war of conquest against the Sung, and they were also raiding Persia and Asia Minor; Ogdai died suddenly, and in 1242 there was trouble about the succession, and recalled by this, the undefeated hosts of Mongols began to pour back across Hungary and Rumania towards the east.

To the great relief of Europe the dynastic troubles at Karakorum lasted for some years, and this vast new empire showed signs of splitting up. Mangu Khan became the Great Khan in 1251, and he nominated his brother Kublai Khan as Governor-General of China. Slowly but surely the entire Sung empire was subjugated, and as it was subjugated the eastern Mongols became more and more Chinese in their culture and methods. Tibet was invaded and devastated by Mangu, and Persia and Syria invaded in good earnest. Another brother of Mangu, Hulagu, was in command of this latter war. He turned his arms against the caliphate and captured Bagdad, in which city he perpetrated a massacre of the entire population. Bagdad was still the religious capital of Islam, and the Mongols had become bitterly hostile to the Moslems. This hostility exacerbated the

natural discord of nomad and townsman. In 1259 Mangu died, and in 1260—for it took the best part of a year for the Mongol leaders to gather from the extremities of this vast empire, from Hungary and Syria and Scind and China—Kublai was elected Great Khan. He was already deeply interested in Chinese affairs; he made his capital Peking instead of Karakorum, and Persia, Syria, and Asia Minor became virtually independent under his brother Hulagu, while the hordes of Mongols in Russia and Asia next to Russia, and various smaller Mongol groups in Turkestan became also practically separate. Kublai died in 1294, and with his death even the titular supremacy of the Great Khan disappeared.

At the death of Kublai there was a main Mongol empire, with Peking as its capital, including all China and Mongolia; there was a second great Mongol empire, that of Kipchak in Russia; there was a third in Persia, that founded by Hulagu, the Ilkhan empire, to which the Seljuk Turks in Asia Minor were tributary; there was a Siberian state between Kipchak and Mongolia; and another separate state "Great Turkey" in Turkestan. It is particularly remarkable that India beyond the Punjab was never invaded by the Mongols during this period, and that an army under the Sultan of Egypt completely defeated Ketboga, Hulagu's general, in Palestine (1260), and stopped them from entering Africa. By 1260 the impulse of Mongol conquest had already passed its zenith. Thereafter the Mongol story is one of division and decay.

The Mongol dynasty that Kublai Khan had founded in China, the Yuan dynasty, lasted from 1280 until 1368. Later on a recrudescence of Mongolian energy in Western Asia was destined to create a still more enduring monarchy in India. But in the 13th and 14th centuries the Afghans and not the Mongols were masters of north India, and an Afghan empire extended into the Deccan.

### § 3

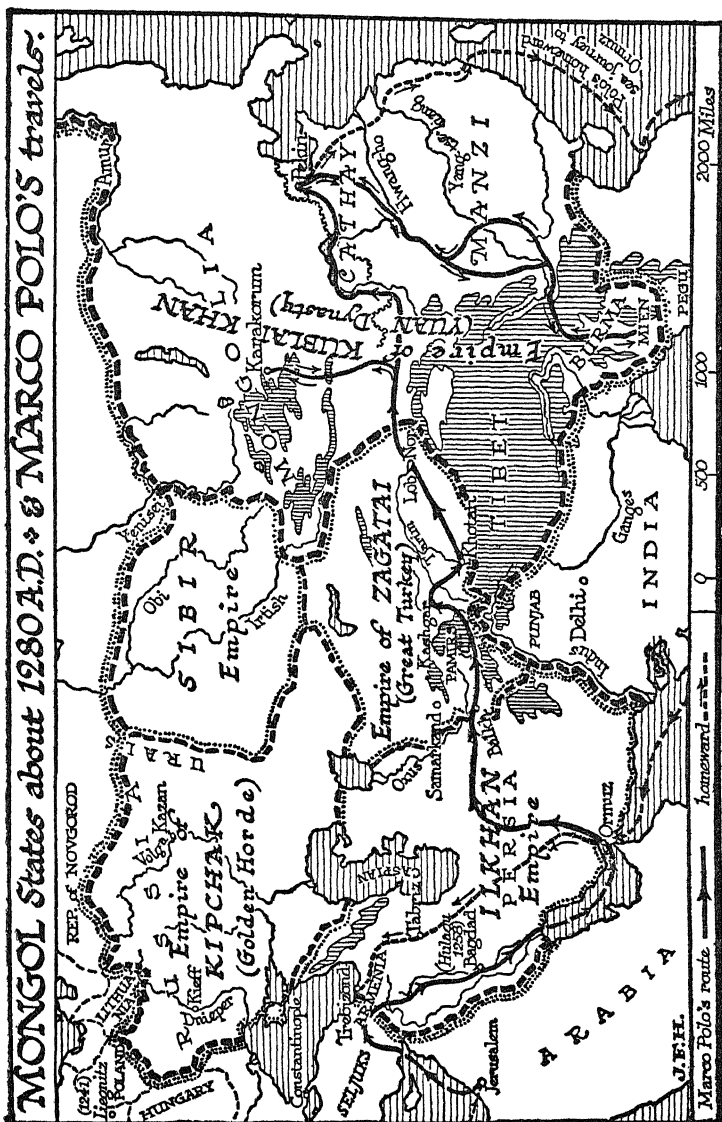
Now this story of Mongolian conquests is surely one of the most remarkable in all history. The conquests of Alex-



under the Great cannot compare with them in extent. Their effect in diffusing and broadening men's ideas and stimulating their imagination was enormous. For a time all Asia and Western Europe enjoyed an open intercourse; all the roads were temporarily open, and representatives of every nation appeared at the court of Karakorum.

The barriers between Europe and Asia set up by the religious feud of Christianity and Islam were lowered. Great hopes were entertained by the papacy for the conversion of the Mongols to Christianity. Their only religion so far had been Shamanism, a primitive paganism. Envoys of the Pope, Buddhist priests from India, Parisian and Italian and Chinese artificers, Byzantine and Armenian merchants, mingled with Arab officials and Persian and Indian astronomers and mathematicians at the Mongol court. We hear too much in history of the campaigns and massacres of the Mongols, and not enough of their curiosity and desire for learning. Not perhaps as an originaive people, but as transmitters of knowledge and method their influence upon the world's history has been very great. And everything one can learn of the vague and romantic personalities of Jengis or Kublai tends to confirm the impression that these men were at least as understanding and creative monarchs as either that flamboyant but egotistical figure Alexander the Great, or that raiser of political ghosts, that energetic but illiterate theologian, Charlemagne.

The missionary enterprises of the papacy in Mongolia ended in failure. Christianity was losing its persuasive power. The Mongols had no prejudice against Christianity; they evidently preferred it at first to Islam; but the missions that came to them were manifestly using the power in the great teachings of Jesus to advance the vast claims of the Pope to world dominion. Christianity so vitiated was not good enough for the Mongol mind. To make the empire of the Mongols part of the Kingdom of God might have appealed to them; but not to make it a fief of a group of French and Italian priests, whose claims



were as gigantic as their powers and outlook were feeble, who were now the creatures of the Emperor of Germany, now the nominees of the King of France, and now the victims of their own petty spites and vanities. In 1269 Kublai Khan sent a mission to the Pope with the evident intention of finding some common mode of action with Western Christendom. He asked that a hundred men of learning and ability should be sent to his court to establish an understanding. His mission found the Western world popeless, and engaged in one of those disputes about the succession that are so frequent in the history of the papacy. For two years there was no pope at all. When at last a pope was appointed, he dispatched two Dominican friars to convert the greatest power in Asia to his rule! Those worthy men were appalled by the length and hardship of the journey before them, and found an early excuse for abandoning the expedition.

But this abortive mission was only one of a number of attempts to communicate, and always they were feeble and feeble-spirited attempts, with nothing of the conquering fire of the earlier Christian missions. Innocent IV had already sent some Dominicans to Karakorum, and Saint Louis of France had also dispatched missionaries and relics by way of Persia; Mangu Khan had numerous Nestorian Christians at his court, and subsequent papal envoys actually reached Peking. We hear of the appointment of various legates and bishops to the East, but many of these seem to have lost themselves and perhaps their lives before they reached China. There was a papal legate in Peking in 1346, but he seems to have been a mere papal diplomatist. With the downfall of the Mongolian (Yuan) dynasty (1368), the dwindling opportunity of the Christian missions passed altogether. The house of Yuan was followed by that of Ming, a strongly nationalist Chinese dynasty, at first very hostile to all foreigners. There may have been a massacre of the Christian missions. Until the later days of the Mings (1644) little more was heard of Christianity, whether Nestorian or Catholic, in China. Then a fresh and rather more success-

ful attempt to propagate Catholic Christianity in China was made by the Jesuits, but this second missionary wave reached China by the sea.

In the year 1298 a naval battle occurred between the Genoese and the Venetians, in which the latter were defeated. Among the 7,000 prisoners taken by the Genoese was a Venetian gentleman named Marco Polo, who had been a great traveller, and who was very generally believed by his neighbours to be given to exaggeration. He had taken part in that first mission to Kublai Khan, and had gone on when the two Dominicans turned back. While this Marco Polo was a prisoner in Genoa, he beguiled his tedium by talking of his travels to a certain writer named Rusticiano, who wrote them down. We will not enter here into the vexed question of the exact authenticity of Rusticiano's story—we do not certainly know in what language it was written—but there can be no doubt of the general truth of this remarkable narrative, which became enormously popular in the fourteenth and fifteenth centuries with all men of active intelligence. *The Travels of Marco Polo* is one of the great books of history. It opens this world of the thirteenth century to our imaginations, this century which saw the reign of Frederick II and the beginnings of the Inquisition, as no mere historian's chronicle can do. It led directly to the discovery of America.

It begins by telling of the journey of Marco's father, Nicolo Polo, and uncle, Maffeo Polo, to China. These two were Venetian merchants of standing, living in Constantinople, and somewhen about 1260 they went to the Crimea and thence to Kazan; from that place they journeyed to Bokhara, and at Bokhara they fell in with a party of envoys from Kublai Khan to China to his brother Hulagu in Persia. These envoys pressed them to come on to the Great Khan, who at that time had never seen men of the "Latin" peoples. They went on; and it is clear they made a very favourable impression upon Kublai, and interested him greatly in the civilization of Christendom. They were made the bearers of that request for a hundred teachers and learned men, "intelligent men acquainted with the Seven Arts, able

to enter into controversy and able clearly to prove to idolators and other kinds of folk that the Law of Christ was best," to which we have just alluded. But when they returned Christendom was in a phase of confusion, and it was only after a delay of two years that they got their authorization to start for China again in the company of those two faint-hearted Dominicans. They took with them young Marco, and it is due to his presence and the boredom of his subsequent captivity at Genoa that this most interesting experience has been preserved to us.

The three Polos started by way of Palestine and not by the Crimea, as in the previous expedition. They had with them a gold tablet and other indications from the Great Khan that must have greatly facilitated their journey. The Great Khan had asked for some oil from the lamp that burns in the Holy Sepulchre at Jerusalem; and so thither they first went, and then by way of Cilicia into Armenia. They went thus far north because the Sultan of Egypt was raiding the Ilkhan domains at this time. Thence they came by way of Mesopotamia to Ormuz on the Persian Gulf, as if they contemplated a sea voyage. At Ormuz they met merchants from India. For some reason they did not take ship, but instead turned northward through the Persian deserts, and so by way of Balkh over the Pamir to Kashgar, and by way of Kotan and the Lob Nor (so following in the footsteps of Yuan Chwang) into the Hwangho valley and on to Pekin. Pekin, Polo calls "Cambaluc"; Northern China, "Cathay" (= Khitan); and Southern China of the former Sung dynasty, "Manzi." At Pekin was the Great Khan, and they were hospitably entertained. Marco particularly pleased Kublai; he was young and clever, and it is clear he had mastered the Tartar language very thoroughly. He was given an official position and sent on several missions, chiefly in South-west China. The tale he had to tell of vast stretches of smiling and prosperous country, "all the way excellent hostleries for travellers," and "fine vineyards, fields and gardens," of "many abbeys" of Buddhist monks, of manufacturers of "cloth of silk and gold

and many fine taffetas," a "constant succession of cities and boroughs," and so on, first roused the incredulity and then fired the imagination of all Europe. He told of Burmah, and of its great armies with hundreds of elephants, and how these animals were defeated by the Mongol bowmen, and also of the Mongol conquest of Pegu. He told of Japan, and greatly exaggerated the amount of gold in that country. And, still more wonderful, he told of Christians and Christian rulers in China, and of a certain "Prester John," John the Priest, who was the "king" of a Christian people. Those people he had not seen. Apparently they were a tribe of Nestorian Tartars in Mongolia. An understandable excitement probably made Rusticiano over-emphasize what must have seemed to him the greatest marvel of the whole story, and Prester John became one of the most stimulating legends of the fourteenth and fifteenth centuries. It encouraged European enterprise enormously to think that far away in China was a community of their co-religionists, presumably ready to welcome and assist them. For three years Marco ruled the city of Yang-Chow as governor, and he probably impressed the Chinese inhabitants as being very little more of a foreigner than any Tartar would have been. He may also have been sent on a mission to India. Chinese records mention a certain Polo attached to the imperial council in 1277, a very valuable confirmation of the general truth of the Polo story.

The Polos had taken about three and a half years to get to China. They stayed there upwards of sixteen. Then they began to feel homesick. They were the protégés of Kublai, and possibly they felt that his favours roused a certain envy that might have disagreeable results after his death. They sought his permission to return. For a time he refused it, and then an opportunity occurred. Argon, the Ilkhan monarch of Persia, the grandson of Hulagu, Kublai's brother, had lost his Mongol wife, and on her death-bed he had promised not to wed any other woman but a Mongol of her own tribe. He sent ambassadors to Peking and a suitable princess was selected, a girl of seventeen. To spare her the

fatigues of the caravan route, it was decided to send her by sea with a suitable escort. The "Barons" in charge of her asked for the company of the Polos because these latter were experienced travellers and sage men, and the Polos snatched at this opportunity of getting homeward. The expedition sailed from some port on the east of South China; they stayed long in Sumatra and South India, and they reached Persia after a voyage of two years. They delivered the young lady safely to Argon's successor—for Argon was dead—and she married Argon's son. The Polos then went by Tabriz to Trebizond, sailed to Constantinople, and got back to Venice about 1295. It is related that the returned travellers, dressed in Tartar garb, were refused admission to their own house. It was some time before they could establish their identity. Many people who admitted that, were still inclined to look askance at them as shabby wanderers; and, in order to dispel such doubts, they gave a great feast, and when it was at its height they had their old padded suits brought to them, dismissed the servants, and then ripped open these garments, whereupon an incredible display of "rubies, sapphires, carbuncles, emeralds, and diamonds" poured out before the dazzled company. Even after this, Marco's accounts of the size and population of China were received with much furtive mockery. The wits nicknamed him *Il Milione*, because he was always talking of millions of people and millions of ducats.

Such was the story that raised eyebrows first in Venice and then throughout the Western world. The European literature, and especially the European romance of the fifteenth century, echoes with the names in Marco Polo's story, with Cathay and Cambulac and the like.

#### § 4

These travels of Marco Polo were only the beginning of a very considerable intercourse. Before we go on, however, to describe the great widening of the mental horizons of Europe that was now beginning, and to which this book of

travels was to contribute very materially, it will be convenient first to note a curious side consequence of the great Mongol conquests, the appearance of the Ottoman Turks upon the Dardanelles, and next to state in general terms the breaking up and development of the several parts of the empire of Jengis Khan.

The Ottoman Turks were a little band of fugitives who fled south-westerly before the first invasion of Western Turkestan by Jengis. They made their long way from Central Asia, over deserts and mountains and through alien populations, seeking some new lands in which they might settle. "A small band of alien herdsmen," says Sir Mark Sykes, "wandering unchecked through crusades and counter-crusades, principalities, empires, and states. Where they camped, how they moved and preserved their flocks and herds, where they found pasture, how they made their peace with the various chiefs through whose territories they passed, are questions which one may well ask in wonder."

They found a resting-place at last and kindred and congenial neighbours on the table-lands of Asia Minor among the Seljuk Turks. Most of this country, the modern Anatolia, was now largely Turkish in speech and Moslem in religion, except that there was a considerable proportion of Greeks, Jews, and Armenians in the town populations. No doubt the various strains of Hittite, Phrygian, Trojan, Lydian, Ionian Greek, Cimmerian, Galatian, and Italian (from the Pergamus times) still flowed in the blood of the people, but they had long since forgotten these ancestral elements. They were indeed much the same blend of ancient Mediterranean dark-whites, Nordic Aryans, Semites and Mongolians as were the inhabitants of the Balkan peninsula, but they believed themselves to be a pure Turanian race, and altogether superior to the Christians on the other side of the Bosphorus.

Gradually the Ottoman Turks became important, and at last dominant among the small principalities into which the Seljuk empire, the empire of "Roum," had fallen. Their relations with the dwindling empire of Constantinople remained for some centuries tolerantly hostile. They made

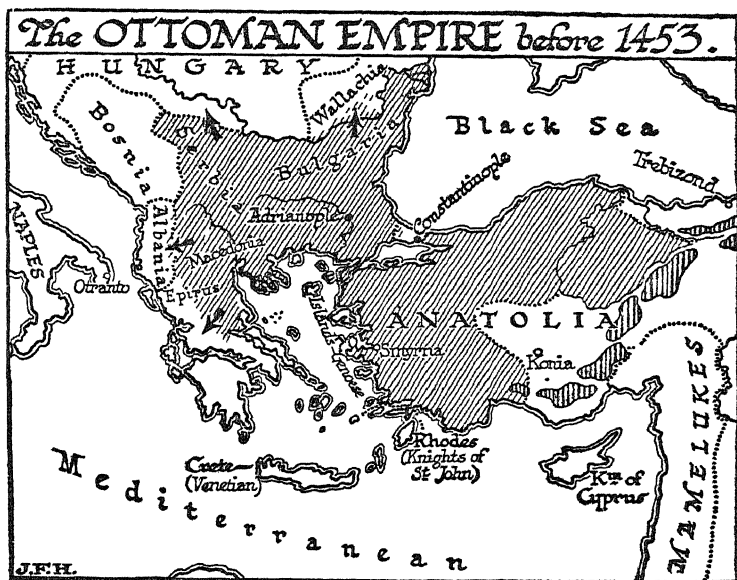


no attack upon the Bosphorus, but they got a footing in Europe at the Dardanelles, and, using this route, the route of Xerxes and not the route of Darius, they pushed their way steadily into Macedonia, Epirus, Illyria, Yugo-Slavia, and Bulgaria. In the Serbs (Yugo-Slavs) and Bulgarians the Turks found people very like themselves in culture and, though neither side recognized it, probably very similar in racial admixture, with a little less of the dark Mediterranean and Mongolian strains than the Turks and a trifle more of the Nordic element. But these Balkan peoples were Christians, and bitterly divided among themselves. The Turks on the other hand spoke one language; they had a greater sense of unity, they had the Moslem habits of temperance and frugality, and they were on the whole better soldiers. They converted what they could of the conquered people to Islam; the Christians they disarmed, and conferred upon them the monopoly of tax-paying. Gradually the Ottoman princes consolidated an empire that reached from the Taurus mountains in the east to Hungary and Roumania in the west. Adrianople became their chief city. They surrounded the shrunken empire of Constantinople on every side.

The Ottomans organized a standing military force, the Janissaries, rather on the lines of the Mamelukes who dominated Egypt. "These troops were formed of levies of Christian youths to the extent of one thousand per annum, who were affiliated to the Bektashi order of dervishes, and though at first not obliged to embrace Islam, were one and all strongly imbued with the mystic and fraternal ideas of the confraternity to which they were attached. Highly paid, well-disciplined, a close and jealous secret society, the Janissaries provided the newly formed Ottoman state with a patriotic force of trained infantry soldiers which, in an age of light cavalry and hired companies of mercenaries, was an invaluable asset. . . .

"The relations between the Ottoman Sultans and the Emperors has been singular in the annals of Moslem and Christian states. The Turks had been involved in the family

and dynastic quarrels of the Imperial City, were bound by ties of blood to the ruling families, frequently supplied troops for the defence of Constantinople, and on occasion hired parts of its garrison to assist them in their various campaigns; the sons of the Emperors and Byzantine statesmen even accompanied the Turkish forces in the field, yet the Ottomans never ceased to annex Imperial territories and cities both in Asia and Thrace. This curious intercourse



between the House of Osman and the Imperial government had a profound effect on both institutions; the Greeks grew more and more debased and demoralized by the shifts and tricks that their military weakness obliged them to adopt towards their neighbours, the Turks were corrupted by the alien atmosphere of intrigue and treachery which crept into their domestic life. Fratricide and parricide, the two crimes which most frequently stained the annals of the Imperial Palace, eventually formed a part of the policy of the Ottoman dynasty. One of the sons of Murad I embarked on an

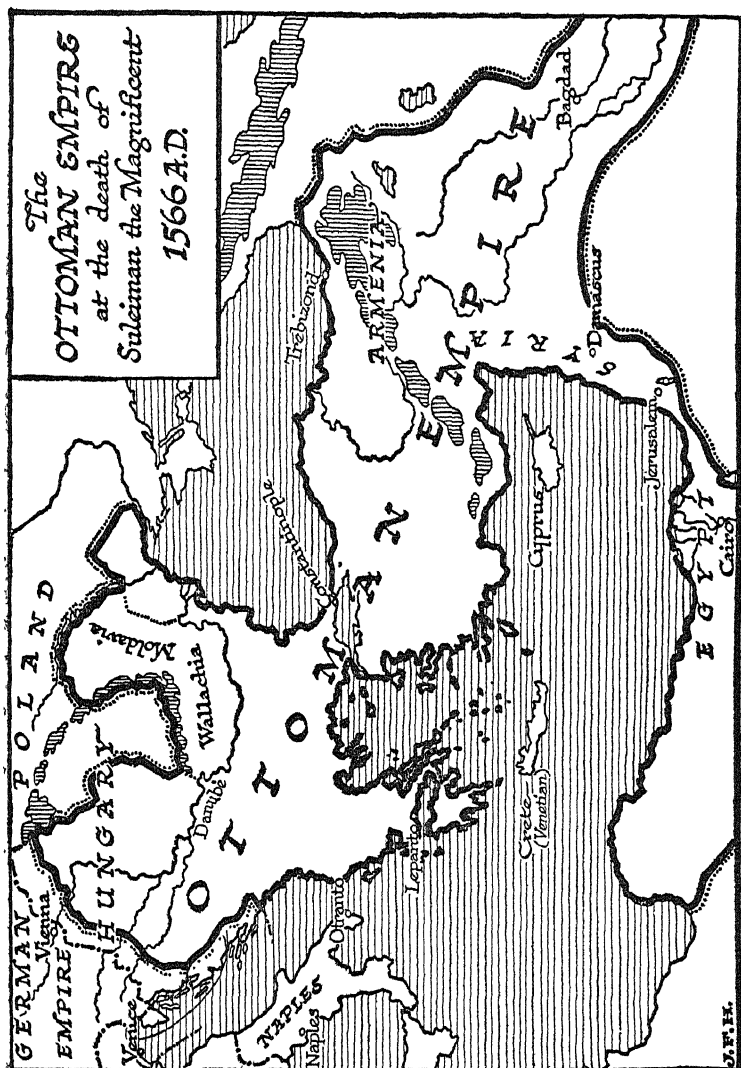
intrigue with Andronicus, the son of the Greek Emperor, to murder their respective fathers. . . .

"The Byzantine found it more easy to negotiate with the Ottoman Pasha than with the Pope. For years the Turks and Byzantines had intermarried, and hunted in couples in strange by-paths of diplomacy. The Ottoman had played the Bulgar and the Serb of Europe against the Emperor, just as the Emperor had played the Asiatic Amir against the Sultan; the Greek and Turkish Royal Princes had mutually agreed to hold each other's rivals as prisoners and hostages; in fact, Turk and Byzantine policy had so intertwined that it is difficult to say whether the Turks regarded the Greeks as their allies, enemies, or subjects, or whether the Greeks looked upon the Turks as their tyrants, destroyers, or protectors. . . ." <sup>1</sup>

It was in 1453, under the Ottoman Sultan, Muhammad II, that Constantinople at last fell to the Moslems. He attacked it from the European side, and with a great power of artillery. The Greek Emperor was killed, and there was much looting and massacre. The great church of Saint Sophia which Justinian the Great had built (532) was plundered of its treasures and turned at once into a mosque. This event sent a wave of excitement throughout Europe, and an attempt was made to organize a crusade, but the days of the crusades were past.

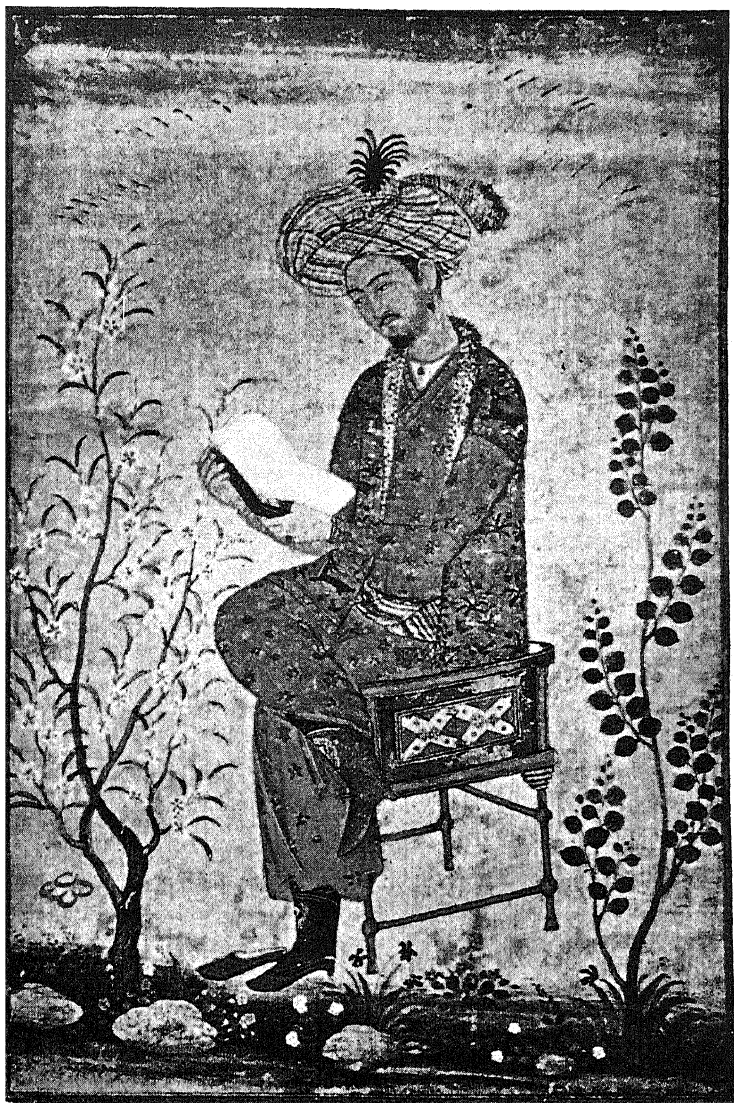
Says Sir Mark Sykes: "To the Turks the capture of Constantinople was a crowning mercy and yet a fatal blow. Constantinople had been the tutor and polisher of the Turks. So long as the Ottomans could draw science, learning, philosophy, art and tolerance from a living fountain of civilization in the heart of their dominions, so long had the Ottomans not only brute force, but intellectual power. So long as the Ottoman Empire had in Constantinople a free port, a market, a centre of world finance, a pool of gold, an exchange, so long did the Ottomans never lack for money and financial support. Muhammad was a great statesman, the moment

<sup>1</sup> Sir Mark Sykes, *The Caliphs' Last Heritage*.



he entered Constantinople he endeavoured to stay the damage his ambition had done; he supported the patriarch, he conciliated the Greeks, he did all he could to continue Constantinople, the city of the Emperors . . . but the fatal step had been taken, Constantinople as the city of the Sultans was Constantinople no more; the markets died away, the culture and civilization fled, the complex finance faded from sight; and the Turks had lost their governors and their support. On the other hand, the corruptions of Byzantium remained, the bureaucracy, the eunuchs, the palace guards, the spies, the bribers, go-betweens—all these the Ottomans took over, and all these survived in luxuriant life. The Turks, in taking Stambul, let slip a treasure and gained a pestilence. . . .”

Muhammad's ambition was not sated by the capture of Constantinople. He set his eyes also upon Rome. He captured and looted the Italian town of Otranto, and it is probable that a very vigorous and perhaps successful attempt to conquer Italy—for the peninsula was divided against itself—was averted only by his death (1481). His sons engaged in fratricidal strife. Under Bayezid II (1481–1512), his successor, war was carried into Poland, and most of Greece was conquered. Selim (1512–1520), the son of Bayezid, extended the Ottoman power over Armenia and conquered Egypt. In Egypt, the last Abbasid Caliph was living under the protection of the Mameluke Sultan—for the Fatimite caliphate was a thing of the past. Selim bought the title of Caliph from this last degenerate Abbasid, and acquired the sacred banner and other relics of the Prophet. So the Ottoman Sultan became also Caliph of all Islam. Selim was followed by Suleiman the Magnificent (1520–1566), who conquered Bagdad in the east and the greater part of Hungary in the west, and very nearly captured Vienna. His fleets also took Algiers, and inflicted a number of reverses upon the Venetians. In most of his warfare with the empire he was in alliance with the French. Under him the Ottoman power reached its zenith.



BABER, FOUNDER OF MOGUL DYNASTY IN INDIA

A descendant of Jengis Khan, he conquered northern India and became Emperor of Hindustan, a successor ruling the whole peninsula. The British monarch's Indian title is that of the Mogul emperors, *Kaiser-i-Hind*. (From a Persian drawing of the 16th century)



#### LUTHER'S PROTEST AGAINST INDULGENCES

Martin Luther's attacks on the sale of papal indulgences, beginning in 1516, aroused a storm of controversy and made him the leader of the Reformation. (Painting by Lindenschmidt at the Metropolitan Museum of Art)

## § 5

Let us now very briefly run over the subsequent development of the main masses of the empire of the Great Khan. In no case did Christianity succeed in capturing the imagination of these Mongol states. Christianity was in a phase of moral and intellectual insolvency, without any collective faith, energy, or honour; we have told of the wretched brace of timid Dominicans which was the Pope's reply to the appeal of Kublai Khan, and we have noted the general failure of the overland missions of the thirteenth and fourteenth centuries. That apostolic passion that could win whole nations to the Kingdom of Heaven was dead in the church.

In 1305, as we have told, the Pope became the kept pontiff of the French king. All the craft and policy of the Popes of the thirteenth century to oust the Emperor from Italy had only served to let in the French to replace him. From 1305 to 1377 the Popes remained at Avignon; and such slight missionary effort as they made was merely a part of the strategy of Western European politics. In 1377 the Pope Gregory XI did indeed re-enter Rome and die there, but the French cardinals split off from the others at the election of his successor, and two Popes were elected, one at Avignon and one at Rome. This split, the Great Schism, lasted from 1378 to 1418. Each Pope cursed the other, and put all his supporters under an interdict. Such was the state of Christianity, and such were now the custodians of the teachings of Jesus of Nazareth. All Asia was white unto harvest, but there was no effort to reap it.

When at last the church was reunited and missionary energy returned with the foundation of the order of the Jesuits, the days of opportunity were over. The possibility of a world-wide moral unification of East and West through Christianity had passed away. The Mongols in China and Central Asia turned to Buddhism; in South Russia, Western Turkestan, and the Ilkham Empire they embraced Islam.



## § 5A

In China the Mongols were already saturated with Chinese civilization by the time of Kublai. After 1280 the Chinese annals treat Kublai as a Chinese monarch, the founder of the Yuan dynasty (1280-1368). This Mongol dynasty was finally overthrown by a Chinese nationalist movement which set up the Ming dynasty (1368-1644), a cultivated and artistic line of emperors, ruling until a northern people, the Manchus, who were the same as the Kin whom Jengis had overthrown, conquered China and established a dynasty which gave way only to a native republican form of government in 1912.

It was the Manchus who obliged the Chinese to wear pig-tails as a mark of submission. The pig-tailed Chinaman is quite a recent figure in history. With the coming of the republic the wearing of the pig-tail has ceased to be compulsory, and many Chinamen no longer wear it.

## § 5B

In the Pamirs, in much of Eastern and Western Turkestan, and to the north, the Mongols dropped back towards the tribal conditions from which they had been lifted by Jengis. It is possible to trace the dwindling succession of many of the small Khans who became independent during this period, almost down to the present time. The Kalmuks in the seventeenth and eighteenth centuries founded a considerable empire, but dynastic troubles broke it up before it had extended its power beyond Central Asia. The Chinese recovered Eastern Turkestan from them about 1757.

Tibet was more and more closely linked with China, and became the great home of Buddhism and Buddhist monasticism.

Over most of the area of Western Central Asia and Persia and Mesopotamia, the ancient distinction of nomad and settled population remains to this day. The townsmen de-

spise and cheat the nomads, the nomads ill-treat and despise the townsfolk.

§ 50

The Mongols of the great realm of Kipchak remained nomadic, and grazed their stock across the wide plains of South Russia and Western Asia adjacent to Russia. They became not very devout Moslems, retaining many traces of their earlier barbaric Shamanism. Their chief Khan was the Khan of the Golden Horde. To the west, over large tracts of open country, and more particularly in what is now known as Ukrainia, the old Scythian population, Slavs with a Mongol admixture, reverted to a similar nomadic life. These Christian nomads, the Cossacks, formed a sort of frontier screen against the Tartars, and their free and adventurous life was so attractive to the peasants of Poland and Lithuania that severe laws had to be passed to prevent a vast migration from the ploughlands to the steppes. The serf-owning landlords of Poland regarded the Cossacks with considerable hostility on this account, and war was as frequent between the Polish chivalry and the Cossacks as it was between the latter and the Tartars.

In the empire of Kipchak, as in Turkestan almost up to the present time, while the nomads roamed over wide areas, a number of towns and cultivated regions sustained a settled population which usually paid tribute to the nomad Khan. In such towns as Kieff, Moscow, and the like, the pre-Mongol, Christian town life went on under Russian dukes or Tartar governors, who collected the tribute for the Khan of the Golden Horde. The Grand Duke of Moscow gained the confidence of the Khan, and gradually, under his authority, obtained an ascendancy over many of his fellow tributaries. In the fifteenth century, under its grand duke, Ivan III, Ivan the Great (1462-1505), Moscow threw off its Mongol allegiance and refused to pay tribute any longer (1480). The successors of Constantine no longer reigned in Constantinople, and Ivan took possession of the Byzantine double-

headed eagle for his arms. He claimed to be the heir to Byzantium because of his marriage (1472) with Zoe Palæologus of the imperial line. This ambitious grand dukedom of Moscow assailed and subjugated the ancient Northman trading republic of Novgorod to the north, and so the foundations of the modern Russian Empire were laid and a link with the mercantile life of the Baltic established. Ivan III did not, however, carry his claim to be the heir of the Christian rulers of Constantinople to the extent of assuming the imperial title. This step was taken by his grandson, Ivan IV (Ivan the Terrible, because of his insane cruelties; 1533-1584). Although the ruler of Moscow thus came to be called Tsar (Cæsar), his tradition was in many respects Tartar rather than European; he was autocratic after the unlimited Asiatic pattern, and the form of Christianity he affected was the Eastern, court-ruled, "orthodox" form, which had reached Russia long before the Mongol conquest, by means of Bulgarian missionaries from Constantinople.

To the west of the domains of Kipchak, outside the range of Mongol rule, a second centre of Slav consolidation had been set up during the tenth and eleventh centuries in Poland. The Mongol wave had washed over Poland, but had never subjugated it. Poland was not "orthodox," but Roman Catholic in religion; it used the Latin alphabet instead of the strange Russian letters, and its monarch never assumed an absolute independence of the Emperor. Poland was in fact in its origins an outlying part of Christendom and of the Holy Empire; Russia never was anything of the sort.

### § 5D

The nature and development of the empire of the Ilkhans in Persia, Mesopotamia, and Syria is perhaps the most interesting of all the stories of these Mongol powers, because in this region nomadism really did attempt, and really did to a very considerable degree succeed in its attempt to stamp a settled civilized system out of existence. When Jengis

Khan first invaded China, we are told that there was a serious discussion among the Mongol chiefs whether all the towns and settled populations should not be destroyed. To these simple practioners of the open-air life the settled populations seemed corrupt, crowded, vicious, effeminate, dangerous, and incomprehensible; a detestable human efflorescence upon what would otherwise have been good pasture. They had no use whatever for the towns. The early Franks and the Anglo-Saxon conquerors of South Britain seem to have had much the same feeling towards townsmen. But it was only under Hulagu in Mesopotamia that these ideas seem to have been embodied in a deliberate policy. The Mongols here did not only burn and massacre; they destroyed the irrigation system that had endured for at least eight thousand years, and with that the mother civilization of all the Western world came to an end. Since the days of the priest-kings of Sumeria there had been a continuous cultivation in these fertile regions, an accumulation of tradition, a great population, a succession of busy cities, Eridu, Nippur, Babylon, Nineveh, Ctesiphon, Bagdad. Now the fertility ceased. Mesopotamia became a land of ruins and desolation, through which great waters ran to waste, or overflowed their banks to make malarious swamps. Later on Mosul and Bagdad revived feebly as second-rate towns. . . .

But for the defeat and death of Hulagu's general Kitboga in Palestine (1260), the same fate might have overtaken Egypt. But Egypt was now a Turkish sultanate; it was dominated by a body of soldiers, the Mamelukes, whose ranks, like those of their imitators, the Janissaries of the Ottoman Empire, were recruited and kept vigorous by the purchase and training of boy slaves. A capable Sultan such men would obey; a weak or evil one they would replace. Under this ascendancy Egypt remained an independent power until 1517, when it fell to the Ottoman Turks.

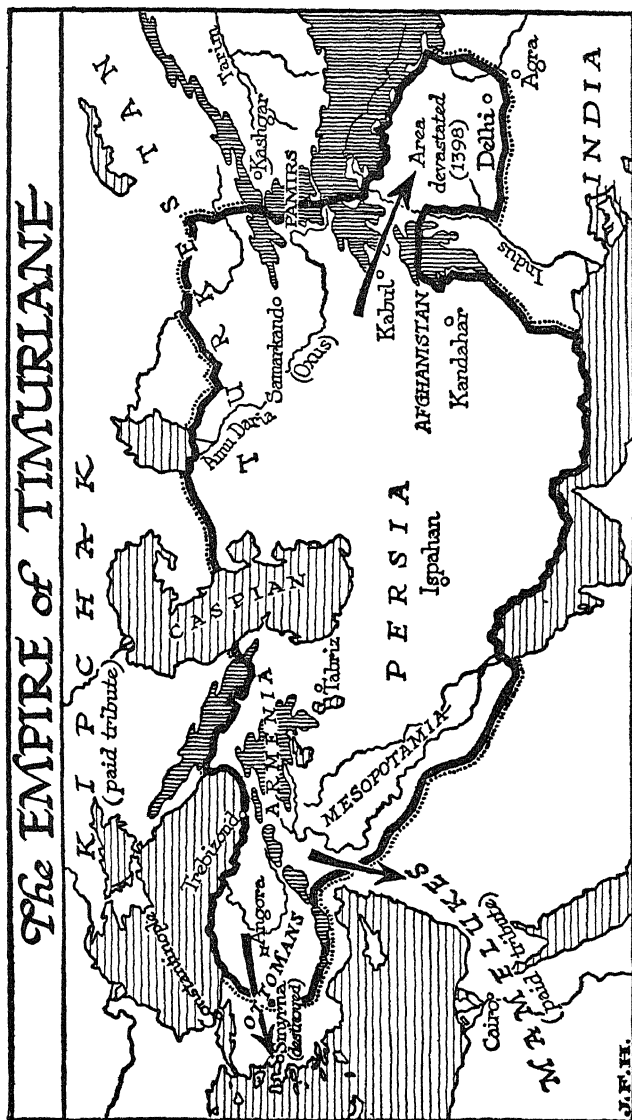
The first destructive vigour of Hulagu's Mongols soon subsided, but in the fifteenth century a last tornado of nomadism arose in Western Turkestan under the leadership

of a certain Timur the Lame, or Timurlane. He was descended in the female line from Jengis Khan. He established himself in Samarkand, and spread his authority over Kipchak (Turkestan to South Russia), Siberia, and southward as far as the Indus. He assumed the title of Great Khan in 1369. He was a nomad of the savage school, and he created an empire of desolation from North India to Syria. Pyramids of skulls were his particular architectural fancy; after the storming of Ispahan he made one of 70,000. His ambition was to restore the empire of Jengis Khan as he conceived it, a project in which he completely failed. He spread destruction far and wide; the Ottoman Turks—it was before the taking of Constantinople and their days of greatness—and Egypt paid him tribute; the Punjab he devastated; and Delhi surrendered to him. After Delhi had surrendered, however, he made a frightful massacre of its inhabitants. At the time of his death (1405) very little remained to witness to his power but a name of horror, ruins and desolated countries, and a shrunken and impoverished domain in Persia.

The dynasty founded by Timur in Persia was extinguished by another Turkoman horde fifty years later.

### § 5B

In 1505 a small Turkoman chieftain, Baber, a descendant of Timur and therefore of Jengis, was forced after some years of warfare and some temporary successes—for a time he held Samarkand—to fly with a few followers over the Hindu Kush to Afghanistan. There his band increased, and he made himself master of Cabul. He assembled an army, accumulated guns, and then laid claim to the Punjab, because Timur had conquered it a hundred and seven years before. He pushed his successes beyond the Punjab. India was in a state of division, and quite ready to welcome any capable invader who promised peace and order. After various fluctuations of fortune Baber met the Sultan of Delhi at Panipat (1525), ten miles north of that town, and though



he had but 25,000 men, provided, however, with guns, against a thousand elephants and four times as many men—the numbers, by the by, are his own estimate—he gained a complete victory. He ceased to call himself King of Cabul, and assumed the title of Emperor of Hindustan. “This,” he wrote, “is quite a different world from our countries.” It was finer, more fertile, altogether richer. He conquered as far as Bengal, but his untimely death in 1530 checked the tide of Mongol conquest for a quarter of a century, and it was only after the accession of his grandson Akbar that it flowed again. Akbar subjugated all India as far as Berar, and his great-grandson Aurungzeb (1658–1707) was practically master of the entire peninsula. This great dynasty of Baber (1526–1530), Humayun (1530–1556), Akbar (1556–1605), Jehangir (1605–1628), Shah Jehan (1628–1658), and Aurungzeb (1658–1707), in which son succeeded father for six generations, this “Mogul (= Mongol) dynasty,”<sup>1</sup> marks the most splendid age that had hitherto dawned upon India. Akbar, next perhaps to Asoka, was one of the greatest of Indian monarchs, and one of the few royal figures that approach the stature of great men.

To Akbar it is necessary to give the same distinctive attention that we have shown to Charlemagne or Constantine the Great. He is one of the hinges of history. Much of his work of consolidation and organization in India survives to this day. It was taken over and continued by the British when they became the successors of the Mogul emperors. The British monarch, indeed, now uses as his Indian title the title of the Mogul emperors *Kaisar-i-Hind*. All the other great administrations of the descendants of Jengis Khan, in Russia, throughout Western and Central Asia and in China, have long since dissolved away and given place to other forms of government. Their governments were indeed little more than taxing governments; a system of revenue-collecting to feed the central establishment of the ruler, like the Golden

<sup>1</sup> “Mogul” is our rendering of the Arabic spelling Mughal, which itself was a corruption of Mongol, the Arabic alphabet having no symbol for *ng*.—H. H. J.

Horde in South Russia or the imperial city at Karakorum or Peking. The life and ideas of the people they left alone, careless how they lived—so long as they paid. So it was that after centuries of subjugation, a Christian Moscow and Kieff, a Shiite Persia, and a thoroughly Chinese China rose again from their Mongol submergence. But Akbar made a new India. He gave the princes and ruling classes of India some inklings at least of a common interest. If India is now anything more than a sort of rag-bag of incoherent states and races, a prey to every casual raider from the north, it is very largely due to him.

His distinctive quality was his openness of mind. He set himself to make every sort of able man in India, whatever his race or religion, available for the public work of Indian life. His instinct was the true statesman's instinct for synthesis. His empire was to be neither a Moslem nor a Mongol one, nor was it to be Rajput or Aryan, or Dravidian, or Hindu, or high or low caste; it was to be *Indian*. "During the years of his training he enjoyed many opportunities of noting the good qualities, the fidelity, the devotion, often the nobility of soul, of those Hindu princes, whom, because they were followers of Brahma, his Moslem courtiers devoted mentally to eternal torments. He noted that these men, and men who thought like them, constituted the vast majority of his subjects. He noted, further, of many of them, and those the most trustworthy, that though they had apparently much to gain from a worldly point of view by embracing the religion of the court, they held fast to their own. His reflective mind, therefore, was unwilling from the outset to accept the theory that because he, the conqueror, the ruler, happened to be born a Muhammadan, therefore Muhammadanism was true for all mankind. Gradually his thoughts found words in the utterance: 'Why should I claim to guide men before I myself am guided?' and, as he listened to other doctrines and other creeds, his honest doubts became confirmed, and, noting daily the bitter narrowness of sectarianism, no matter of what form of religion, he became more and more wedded to the principle of toleration for all."



"The son of a fugitive emperor," says Dr. Emil Schmit, "born in the desert, brought up in nominal confinement, he had known the bitter side of life from his youth up. Fortune had given him a powerful frame, which he trained to support the extremities of exertion. Physical exercise was with him a passion; he was devoted to the chase and especially to the fierce excitement of catching the wild horse or elephant or slaying the dangerous tiger. On one occasion, when it was necessary to dissuade the Raja of Jodhpore to abandon his intention of forcing the widow of his deceased son to mount the funeral pyre, Akbar rode two hundred and twenty miles in two days. In battle he displayed the utmost bravery. He led his troops in person during the dangerous part of a campaign, leaving to his generals the lighter task of finishing the war. In every victory he displayed humanity to the conquered, and decisively opposed any exhibition of cruelty. Free from all those prejudices which separate society and create dissension, tolerant to men of other beliefs, impartial to men of other races, whether Hindu or Dravidian, he was a man obviously marked out to weld the conflicting elements of his kingdom into a strong and prosperous whole.

"In all seriousness he devoted himself to the work of peace. Moderate in all pleasures, needing but little sleep and accustomed to divide his time with the utmost accuracy, he found leisure to devote himself to science and art after the completion of his State duties. The famous personages and scholars who adorned the capital he had built for himself at Fatepur-Sikri were at the same time his friends; every Thursday evening a circle of these was collected for intellectual conversation and philosophical discussion. His closest friends were two highly talented brothers, Faizi and Abul Fazl, the sons of a learned free-thinker. The elder of these was a famous scholar in Hindu literature; with his help, and under his direction, Akbar had the most important of the Sanskrit works translated into Persian. Fazl, on the other hand, who was an especially close friend of Akbar, was a general, a statesman, and an organizer, and to his activity

Akbar's kingdom chiefly owed the solidarity of its internal organization."<sup>1</sup>

(Such was the quality of the circle that used to meet in the palaces of Fatehpur-Sikri, buildings which still stand in the Indian sunlight—but empty now and desolate. Fatehpur-Sikri, like the city of Ambar, is now a dead city. A few years ago the child of a British official was killed by a panther in one of its silent streets.) Like Charlemagne and like Tai-Tsung, Akbar dabbled in religion, and had long discussions, that are still on record, with Jesuit missionaries.

Akbar, like all men, great or petty, lived within the limitations of his period and its circle of ideas. And a Turkoman, ruling in India, was necessarily ignorant of much that Europe had been painfully learning for a thousand years. He knew nothing of the growth of a popular consciousness in Europe, and little or nothing of the wide educational possibilities that the church had been working out in the West. Something more than an occasional dispute with a Christian missionary was needed for that. His upbringing in Islam and his native genius made it plain to him that a great nation in India could only be cemented by common ideas upon a religious basis, but the knowledge of how such a solidarity could be created and sustained by universal schools, cheap books, and a university system at once organized and free to think, to which the modern state is still feeling its way, was as impossible to him as a knowledge of steamboats or aeroplanes. The form of Islam he knew best was the narrow and fiercely intolerant form of the Turkish Sunnites. The Moslems were only a minority of the population. The problem he faced was indeed very parallel to the problem of Constantine the Great. But it had peculiar difficulties of its own. He never got beyond an attempt to adapt Islam to a wider appeal by substituting for "There is one God, and Muhammad is his prophet," the declaration, "There is one God, and the Emperor is his vice-regent." This he thought might form a common platform for every variety of faith in India, that kaleidoscope of religions. With this faith he

<sup>1</sup> Dr. Schmit in Helmolt's *History of the World*.

associated a simple ritual borrowed from the Persian Zoroastrians (the Parsees) who still survived, and survive to-day, in India. This new state religion, however, died with him, because it had no roots in the minds of the people about him.

The essential factor in the organization of a living state, the world is coming to realize, is the organization of an education. This Akbar never understood. And he had no class of men available who would suggest such an idea to him or help him to carry it out. The Moslem teachers in India were not so much teachers as conservators of an intense bigotry; they did not want a common mind in India, but only a common intolerance in Islam. The Brahmins, who had the monopoly of teaching among the Hindus, had all the conceit and slackness of hereditary privilege. Yet though Akbar made no general educational scheme for India, he set up a number of Moslem and Hindu schools. He knew less and he did more for India in these matters than the British who succeeded him. Some of the British viceroys have aped his magnificence, his costly tents and awnings, his palatial buildings and his elephants of state, but none have gone far enough beyond the political outlook of this mediæval Turkoman to attempt that popular education which is an absolute necessity to India before she can play her fitting part in the commonweal of mankind.

### § 5F

A curious side result of these later Mongol perturbations, those of the fourteenth century of which Timurlane was the head and centre, was the appearance of drifting batches of a strange refugee Eastern people in Europe, the Gipsies. They appeared somewhen about the end of the fourteenth and early fifteenth centuries in Greece, where they were believed to be Egyptians (hence Gipsy), a very general persuasion which they themselves accepted and disseminated. Their leaders, however, styled themselves "Counts of Asia Minor." They had probably been drifting about Western

Asia for some centuries before the massacres of Timurlane drove them over the Hellespont. They may have been dislodged from their original homeland—as the Ottoman Turks were—by the great cataclysm of Jengis or even earlier. They had drifted about as the Ottoman Turks had drifted about, but with less good fortune. They spread slowly westward across Europe, strange fragments of nomadism in a world of plough and city, driven off their ancient habitat of the Bactrian steppes to harbour upon European commons and by hedgerows and in wild woodlands and neglected patches. The Germans called them “Hungarians” and “Tartars,” the French, “Bohemians.” They do not seem to have kept the true tradition of their origin, but they have a distinctive language which indicates their lost history; it contains many North Indian words, and is probably in its origin North Indian. There are also considerable Armenian and Persian elements in their speech. They are found in all European countries to-day; they are tinkers, pedlers, horsedealers, showmen, fortune-tellers, and beggars. To many imaginative minds their wayside encampments, with their smoking fires, their rounded tents, their hobbled horses, and their brawl of sunburnt children, have a very strong appeal. Civilization is so new a thing in history, and has been for most of the time so very local a thing, that it has still to conquer and assimilate most of our instincts to its needs. In most of us, irked by its conventions and complexities, there stirs the romad strain. We are but halfhearted home-keepers. The blood in our veins was brewed on the steppes as well as on the ploughlands.

## THE SEATTLE

# JAPS OUST BOSS, RAISE OWN PAY

By MORRIE LANDSBERG

Associated Press Foreign Staff

TOKYO, June 11.—Japan's new and unorthodox labor weapon—locking out the boss—has kept production and profits flowing as usual at the Mitsubishi's big chemical plant, where the 530 workers haven't seen a company official since March 1.

The workers bank company profits—first raising their pay—and run the big plant as usual.

There is no violence, and has been none since the employees quietly seized the plant on that date. Management and union representatives had bargained briefly on workers' demands, including a 300 per cent boost in average pay and a voice in running the Tokyo factory. Management said, "No," so the union said it would just take over the plant until management came around to its terms.

Production Control

## XXXIV

### THE RENASCENCE OF WESTERN CIVILIZATION<sup>1</sup>

(Land Ways Give Place to Sea Ways)

§ 1. *Christianity and Popular Education.* § 2. *Europe Begins to Think for Itself.* § 3. *The Great Plague and the Dawn of Communism.* § 4. *How Paper Liberated the Human Mind.* § 5. *Protestantism of the Princes and Protestantism of the Peoples.* § 6. *The Reawakening of Science.* § 7. *The New Growth of European Towns.* § 8. *America Comes into History.* § 9. *What Machiavelli Thought of the World.* § 10. *The Republic of Switzerland.* § 11A. *The Life of the Emperor Charles V.* § 11B. *Protestants if the Prince Wills It.* § 11C. *The Intellectual Undertow.*

#### § 1

**J**UDGED by the map, the three centuries from the beginning of the thirteenth to the end of the fifteenth century were an age of recession for Christendom. These centuries were the Age of the Mongolian peoples. Nomadism from Central Asia dominated the known world. At the crest of this period there were rulers of Mongol or the Kindred Turkish race and nomadic tradition in China, India, Persia, Egypt, North Africa, the Balkan peninsula, Hungary,

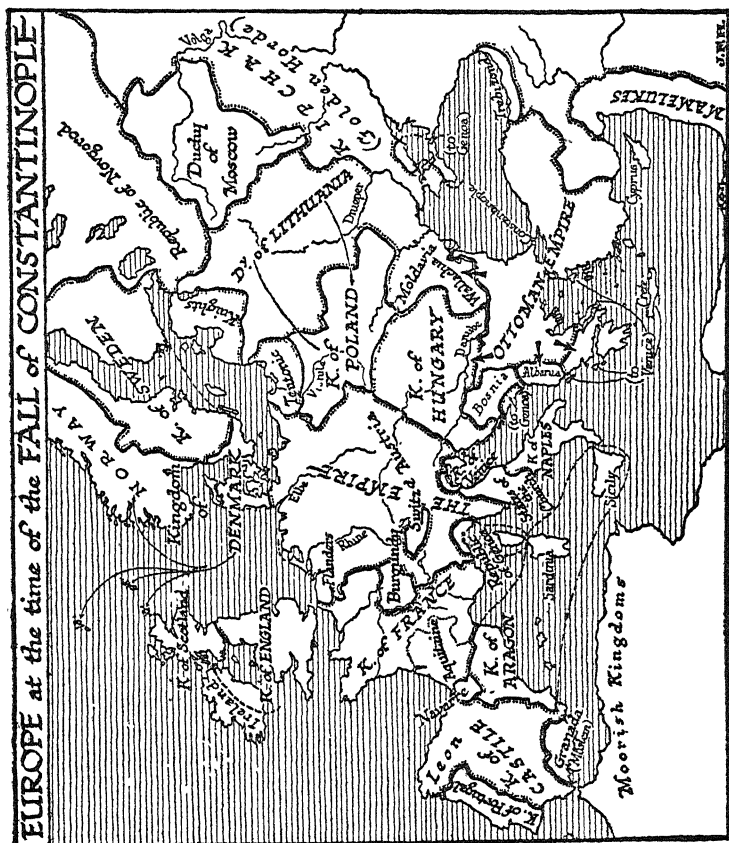
<sup>1</sup> Renaissance here means rebirth, and it is applied to the recovery of the entire Western world. It is not to be confused with "the Renaissance," an educational, literary, and artistic revival that went on in Italy and the Western world affected by Italy during the fourteenth and fifteenth centuries. The Renaissance was only a part of the Renaissance of Europe. The Renaissance was a revival due to the exhumation of classical art and learning; it was but one factor in the very much larger and more complicated resurrection of European capacity and vigour, with which we are dealing in this chapter.

and Russia. The Ottoman Turk had even taken to the sea, and fought the Venetian upon his own Mediterranean waters. In 1529 the Turks besieged Vienna, and were defeated rather by the weather than by the defenders. The Habsburg empire of Charles V paid the Sultan tribute. It was not until the battle of Lepanto in 1571, the battle in which Cervantes, the author of *Don Quixote*, lost his left arm, that Christendom, to use his words, "broke the pride of the Osmans and undeceived the world which had regarded the Turkish fleet as invincible." The sole region of Christian advance was Spain. A man of foresight surveying the world in the early sixteenth century might well have concluded that it was only a matter of a few generations before the whole world became Mongolian—and probably Moslem. Just as to-day most people seem to take it for granted that European rule and a sort of liberal Christianity are destined to spread over the whole world. Few people seem to realize how recent a thing is this European ascendancy. It was only as the fifteenth century drew to its close that any indications of the real vitality of Western Europe became clearly apparent.

Our history is now approaching our own times, and our study becomes more and more a study of the existing state of affairs. The European or Europeanized system in which the reader is living, is the same system that we see developing in the crumpled-up, Mongol-threatened Europe of the early fifteenth century. Its problems then were the embryonic form of the problems of to-day. It is impossible to discuss that time without discussing our own time. We become political in spite of ourselves. "Politics without history has no root," said Sir J. R. Seely; "history without politics has no fruit." ○

Let us try, with as much detachment as we can achieve, to discover what the forces were that were dividing and holding back the energies of Europe during this tremendous outbreak of the Mongol peoples, and how we are to explain the accumulation of mental and physical energy that undoubtedly went on during this phase of apparent retrocession, and which broke out so impressively at its close.

Now, just as in the Mesozoic Age, while the great reptiles lorded it over the earth, there were developing in odd out-of-the-way corners those hairy mammals and feathered birds who were finally to supersede that tremendous fauna alto-



gether by another far more versatile and capable, so in the limited territories of Western Europe of the Middle Ages, while the Mongolian monarchies dominated the world from the Danube to the Pacific and from the Arctic seas to Madras



and Morocco and the Nile, the fundamental lines of a new and harder and more efficient type of human community were being laid down. This type of community, which is still only in the phase of formation, which is still growing and experimental, we may perhaps speak of as the "modern state." This is, we must recognize, a vague expression, but we shall endeavour to get meaning into it as we proceed. We have noted the appearance of its main root ideas in the Greek republics and especially in Athens, in the great Roman republic, in Judaism, in Islam, and in the story of Western Catholicism. Essentially this modern state, as we see it growing under our eyes to-day, is a tentative combination of two apparently contradictory ideas, the idea of a *community of faith and obedience*, such as the earliest civilizations undoubtedly were, and the idea of a *community of will*, such as were the primitive political groupings of the Nordic and Hunnish peoples. For thousands of years the settled civilized peoples, who were originally in most cases dark-white Caucasians, or Dravidian or Southern Mongolian peoples, seem to have developed their ideas and habits along the line of worship and personal subjection, and the nomadic peoples theirs along the line of personal self-reliance and self-assertion. Naturally enough under the circumstances the nomadic peoples were always supplying the civilizations with fresh rulers and new aristocracies. That is the rhythm of all early history. It was only after thousands of years of cyclic changes between refreshment by nomadic conquest, civilization, decadence, and fresh conquest that the present process of a mutual blending of "civilized" and "free" tendencies into a new type of community, that now demands our attention and which is the substance of contemporary history, began.

We have traced in this history the slow development of larger and larger "civilized" human communities from the days of the primitive Palæolithic family tribe. We have seen how the advantages and necessities of cultivation, the fear of tribal gods, the ideas of the priest-king and the god-king, played their part in consolidating continually larger

and more powerful societies in regions of maximum fertility. We have watched the interplay of priest, who was usually native, and monarch, who was usually a conqueror, in these early civilizations, the development of a written tradition and its escape from priestly control, and the appearance of novel forces, at first apparently incidental and secondary, which we have called the free intelligence and the free conscience of mankind. We have seen the rulers of the primitive civilizations of the river valleys widening their area and extending their sway, and simultaneously over the less fertile areas of the earth we have seen mere tribal savagery develop into a more and more united and politically competent nomadism. Steadily and divergently mankind pursued one or other of these two lines. For long ages all the civilizations grew and developed along monarchist lines, upon lines of absolute monarchy, and in every monarchy and dynasty we have watched, as if it were a necessary process, efficiency and energy give way to pomp, indolence, and decay, and finally succumb to some fresher lineage from the desert or the steppe. The story of the early cultivating civilizations and their temples and courts and cities bulks large in human history, but it is well to remember that the scene of that story was never more than a very small part of the land surface of the globe. Over the greater part of the earth until quite recently, until the last two thousand years, the hardier, less numerous tribal peoples of forest and parkland and the nomadic peoples of the seasonal grasslands maintained and developed their own ways of life.

The primitive civilizations were, we may say, "communities of obedience"; obedience to god-kings or kings under gods was their cement; the nomadic tendency on the other hand has always been towards a different type of association which we shall here call a "community of will." In a wandering, fighting community the individual must be at once self-reliant and disciplined. The chiefs of such communities must be chiefs who are followed, not masters who compel. This community of will is traceable throughout the entire history of mankind; everywhere we find the original disposi-

tion of all the nomads alike, Nordic, Semitic, or Mongolian, was individually more *willing* and more *erect* than that of the settled folk. The Nordic peoples came into Italy and Greece under leader kings; they did not bring any systematic temple cults with them, they found such things in the conquered lands and adapted as they adopted them. The Greeks and Latins lapsed very easily again into republics, and so did the Aryans in India. There was a tradition of election also in the early Frankish and German kingdoms though the decision was usually taken between one or other members of a royal caste or family. The early Caliphs were elected, the Judges of Israel and the "kings" of Carthage and Tyre were elected, and so was the Great Khan of the Mongols until Kublai became a Chinese monarch. . . . Equally constant in the settled land do we find the opposite idea, the idea of a non-elective divinity in kings and of their natural and inherent right to rule. . . . As our history has developed we have noted the appearance of new and complicating elements in the story of human societies; we have seen that nomad turned go-between, the trader, appear, and we have noted the growing importance of shipping in the world. It seems as inevitable that voyaging should make men free in their minds as that settlement within a narrow horizon should make men timid and servile. . . . But in spite of all such complications, the broad antagonism between the method of obedience and the method of will runs through history down into our own times. To this day their reconciliation is incomplete.

Civilization even in its most servile forms has always offered much that is enormously attractive, convenient, and congenial to mankind; but something restless and untamed in our race has striven continually to convert civilization from its original reliance upon unparticipating obedience into a community of participating wills. And to the lurking nomadism in our blood, and particularly in the blood of monarchs and aristocracies, we must ascribe also that incessant urgency towards a wider range that forces every state to extend its boundaries if it can, and to spread its interests

to the ends of the earth. The power of nomadic restlessness that tends to bring all the earth under one rule, seems to be identical with the spirit that makes most of us chafe under direction and restraint, and seek to participate in whatever government we tolerate. And this natural, this temperamental struggle of mankind to reconcile civilization with freedom has been kept alive age after age by the military and political impotence of every "community of obedience" that has ever existed. Obedience, once men are broken to it, can be easily captured and transferred; witness the passive rôle of Egypt, Mesopotamia, and India, the original and typical lands of submission, the "cradles of civilization," as they have passed from one lordship to another. A servile civilization is a standing invitation to predatory free men. But on the other hand a "community of will" necessitates a fusion of intractable materials; it is a far harder community to bring about, and still more difficult to maintain. The story of Alexander the Great displays the community of will of the Macedonian captains gradually dissolving before his demand that they should worship him. The incident of the murder of Clitus is quite typical of the struggle between the free and the servile tradition that went on whenever a new conqueror from the open lands and the open air found himself installed in the palace of an ancient monarchy.

In the case of the Roman Republic, history tells of the first big community of will in the world's history, the first free community much larger than a city, and how it weakened with growth and spent itself upon success until at last it gave way to a monarchy of the ancient type, and decayed swiftly into one of the feeblest communities of servitude that ever collapsed before a handful of invaders. We have given some attention in this book to the factors in that decay, because they are of primary importance in human history. One of the most evident was the want of any wide organization of education to base the ordinary citizens' minds upon the idea of service and obligation to the republic, to keep them *willing*, that is; another was the absence of any medium

of general information to keep their activities in harmony, to enable them to *will* as one body. The community of will is limited in size by the limitations set upon the possibilities of a community of knowledge. The concentration of property in a few hands and the replacement of free workers by slaves were rendered possible by the decay of public spirit and the confusion of the public intelligence that resulted from these limitations. There was, moreover, no efficient religious idea behind the Roman state; the dark Etruscan liver-peering cult of Rome was as little adapted to the political needs of a great community as the very similar Shamanism of the Mongols. It is in the fact that both Christianity and Islam, in their distinctive ways, did at least promise to supply, for the first time in human experience, this patent gap in the Roman republican system as well as in the nomadic system, to give a common moral education for a mass of people, and to supply them with a common history of the past and a common idea of a human purpose and destiny, that their enormous historical importance lies. Aristotle, as we have noted, had set a limit to the ideal community of a few thousand citizens, because he could not conceive how a larger multitude could be held together by a common idea. He had had no experience of any sort of education beyond the tutorial methods of his time. Greek education was almost purely *viva-voce* education; it could reach therefore only to a limited aristocracy. Both the Christian church and Islam demonstrated the unsoundness of Aristotle's limitation. We may think they did their task of education in their vast fields of opportunity crudely or badly, but the point of interest to us is that they did it at all. Both sustained almost world-wide propagandas of idea and inspiration. Both relied successfully upon the power of the written word to link great multitudes of diverse men together in common enterprises. By the eleventh century, as we have seen, the idea of Christendom had been imposed upon all the vast warring miscellany of the smashed and pulverized Western empire, and upon Europe far beyond its limits, as a uniting and inspiring idea. It had made a shallow but effective

community of will over an unprecedented area and out of an unprecedented multitude of human beings. Only one other thing at all like this had ever happened to any great section of mankind before, and that was the idea of a community of good behaviour that the *literati* had spread throughout China.<sup>1</sup>

The Catholic Church provided what the Roman Republic had lacked, a system of popular teaching, a number of universities and methods of intellectual inter-communication. By this achievement it opened the way to the new possibilities of human government that now became apparent in this Outline, possibilities that are still being apprehended and worked out in the world in which we are living. Hitherto the government of states had been either authoritative, under some uncriticized and unchallenged combination of priest and monarch, or it had been a democracy, uneducated and uninformed, degenerating with any considerable increase of size, as Rome and Athens did, into a mere rule by mob and politician. But by the thirteenth century the first intimations had already dawned of an ideal of government which is still making its way to realization, the modern ideal, the ideal of a world-wide *educational government*, in which the ordinary man is neither the slave of an absolute monarch nor of a demagogue-ruled state, but an informed, inspired, and consulted part of his community. It is upon the word educational that stress must be laid, and upon the idea that information must precede consultation. It is in the practical realization of this idea that education is a collective function and not a private affair that one essential distinction of the "modern state" from any of its precursors lies. The modern citizen, men are coming to realize, must be informed first and then consulted. Before he can vote he must hear the evidence; before he can decide he must know. It is not by setting up polling booths, but by setting up schools and making literature and knowledge and news universally

<sup>1</sup> But the Jews were already holding their community together by systematic education at least as early as the beginning of the Christian era.

accessible that the way is opened from servitude and confusion to that willingly co-operative state which is the modern ideal. Votes in themselves are worthless things. Men had votes in Italy in the time of the Gracchi. Their votes did not help them. Until a man has education, a vote is a useless and dangerous thing for him to possess. The ideal community towards which we move is not a *community of will* simply; it is a *community of knowledge and will*, replacing a *community of faith and obedience*. Education is the adapter which will make the nomadic spirit of freedom and self-reliance compatible with the co-operations and wealth and security of civilization.

## § 2

But though it is certain that the Catholic Church, through its propagandas, its popular appeals, its schools and universities, opened up the prospect of the modern educational state in Europe, it is equally certain that the Catholic Church never intended to do anything of the sort. It did not send out knowledge with its blessing; it let it loose inadvertently. It was not the Roman Republic whose heir the Church esteemed itself, but the Roman Emperor. Its conception of education was not release, not an invitation to participate, but the subjugation of minds. Two of the greatest educators of the Middle Ages were indeed not churchmen at all, but monarchs and statesmen, Charlemagne and Alfred the Great of England, who made use of the church organization. But it was the church that had provided the organization. Church and monarchs in their mutual grapple for power were both calling to their aid the thoughts of the common man. In response to these conflicting appeals appeared the common man, the unofficial outside independent man, thinking for himself.

Already in the thirteenth century we have seen Pope Gregory IX and the Emperor Frederick II engaging in a violent public controversy. Already then there was a sense that a new arbitrator greater than pope or monarchy had

come into the world, that there were readers and a public opinion. The exodus of the Popes to Avignon, and the divisions and disorders of the Papacy during the fourteenth century, stimulated this free judgment upon authority throughout Europe enormously.

At first the current criticism upon the church concerned only moral and material things. The wealth and luxury of the higher clergy and the heavy papal taxation were the chief grounds of complaint. And the earlier attempts to restore Christian simplicity, the foundation of the Franciscians for example, were not movements of separation, but movements of revival. Only later did a deeper and more distinctive criticism develop which attacked the central fact of the church's teaching and the justification of priestly importance; namely, the sacrifice of the mass.

We have sketched in broad outlines the early beginnings of Christianity, and we have shown how rapidly that difficult and austere conception of the Kingdom of God, which was the central idea of the teachings of Jesus of Nazareth, was overlaid by a revival of the ancient sacrificial idea, a doctrine more difficult indeed to grasp, but easier to reconcile with the habits and dispositions and acquiescences of everyday life in the Near East. We have noted how a sort of theocrasia went on between Christianity and Judaism and the cult of the Serapeum and Mithraism and other competing cults, by which the Mithraist Sunday, the Jewish idea of blood as a religious essential, the Alexandrian importance of the Mother of God, the shaven and fasting priest, self-tormenting asceticism, and many other matters of belief and ritual and practice, became grafted upon the developing religion. These adaptations, no doubt, made the new teaching much more understandable and acceptable in Egypt and Syria and the like. They were things in the way of thought of the dark-white Mediterranean race; they were congenial to that type. But as we have shown in our story of Muhammad, these acquisitions did not make Christianity more acceptable to the Arab nomads; to them these features made it disgusting. And so too, the robed and shaven monk and nun and priest



seem to have roused something like an instinctive hostility in the Nordic barbarians of the North and West. We have noted the peculiar bias of the early Anglo-Saxons and Northmen against the monks and nuns. They seem to have felt that the lives and habits of these devotees were queer and unnatural.

The clash between what we may call the "dark-white" factors and the newer elements in Christianity was no doubt intensified by Pope Gregory VII's imposition of celibacy upon the Catholic priests in the eleventh century. The East had known religious celibates for thousands of years; in the West they were regarded with scepticism and suspicion.

And now in the thirteenth and fourteenth centuries, as the lay mind of the Nordic peoples began to acquire learning, to read and write and express itself, and as it came into touch with the stimulating activities of the Arab mind, we find a much more formidable criticism of Catholicism beginning, an intellectual attack upon the priest as priest, and upon the ceremony of the mass as the central fact of the religious life, coupled with a demand for a return to the personal teachings of Jesus as recorded in the Gospels.

We have already mentioned the career of the Englishman Wycliffe (*c.* 1320–1384), and how he translated the Bible into English in order to set up a counter authority to that of the Pope. He denounced the doctrines of the church about the mass as disastrous error, and particularly the teaching that the consecrated bread eaten in that ceremony becomes in some magical way the actual body of Christ. We will not pursue the question of transubstantiation, as this process of the mystical change of the elements in the sacrament is called, into its intricacies. These are matters for the theological specialist. But it will be obvious that any doctrine, such as the Catholic doctrine, which makes the consecration of the elements in the sacrament a miraculous process performed by the priest, and only to be performed by the priest, and which makes the sacrament the central necessity of the religious system, enhances the im-

portance of the priestly order enormously. On the other hand, the view, which was the typical "Protestant" view, that this sacrament is a mere eating of bread and drinking of wine as a personal remembrance of Jesus of Nazareth, does away at last with any particular need for a consecrated priest at all. Wycliffe himself did not go to this extremity; he was a priest, and he remained a priest to the end of his life, he held that God was spiritually if not substantially present in the consecrated bread, but his doctrine raised a question that carried men far beyond his positions. From the point of view of the historian, the struggle against Rome that Wycliffe opened became very speedily a struggle of what one may call rational or layman's religion making its appeal to the free intelligence and the free conscience in mankind, against authoritative, traditional, ceremonial, and priestly religion. The ultimate tendency of this complicated struggle was to strip Christianity as bare as Islam of every vestige of ancient priestcraft, to revert to the Bible documents as authority, and to recover, if possible, the primordial teachings of Jesus. Most of its issues are still undecided among Christians to this day.

Wycliffe's writings had nowhere more influence than in Bohemia. About 1396 a learned Czech, John Huss, delivered a series of lectures in the university of Prague based upon the doctrines of the great Oxford teacher. Huss became rector of the university, and his teachings roused the church to excommunicate him (1412). This was at the time of the Great Schism, just before the Council of Constance (1414-1418) gathered to discuss the scandalous disorder of the church. We have already told how the schism was ended by the election of Martin V. The council aspired to reunite Christendom completely. But the methods by which it sought this reunion jar with our modern consciences. Wycliffe's bones were condemned to be burnt. Huss was decoyed to Constance under promise of a safe conduct, and he was then put upon his trial for heresy. He was ordered to recant certain of his opinions. He replied that he could not recant until he was convinced of his error.

He was told that it was his duty to recant if his superiors required it of him, whether he was convinced or not. He refused to accept this view. In spite of the Emperor's safe conduct, he was burnt alive (1415), a martyr not for any specific doctrine, but for the free intelligence and free conscience of mankind.

It would be impossible to put the issue between priest and anti-priest more clearly than it was put at this trial of John Huss, nor to demonstrate more completely the evil spirit in priestcraft. A colleague of Huss, Jerome of Prague, was burnt in the following year.

These outrages were followed by an insurrection of the Hussites in Bohemia (1419), the first of a series of religious wars that marked the breaking-up of Christendom. In 1420 the Pope, Martin V, issued a bull proclaiming a crusade "for the destruction of the Wycliffites, Hussites, and all other heretics in Bohemia," and attracted by this invitation the unemployed soldiers of fortune and all the drifting blackguardism of Europe converged upon that valiant country. They found in Bohemia, under its great leader Ziska, more hardship and less loot than crusaders were disposed to face. The Hussites were conducting their affairs upon extreme democratic lines, and the whole country was aflame with enthusiasm. The crusaders beleaguered Prague, but failed to take it, and they experienced a series of reverses that ended in their retreat from Bohemia. A second crusade (1421) was no more successful. Two other crusades failed. Then unhappily the Hussites fell into internal dissensions. Encouraged by this, a fifth crusade (1431) crossed the frontier under Frederick, Margrave of Brandenburg.

The army of these crusaders, according to the lowest estimate, consisted of 90,000 infantry and 40,000 horsemen. Attacking Bohemia from the west, they first laid siege to the town of Tachov, but failing to capture the strongly fortified city, they stormed the little town of Most, and here, as well as in the surrounding country, committed the most horrible atrocities on a population a large part of which was entirely innocent of any form of theology whatever. The crusaders,

advancing by slow marches, penetrated further into Bohemia, till they reached the neighbourhood of the town of Domazlice (Taus). "It was at three o'clock on August 14th, 1431, that the crusaders, who were encamped in the plain between Domazlice and Horsuv Tyn, received the news that the Hussites, under the leadership of Prokop the Great, were approaching. Though the Bohemians were still four miles off, the rattle of their war-wagons and the song, 'All ye warriors of God,' which their whole host was chanting, could already be heard." The enthusiasm of the crusaders evaporated with astounding rapidity. Lützow<sup>1</sup> describes how the papal representative and the Duke of Saxony ascended a convenient hill to inspect the battlefield. It was, they discovered, not going to be a battlefield. The German camp was in utter confusion. Horsemen were streaming off in every direction, and the clatter of empty wagons being driven off almost drowned the sound of that terrible singing. The crusaders were abandoning even their loot. Came a message from the Margrave of Brandenburg advising flight; there was no holding any of their troops. They were dangerous now only to their own side, and the papal representative spent an unpleasant night hiding from them in the forest. . . . So ended the Bohemian crusade.

In 1434 civil war again broke out among the Hussites, in which the extreme and most valiant section was defeated, and in 1436 an agreement was patched up between the Council of Basle and the moderate Hussites, in which the Bohemian church was allowed to retain certain distinctions from the general Catholic practice, which held good until the German Reformation in the sixteenth century.

### § 3

The split among the Hussites was largely due to the drift of the extremest section towards a primitive communism, which alarmed the wealthier and more influential Czech noblemen. Similar tendencies had already appeared among

<sup>1</sup> Lützow's *Bohemia*.

the English Wycliffites. They seem to follow naturally enough upon the doctrines of equal human brotherhood that emerge whenever there is an attempt to reach back to the fundamentals of Christianity.

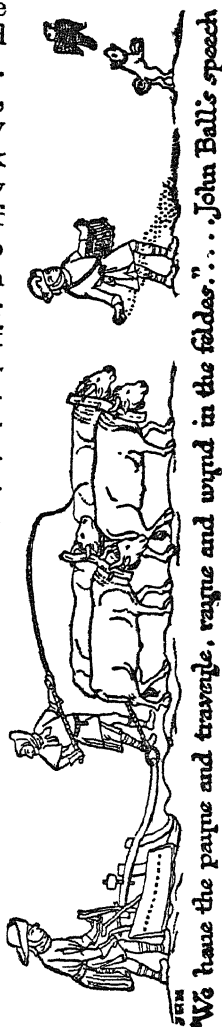
The development of such ideas had been greatly stimulated by a stupendous misfortune that had swept the world and laid bare the foundations of society, a pestilence of unheard-of virulence. It was called the Black Death, and it came nearer to the extirpation of mankind than any other evil has ever done. It was far more deadly than the plague of Pericles, or the plague of Marcus Aurelius, or the plague waves of the time of Justinian and Gregory the Great that paved the way for the Lombards in Italy. It arose in South Russia or Central Asia, and came by way of the Crimea and a Genoese ship to Genoa and Western Europe. It passed by Armenia to Asia Minor, Egypt, and North Africa. It reached England in 1348. Two-thirds of the students at Oxford died, we are told; it is estimated that between a quarter and a half of the whole population of England perished at this time. Throughout all Europe there was as great a mortality. Hecker estimates the total as twenty-five million dead. It spread eastward to China, where, the Chinese records say, thirteen million people perished. In China the social disorganization led to a neglect of the river embankments, and as a consequence great floods devastated the crowded agricultural lands.<sup>1</sup>

Never was there so clear a warning to mankind to seek knowledge and cease from bickering, to unite against the dark powers of nature. All the massacres of Hulagu and Timurlane were as nothing to this. "Its ravages," says J. R. Green, "were fiercest in the greater towns, where filthy and undrained streets afforded a constant haunt to leprosy and fever. In the burial-ground which the piety of Sir

<sup>1</sup> Dr. C. O. Stallybrass says that this plague reached China thirty or forty years after its first appearance in Europe. Ibn Batuta, the Arab traveller who was in China from 1342 to 1346, first met with it on his return to Damascus. The Black Death is the human form of a disease endemic among the jerboas and other small rodents in the districts round the head of the Caspian Sea.

Walter Manny purchased for the citizens of London, a spot whose site was afterwards marked by the Charter House, more than fifty thousand corpses are said to have been interred. Thousands of people perished at Norwich, while in Bristol the living were hardly able to bury the dead. But the Black Death fell on the villages almost as fiercely as on the towns. More than one-half of the priests of Yorkshire are known to have perished; in the diocese of Norwich two-thirds of the parishes changed their incumbents. The whole organization of labour was thrown out of gear. The scarcity of hands made it difficult for the minor tenants to perform the services due for their lands, and only a temporary abandonment of half the rent by the landowners induced the farmers to refrain from the abandonment of their farms. For a time cultivation became impossible. 'The sheep and cattle strayed through the fields and corn,' says a contemporary, 'and there were none left who could drive them.' "

It was from these distresses that the peasant wars of the fourteenth century sprang. There was a great shortage of labour and a great shortage of goods, and the rich abbots and monastic cultivators who owned so much of the land, and the nobles and rich merchants, were too ignorant of economic laws to understand that they must not press upon the toilers in this time of general distress. They saw their property deteriorating, their lands going out of cultivation, and they made violent statutes to compel men to work without any rise in wages, and to prevent their straying in search of



*"We have the payne and traveyle. rayne and wynd in the felde." . . . John Ball's speech*

better employment. Naturally enough this provoked "a new revolt against the whole system of social inequality which had till then passed unquestioned as the divine order of the world. The cry of the poor found a terrible utterance in the words of 'a mad priest of Kent,' as the courtly Froissart calls him, who for twenty years (1360-1381) found an audience for his sermons, in defiance of interdict and imprisonment, in the stout yeomen who gathered in the Kentish churchyards. 'Mad,' as the landowners called him, it was in the preaching of John Ball that England first listened to a declaration of natural equality and the rights of man. 'Good people,' cried the preacher, 'things will never go well in England so long as goods be not in common, and so long as there be villeins and gentlemen. By what right are they whom we call lords greater folk than we? On what grounds have they deserved it? Why do they hold us in serfage? If we all came of the same father and mother, of Adam and Eve, how can they say or prove that they are better than we, if it be not that they make us gain for them by our toil what they spend in their pride? They are clothed in velvet and warm in their furs and their ermines, while we are covered with rags. They have wine and spices and fair bread; and we oat-cake and straw, and water to drink. They have leisure and fine houses; we have pain and labour, the rain and the wind in the fields. And yet it is of us and of our toil that these men hold their state.' A spirit fatal to the whole system of the Middle Ages breathed in the popular rhyme which condensed the levelling doctrine of John Ball: 'When Adam delved and Eve span, who was then the gentleman?' "<sup>1</sup>

<sup>1</sup> The seeds of conflict which grew up into the Peasants' Revolt of 1381 were sown upon ground which is strangely familiar to any writer in 1920. A European catastrophe had reduced production and consequently increased the earnings of workers and traders. Rural wages had risen by 48 per cent. in England, when an unwise executive endeavoured to enforce in the Ordinance and Statute of Labourers (1350-51) a return to the pre-plague wages and prices of 1346, and aimed a blow in the Statute of 1378 against labour combinations. The villeins were driven to desperation by the loss of their recent increase of comfort, and the outbreak came, as Froissart saw it from the angle of the



#### JOAN OF ARC AT ORLEANS

In two months, from May to July, 1429, the leadership of the Maid of Orleans freed this last bulwark of France from the English and saved the French crown for Charles VII





ITALIAN ARMOR OF THE SIXTEENTH CENTURY

An elaborately decorated specimen at the Metropolitan  
Museum of Art

Wat Tyler, the leader of the English insurgents, was assassinated by the Mayor of London in the presence of the young King Richard II (1381), and his movement collapsed. The communist side of the Hussite movement was a part of the same system of disturbance. A little earlier than the English outbreak had occurred the French "Jacquerie" (1358), in which the French peasants had risen, burnt châteaux, and devastated the countryside. A century later the same urgency was to sweep Germany into a series of bloody Peasant Wars. These began late in the fifteenth century. Economic and religious disturbance mingled in the case of Germany even more plainly than in England. One conspicuous phase of these German troubles was the Anabaptist outbreak. The sect of the Anabaptists appeared in Wittenberg in 1521 under three "prophets," and broke out into insurrection in 1525. Between 1532 and 1535 the insurgents held the town of Münster in Westphalia, and did their utmost to realize their ideals of a religious communism. They were besieged by the Bishop of Münster, and under the distresses of the siege a sort of insanity ran rife in the town; cannibalism is said to have occurred, and a certain Joost of Leyden seized power, proclaimed himself the successor of King David, and followed that monarch's evil example by practising polygamy. After the surrender of the city the victorious bishop had the Anabaptist leaders tortured very horribly and executed in the market-place, their mutilated bodies being hung in cages from a church tower to witness to all the world that decency and order were now restored in Münster. . . .

These upheavals of the common labouring men of the Western European countries in the fourteenth and fifteenth centuries were more serious and sustained than anything

Court, "all through the too great comfort of the commonalty." Other ingredients which entered into the outbreak were the resentment felt by the new working class at the restrictions imposed on its right to combine, the objection of the lower clergy to papal taxes, and a frank dislike of foreigners and landlords. There was no touch of Wycliffe's influence in the rising. It was at its feeblest in Leicestershire, and it considered one of the only other Liberal churchmen in England. P. G.

that had ever happened in history before. The nearest previous approach to them were certain communistic Muhammadan movements in Persia. There was a peasant revolt in Normandy about A. D. 1000, and there were revolts of peasants (*Bagaudæ*) in the later Roman Empire, but these were not nearly so formidable. They show a new spirit growing in human affairs, a spirit altogether different from the unquestioning apathy of the serfs and peasants in the original regions of civilization or from the anarchist hopelessness of the serf and slave labour of the Roman capitalists. All these early insurrections of the workers that we have mentioned were suppressed with much cruelty, but the movement itself was never completely stamped out. From that time to this there has been a spirit of revolt in the lower levels of the pyramid of civilization. There have been phases of insurrection, phases of repression, phases of compromise and comparative pacification; but from that time until this, the struggle has never wholly ceased. We shall see it flaring out during the French Revolution at the end of the eighteenth century, insurgent again in the middle and at the opening of the last quarter of the nineteenth century, and achieving vast proportions in the world to-day. The socialist movement of the nineteenth century was only one version of that continuing revolt.

In many countries, in France and Germany and Russia, for example, this labour movement has assumed at times an attitude hostile to Christianity, but there can be little doubt that this steady and, on the whole, growing pressure of the common man in the West against a life of toil and subservience is closely associated with Christian teaching. The church and the Christian missionary may not have intended to spread equalitarian doctrines, but behind the church was the unquenchable personality of Jesus of Nazareth, and even in spite of himself the Christian preacher brought the seeds of freedom and responsibility with him, and sooner or later they shot up where he had been.

This steady and growing upheaval of "Labour," its development of a consciousness of itself as a class and of a

definite claim upon the world at large, quite as much as the presence of schools and universities, quite as much as abundant printed books and a developing and expanding process of scientific research, mark off our present type of civilization, the "modern civilization," from any pre-existing state of human society, and mark it, for all its incidental successes, as a thing unfinished and transitory. It is an embryo or it is something doomed to die. It may be able to solve this complex problem of co-ordinated toil and happiness, and so adjust itself to the needs of the human soul, or it may fail and end in a catastrophe as the Roman system did. It may be the opening phase of some more balanced and satisfying order of society, or it may be a system destined to disruption and replacement by some differently conceived method of human association. Like its predecessor, our present civilization may be no more than one of those crops farmers sow to improve their land by the fixation of nitrogen from the air; it may have grown only that, accumulating certain traditions, it may be ploughed into the soil again for better things to follow. Such questions as these are the practical realities of history, and in all that follows we shall find them becoming clearer and more important, until in our last chapter we shall end, as all our days and years end, with a recapitulation of our hopes and fears—and a note of interrogation.

#### § 4

The development of free discussion in Europe during this age of fermentation was enormously stimulated by the appearance of printed books. It was the introduction of paper from the East that made practicable the long latent method of printing. It is still difficult to assign the honour of priority in the use of the simple expedient of printing for multiplying books. It is a trivial question that has been preposterously debated. Apparently the glory, such as it is, belongs to Holland. In Haarlem, one Coster was printing from movable type somewhen before 1446. Gutenberg

was printing at Mainz about the same time. There were printers in Italy by 1465, and Caxton set up his press in Westminster in 1477. But long before this time there had been a partial use of printing. Manuscripts as early as the twelfth century display initial letters that may have been printed from wooden stamps.

Far more important is the question of the manufacture of paper. It is scarcely too much to say that paper made the revival of Europe possible. Paper originated in China, where its use probably goes back to the second century B. C. In 751 the Chinese made an attack upon the Arab Moslems in Samarkand; they were repulsed, and among the prisoners taken from them were some skilled paper-makers, from whom the art was learnt. Arabic paper manuscripts from the ninth century onward still exist. The manufacture entered Christendom either through Greece or by the capture of Moorish paper-mills during the Christian reconquest of Spain. But under the Christian Spanish the product deteriorated sadly. Good paper was not made in Christian Europe until near the end of the thirteenth century, and then it was Italy which led the world. Only by the fourteenth century did the manufacture reach Germany, and not until the end of that century was it abundant and cheap enough for the printing of books to be a practicable business proposition. Thereupon printing followed naturally and necessarily, and the intellectual life of the world entered upon a new and far more vigorous phase. It ceased to be a little trickle from mind to mind; it became a broad flood, in which thousands and presently scores and hundreds of thousands of minds participated.

One immediate result of this achievement of printing was the appearance of an abundance of Bibles in the world. Another was a cheapening of school-books. The knowledge of reading spread swiftly. There was not only a great increase of books in the world, but the books that were now made were plainer to read and so easier to understand. Instead of toiling at a crabbed text and then thinking over its significance, readers now could think unimpeded as they read.

With this increase in the facility of reading, the reading public grew. The book ceased to be a highly decorated toy or a scholar's mystery. People began to write books to be read as well as looked at by ordinary people. With the fourteenth century the real history of the European literatures begins. We find a rapid replacement of local dialects by standard Italian, standard English, standard French, standard Spanish, and, later, standard German.<sup>1</sup> These languages became literary languages in their several countries; they were tried over, polished by use, and made exact and vigorous. They became at last as capable of the burden of philosophical discussion as Greek or Latin.

## § 5

Here we devote a section to certain elementary statements about the movement in men's religious ideas during the fifteenth and sixteenth centuries. They are a necessary introduction to the political history of the seventeenth and eighteenth centuries that follows.

We have to distinguish clearly between two entirely different systems of opposition to the Catholic church. They intermingled very confusingly. The church was losing its hold upon the consciences of princes and rich and able people; it was also losing the faith and confidence of common people. The effect of its decline of spiritual power upon the former class, was to make them resent its interference, its moral restrictions, its claims to overlordship, its claim to tax, and to dissolve allegiances. They ceased to respect its power and its property. This insubordination of princes and rulers was going on throughout the Middle Ages but it was only when in the sixteenth century the church began to side openly with its old antagonist the Emperor, when it offered him its support and accepted his help in its campaign against heresy, that princes began to think seriously of breaking away from

<sup>1</sup> Standard Italian dates from Dante (1300); standard English from Chaucer and Wycliffe (1380); standard German from Luther (1520). —E. B.

the Roman communion and setting up fragments of a church. And they would never have done so if they had not perceived that the hold of the church upon the masses of mankind had relaxed.

The revolt of the princes was essentially an irreligious revolt against the world-rule of the church. The Emperor Frederick II, with his epistles to his fellow princes, was its forerunner. The revolt of the people against the church, on the other hand, was as essentially religious. They objected not to the church's power, but to its weaknesses. They wanted a deeply righteous and fearless church to help them and organize them against the wickedness of powerful men. Their movements against the church, within it and without, were movements not for release from a religious control, but for a fuller and more abundant religious control. They did not want less religious control, but more—but they wanted to be assured that it was religious. They objected to the Pope not because he was the religious head of the world, but because he was not; because he was a wealthy earthly prince when he ought to have been their spiritual leader.

The contest in Europe from the fourteenth century onward therefore was a three-cornered contest. The princes wanted to use the popular forces against the Pope, but not to let those forces grow too powerful for their own power and glory. For a long time the church went from prince to prince for an ally without realizing that the lost ally it needed to recover was popular veneration.

Because of this triple aspect of the mental and moral conflicts that were going on in the fourteenth and fifteenth and sixteenth centuries, the series of ensuing changes, those changes that are known collectively in history as the Reformation, took on a threefold aspect. There was the Reformation according to the princes, who wanted to stop the flow of money to Rome and to seize the moral authority, the educational power, and the material possessions of the church within their dominions. There was the Reformation according to the people, who sought to make Christianity a

power against unrighteousness, and particularly against the unrighteousness of the rich and powerful. And finally there was the Reformation within the church, of which St. Francis of Assisi was the precursor, which sought to restore the goodness of the church and, through its goodness, to restore its power.

The Reformation according to the princes took the form of a replacement of the Pope by the prince as the head of the religion and the controller of the consciences of his people. The princes had no idea and no intention of letting free the judgments of their subjects more particularly with the object-lessons of the Hussites and the Anabaptists before their eyes; they sought to establish national churches dependent upon the throne. As England, Scotland, Sweden, Norway, Denmark, North Germany, and Bohemia broke away from the Roman communion, the princes and other ministers showed the utmost solicitude to keep the movement well under control. Just as much reformation as would sever the link with Rome they permitted; anything beyond that, any dangerous break towards the primitive teachings of Jesus or the crude direct interpretation of the Bible, they resisted. The Established Church of England is one of the most typical and successful of the resulting compromises. It is still sacramental and sacerdotal; but its organization centres in the Court and the Lord Chancellor, and though subversive views may, and do, break out in the lower and less prosperous ranks of its priesthood, it is impossible for them to struggle up to any position of influence and authority.

The Reformation according to the common man was very different in spirit from the Princely Reformation. We have already told something of the popular attempts at Reformation in Bohemia and Germany. The wide spiritual upheavals of the time were at once more honest, more confused, more enduring, and less immediately successful than the reforms of the princes. Very few religious-spirited men had the daring to break away or the effrontery to confess that they had broken away from all authoritative teaching, and



that they were now relying entirely upon their own minds, and consciences. That required a very high intellectual courage. The general drift of the common man in this period in Europe was to set up his new acquisition, the Bible, as a counter authority to the church. This was particularly the case with the great leader of German Protestantism, Martin Luther (1483-1546). All over Germany, and indeed all over Western Europe, there were now men spelling over the black-letter pages of the newly translated and printed Bible, over the Book of Leviticus and the Song of Solomon and the Revelation of St. John the Divine—strange and perplexing books—quite as much as over the simple and inspiring record of Jesus in the Gospels. Naturally they produced strange views and grotesque interpretations. It is surprising that they were not stranger and grotesquer. But the human reason is an obstinate thing, and will criticize and select in spite of its own resolutions. The bulk of these new Bible students took what their consciences approved from the Bible and ignored its riddles and contradictions. All over Europe, wherever the new Protestant churches of the princes were set up, a living and very active residuum of Protestants remained who declined to have their religion made over for them in this fashion. These were the Nonconformists, a medley of sects having nothing in common but their resistance to authoritative religion, whether of the Pope or the State.<sup>1</sup> Most, but not all of these Nonconformists held to the Bible as a divinely inspired and authoritative guide. This was a strategic rather than an abiding position, and the modern drift of Nonconformity had been onward away from this original Bibliolatry towards a mitigated and sentimentalized recognition of the bare teachings of Jesus of Nazareth. Beyond the range of Nonconformity, beyond the range of professed Christianity at all, there is also now a great and growing mass of equalitarian belief and altruistic impulse in the modern civilizations, which certainly owes, as we have already asserted, its spirit to Christianity, which

<sup>1</sup> But Nonconformity was stamped out in Germany. See § 11B of this chapter.

began to appear in Europe as the church lost its grip upon the general mind.

Let us say a word now of the third phase of the Reformation process, the Reformation within the church. This was already beginning in the twelfth and thirteenth centuries with the appearance of the Black and Grey Friars (Chap. xxxii., § 13). In the sixteenth century, and when it was most needed, came a fresh impetus of the same kind. This was the foundation of the Society of Jesus by Inigo Lopez de Recalde, better known to the world of to-day as Saint Ignatius of Loyola.

Ignatius began his career as a very tough and gallant young Spaniard. He was clever and dexterous and inspired by a passion for pluck, hardihood, and rather showy glory. His love affairs were free and picturesque.

In 1521 the French took the town of Pampeluna in Spain from the Emperor Charles V, and Ignatius was one of the defenders. His legs were smashed by a cannon-ball, and he was taken prisoner. One leg was badly set and had to be broken again, and these painful and complex operations nearly cost him his life. He received the last sacraments. In the night, thereafter, he began to mend, and presently he was convalescent and facing the prospect of a life in which he would perhaps always be a cripple. His thoughts turned to the adventure of religion. Sometimes he would think of a certain great lady, and how, in spite of his broken state, he might yet win her admiration by some amazing deed; and sometimes he would think of being in some especial and personal way the Knight of Christ. In the midst of these con-



Loyola

fusions, one night as he lay awake, he tells us, a new great lady claimed his attention; he had a vision of the Blessed Virgin Mary carrying the Infant Christ in her arms. "Immediately a loathing seized him for the former deeds of his life." He resolved to give up all further thoughts of earthly women, and to lead a life of absolute chastity and devotion to the Mother of God. He projected great pilgrimages and a monastic life.

His final method of taking his vows marks him the countryman of Don Quixote. He had regained his strength, and he was riding out into the world rather aimlessly, a penniless soldier of fortune with little but his arms and the mule on which he rode, when he fell into company with a Moor. They went on together and talked, and presently disputed about religion. The Moor was the better educated man; he had the best of the argument, he said offensive things about the Virgin Mary that were difficult to answer, and he parted triumphantly from Ignatius. The young Knight of our Lady was boiling with shame and indignation. He hesitated whether he should go after the Moor and kill him or pursue the pilgrimage he had in mind. At a fork in the road he left things to his mule, which spared the Moor. He came to the Benedictine Abbey of Manresa near Montserrat, and here he imitated that peerless hero of the mediæval romance, Amadis de Gaul, and kept an all-night vigil before the Altar of the Blessed Virgin. He presented his mule to the abbey, he gave his worldly clothes to a beggar, he laid his sword and dagger upon the altar, and clothed himself in a rough sackcloth garment and hempen shoes. He then took himself to a neighbouring hospice and gave himself up to scourgings and austerities. For a whole week he fasted absolutely. Thence he went on a pilgrimage to the Holy Land.

For some years he wandered, consumed with the idea of founding a new order of religious Knighthood, but not knowing clearly how to set about this enterprise. He became more and more aware of his own illiteracy, and the Inquisition, which was beginning to take an interest in his pro-

ceedings, forbade him to attempt to teach others until he had spent at least four years in study. So much cruelty and intolerance is laid at the door of the Inquisition that it is pleasant to record that in its handling of this heady, imaginative young enthusiast it showed itself both sympathetic and sane. It recognized his vigour and possible uses; it saw the dangers of his ignorance. He studied at Salamanca and Paris, among other places. He was ordained a priest in 1538, and a year later his long-dreamt-of order was founded under the military title of the "Society of Jesus." Like the Salvation Army of modern England, it made the most direct attempt to bring the generous tradition of military organization and discipline to the service of religion.

This Ignatius of Loyola who founded the order of Jesuits was a man of forty-seven; he was a very different man, much wiser and steadier, than the rather absurd young man who had aped Amadis de Gaul and kept vigil in the abbey of Manresa; and the missionary and educational organization he now created and placed at the disposal of the Pope was one of the most powerful instruments the church had ever handled. These men gave themselves freely and wholly to be used by the church. It was the Order of the Jesuits which carried Christianity to China again after the downfall of the Ming Dynasty, and Jesuits were the chief Christian missionaries in India and North America. To their civilizing work among the Indians in South America we shall presently allude. But their main achievement lay in raising the standard of Catholic education. Their schools became and remained for a long time the best schools in Christendom. Says Lord Verulam (Sir Francis Bacon): "As for the pedagogic part . . . consult the schools of the Jesuits, for nothing better has been put in practice." They raised the level of intelligence, they quickened the conscience of all Catholic Europe, they stimulated Protestant Europe to competitive educational efforts. . . . Some day it may be we shall see a new order of Jesuits, vowed not to the service of the Pope, but to the service of mankind.

And concurrently with this great wave of educational

effort, the tone and quality of the church was also greatly improved by the clarification of doctrine and the reforms in organization and discipline that were made by the Council of Trent. This council met intermittently either at Trent or Bologna between the years 1545 and 1563, and its work was at least as important as the energy of the Jesuits in arresting the crimes and blunders that were causing state after state to fall away from the Roman communion. The change wrought by the Reformation within the Church of Rome was as great as the change wrought in the Protestant churches that detached themselves from the mother body. There are henceforth no more open scandals or schisms to record. But if anything, there has been an intensification of doctrinal narrowness, and such phases of imaginative vigour as are represented by Gregory the Great, or by the group of Popes associated with Gregory VII and Urban II, or by the group that began with Innocent III, no longer enliven the sober and pedestrian narrative. The world war of 1914-1918 was a unique opportunity for the Papacy; the occasion was manifest for some clear strong voice proclaiming the universal obligation to righteousness, the brotherhood of men, the claims of human welfare over patriotic passion. No such moral lead was given. The Papacy seemed to be balancing its traditional reliance upon the faithful Habsburgs against its quarrel with republican France.

## § 6

The reader must not suppose that the destructive criticism of the Catholic Church and of Catholic Christianity, and the printing and study of the Bible, were the only or even the most important of the intellectual activities of the fourteenth and fifteenth centuries. That was merely the popular and most conspicuous aspect of the intellectual revival of the time. Behind this conspicuous and popular awakening to thought and discussion, other less immediately striking but ultimately more important mental developments

were in progress. Of the trend of these developments we must now give some brief indications. They had begun long before books were printed, but it was printing that released them from obscurity.

We have already told something of the first appearance of the free intelligence, the spirit of inquiry and plain statement, in human affairs. One name is central in the record of that first attempt at systematic knowledge, the name of Aristotle. We have noted also the brief phase of scientific work at Alexandria. From that time onward the complicated economic and political and religious conflicts of Europe and Western Asia impeded further intellectual progress. These regions, as we have seen, fell for long ages under the sway of the Oriental type of monarchy and of Oriental religious traditions. Rome tried and abandoned a slave-system of industry. The first great capitalistic system developed and fell into chaos through its own inherent rottenness. Europe relapsed into universal insecurity. The Semite rose against the Aryan, and replaced Hellenic civilization throughout Western Asia and Egypt by an Arabic culture. All Western Asia and half of Europe fell under Mongolian rule. It is only in the twelfth and thirteenth centuries that we find the Nordic intelligence struggling through again to expression.

We then find in the growing universities of Paris, Oxford, and Bologna an increasing amount of philosophical discussion going on. In form it is chiefly a discussion of logical questions. As the basis of this discussion we find part of the teachings of Aristotle, not the whole mass of writings he left behind him, but his logic only. Later on his work became better known through the Latin translations of the Arabic edition annotated by Averroes. Except for these translations of Aristotle, and they were abominably bad translations, very little of the Greek philosophical literature was read in Western Europe until the fifteenth century. The creative Plato—as distinguished from the scientific Aristotle—was almost unknown. Europe had the Greek criticism without the Greek impulse. Some neo-

Platonic writers were known, but neo-Platonism had much the same relation to Plato that Christian Science has to Christ.

It has been the practice of recent writers to decry the philosophical discussion of the mediæval "schoolmen" as tedious and futile. It was nothing of the sort. It had to retain a severely technical form because the dignitaries of the church, ignorant and intolerant, were on the watch for heresy. It lacked the sweet clearness, therefore, of fearless thought. It often hinted what it dared not say. But it dealt with fundamentally important things, it was a long and necessary struggle to clear up and correct certain inherent defects of the human mind, and many people to-day blunder dangerously through their neglect of the issues the schoolmen discussed.

There is a natural tendency in the human mind to exaggerate the differences and resemblances upon which classification is based, to suppose that things called by different names are altogether different, and that things called by the same name are practically identical. This tendency to exaggerate classification produces a thousand evils and injustices. In the sphere of race or nationality, for example, a "European" will often treat an "Asiatic" almost as if he were a different animal, while he will be disposed to regard another "European" as necessarily as virtuous and charming as himself. He will, as a matter of course, take sides with Europeans against Asiatics. But, as the reader of this history must realize, there is no such difference as the opposition of these names implies. It is a phantom difference created by two names. . . .

The main mediæval controversy was between the "Realists" and the "Nominalists," and it is necessary to warn the reader that the word "Realist" in mediæval discussion has a meaning almost diametrically opposed to "Realist" as it is used in the jargon of modern criticism. The modern "Realist" is one who insists on materialist details; the mediæval "Realist" was far nearer what nowadays

we should call an Idealist, and his contempt for incidental detail was profound. The Realists outdid the vulgar tendency to exaggerate the significance of class. They held that there was something in a name, in a common noun that is, that was essentially real. For example, they held there was a typical "European," an ideal European, who was far more real than any individual European. Every European was, as it were, a failure, a departure, a flawed specimen of this profounder reality. On the other hand the Nominalist held that the only realities in the case were the individual Europeans, that the name "European" was merely a name and nothing more than a name applied to all these instances.

Nothing is quite so difficult as the compression of philosophical controversies, which are by their nature voluminous and various and tinted by the mental colours of a variety of minds. With the difference of Realist and Nominalist stated baldly, as we have stated it here, the modern reader unaccustomed to philosophical discussion may be disposed to leap at once to the side of the Nominalist. But the matter is not so simple that it can be covered by one instance, and here we have purposely chosen an extreme instance. Names and classifications differ in their value and reality. While it is absurd to suppose that there can be much depth of class difference between men called Thomas and men called William, or that there is an ideal and quintessential Thomas or William, yet on the other hand there may be much profounder differences between a white man and a Hottentot, and still more between *Homo sapiens* and *Homo neanderthalensis*. While again the distinction between the class of pets and the class of useful animals is dependent upon very slight differences of habit and application, the difference of a cat and dog is so profound that the microscope can trace it in a drop of blood or a single hair. When this aspect of the question is considered, it becomes understandable how Nominalism had ultimately to abandon the idea that names were as insignificant as labels, and how, out of a revised and amended Nominalism, there grew up that systematic at-



tempt to find the *true*—the most significant and fruitful—classification of things and substances which is called Scientific Research.

And it will be almost as evident that while the tendency of Realism, which is the natural tendency of every untutored mind, was towards dogma, harsh divisions, harsh judgments, and uncompromising attitudes, the tendency of earlier and later Nominalism was towards qualified statements, towards an examination of individual instances, and towards inquiry and experiment and scepticism.

So while in the market-place and the ways of the common life men were questioning the morals and righteousness of the clergy, the good faith and propriety of their celibacy, and the justice of papal taxation; while in theological circles their minds were set upon the question of transubstantiation, the question of the divinity or not of the bread and wine in the mass, in studies and lecture-rooms a wider-reaching criticism of the methods of ordinary Catholic teaching was in progress. We cannot attempt here to gauge the significance in this process of such names as Peter Abelard (1079–1142), Albertus Magnus (1193–1280), and Thomas Aquinas (1225–1274). These men sought to reconstruct Catholicism on a sounder system of reasoning. They turned towards Nominalism. Chief among their critics and successors were Duns Scotus (?–1308), an Oxford Franciscan and, to judge by his sedulous thought and deliberate subtleties, a Scotchman, and Occam, an Englishman (?–1347). Both these latter like Averroes made a definite distinction between theological and philosophical truth; they placed theology on a pinnacle, but they placed it where it could no longer obstruct research; Duns Scotus declared that it was impossible to prove by reasoning the existence of God or of the Trinity or the credibility of the Act of Creation; Occam was still more insistent upon this separation—which manifestly released scientific inquiry from dogmatic control. A later generation, benefiting by the freedoms towards which these pioneers worked, and knowing not the sources of its freedom, had the ingratitude to use the name of Scotus as a term for stupidity, and so we

have our English word "Dunce." Says Professor Pringle Pattison,<sup>1</sup> "Occam, who is still a Scholastic, gives us the Scholastic justification of the spirit which had already taken hold upon Roger Bacon, and which was to enter upon its rights in the fifteenth and sixteenth centuries."

Standing apart by himself because of his distinctive genius is this Roger Bacon (about 1210 to about 1293), who was also English. He was a Franciscan of Oxford, and a very typical Englishman indeed, irritable, hasty, honest, and shrewd. He was two centuries ahead of his world. Says H. O. Taylor of him,<sup>2</sup>

"The career of Bacon was an intellectual tragedy, conforming to the old principles of tragic art: that the hero's character shall be large and noble, but not flawless, inasmuch as the fatal consummation must issue from character, and not happen through chance. He died an old man, as in his youth, so in his age, a devotee of tangible knowledge. His pursuit of a knowledge which was not altogether learning had been obstructed by the Order of which he was an unhappy and rebellious member; quite as fatally his achievement was deformed from within by the principles which he accepted from his time. But he was responsible for his acceptance of current opinions; and as his views roused the distrust of his brother Friars, his intractable temper drew their hostility on his head. Persuasiveness and tact were needed by one who would impress such novel views as his upon his fellows, or, in the thirteenth century, escape persecution for their divulgence. Bacon attacked dead and living worthies, tactlessly, fatuously, and unfairly. Of his life scarcely anything is known, save from his allusions to himself and others; and these are insufficient for the construction of even a slight consecutive narrative. Born; studied at Oxford; went to Paris, studied, experimented; is at Oxford again, and a Franciscan; studies, teaches, becomes suspect to his Order, is sent back to Paris, kept under surveillance, receives a letter from the Pope, writes, writes, writes—his three best-known

<sup>1</sup> *Encyclopædia Britannica*, article "Scholasticism."

<sup>2</sup> *The Medieval Mind*, by Henry Osborn Taylor.

works; is again in trouble, confirmed for many years, released, and dead, so very dead, body and frame alike, until partly unearthed after five centuries."

The bulk of these "three best-known works" is a hotly phrased and sometimes quite abusive, but entirely just attack on the ignorance of the times, combined with a wealth of suggestions for the increase of knowledge. In his passionate insistence upon the need of experiment and of collecting knowledge, the spirit of Aristotle lives again in him. "Experiment, experiment," that is the burthen of Roger Bacon. Yet of Aristotle himself Roger Bacon fell foul. He fell foul of him because men, instead of facing facts boldly, sat in rooms and pored over the bad Latin translations which were then all that was available of the master. "If I had my way," he wrote, in his intemperate fashion, "I should burn all the books of Aristotle, for the study of them can only lead to a loss of time, produce error, and increase ignorance," a sentiment that Aristotle would probably have echoed could he have returned to a world in which his works were not so much read as worshipped—and that, as Roger Bacon showed, in these most abominable translations.

Throughout his books, a little disguised by the necessity of seeming to square it all with orthodoxy for fear of the prison and worse, Roger Bacon shouted to mankind, "*Cease to be ruled by dogmas and authorities; look at the world!*" Four chief sources of ignorance he denounced; respect for authority, custom, the sense of the ignorant crowd, and the vain proud unteachableness of our dispositions. Overcome but these, and a world of power would open to men:—

"Machines for navigating are possible without rowers, so that great ships suited to river or ocean, guided by one man, may be borne with greater speed than if they were full of men. Likewise cars may be made so that without a draught animal they may be moved *cum impetu inæstimabili*, as we deem the scythed chariots to have been from which antiquity fought. And flying machines are possible, so that a man may sit in the middle turning some device by which artificial wings may beat the air in the manner of a flying bird."

Occam, Roger Bacon, these are the early precursors of a great movement in Europe away from "Realism" towards reality. For a time the older influences fought against the naturalism of the new Nominalists. In 1339 Occam's books were put under a ban and Nominalism solemnly condemned. As late as 1473 an attempt belated and unsuccessful, was made to bind teachers of Paris by an oath to teach Realism. It was only in the sixteenth century with the printing of books and increase of intelligence that the movement from absolutism towards experiment became massive, and that one investigator began to co-operate with another.

Throughout the thirteenth and fourteenth centuries experimenting with material things was on the increase, items of knowledge were being won by men, but there was no inter-related advance. The work was done in a detached, furtive, and inglorious manner. A tradition of isolated investigation came into Europe from the Arabs, and a considerable amount of private and secretive research was carried on by the alchemists, for whom modern writers are a little too apt with their contempt. These alchemists were in close touch with the glass and metal workers and with the herbalists and medicine-makers of the times; they pried into many secrets of nature, but they were obsessed by "practical" ideas; they sought not knowledge, but power; they wanted to find out how to manufacture gold from cheaper materials, how to make men immortal by the elixir of life, and such-like vulgar dreams. Incidentally in their researches they learnt much about poisons, dyes, metallurgy, and the like; they discovered various refractory substances, and worked their way towards clear glass and so to lenses and optical instruments; but as scientific men tell us continually, and as "practical" men still refuse to learn, it is only when knowledge is sought for her own sake that she gives rich and unexpected gifts in any abundance to her servants. The world of to-day is still much more disposed to spend money on technical research than on pure science. Half the men in our scientific laboratories still dream of patents and secret processes. We live

to-day largely in the age of alchemists, for all our sneers at their memory. The "business man" of to-day still thinks of research as a sort of alchemy.

Closely associated with the alchemists were the astrologers, who were also a "practical" race. They studied the stars—to tell fortunes. They lacked that broader faith and understanding which induces men simply to study the stars.

Not until the fifteenth century did the ideas which Roger Bacon first expressed begin to produce their first-fruits in new knowledge and a widening outlook. Then suddenly, as the sixteenth century dawned, and as the world recovered from the storm of social trouble that had followed the pestilences of the fourteenth century, Western Europe broke out into a galaxy of names that outshine the utmost scientific reputations of the best age of Greece. Nearly every nation contributed, the reader will note, for science knows no nationality.

One of the earliest and most splendid in this constellation is the Florentine, Leonardo da Vinci (1452–1519), a man with an almost miraculous vision for reality. He was a naturalist, an anatomist, an engineer, as well as a very great artist. He was the first modern to realize the true nature of fossils,<sup>1</sup> he made note-books of observations that still amaze us, he was convinced of the practicability of mechanical flight. Another great name is that of Copernicus, a Pole (1473–1543), who made the first clear analysis of the movements of the heavenly bodies and showed that the earth moves round the sun. Tycho Brahe (1546–1601), a Dane working at the university of Prague, rejected this latter belief, but his observations of celestial movements were of the utmost value to his successors, and especially to the German, Kepler (1571–1630). Galileo Galilei (1564–1642) was the founder of the science of dynamics. Before his time it was believed that a weight a hundred times greater than another weight would fall a hundred times as fast. Galileo denied this. Instead of arguing about it like a scholar and a gentle-

<sup>1</sup> *Op.* Chap. ii, § 1, towards the end.

man, he put it to the coarse test of experiment by dropping two unequal weights from an upper gallery of the leaning tower of Pisa—to the horror of all erudite men. He made what was almost the first telescope, and he developed the astronomical views of Copernicus; but the church, still struggling gallantly against the light, decided that to believe that the earth was smaller and inferior to the sun made man and Christianity of no account, and diminished the importance of the Pope; so Galileo, under threats of dire punishment, when he was an old man of sixty-nine, was made to recant this view and put the earth back in its place as the immovable centre of the universe. He knelt before ten cardinals in scarlet, an assembly august enough to overawe truth itself, while he amended the creation he had disarranged. The story has it that as he rose from his knees, after repeating his recantation, he muttered, "*Eppur si muove*"—"it moves nevertheless."

Newton (1642–1727) was born in the year of Galileo's death. By his discovery of the law of gravitation he completed the clear vision of the starry universe that we have to-day. But Newton carries us into the eighteenth century. He carries us too far for the present chapter. Among the earlier names, that of Dr. Gilbert (1540–1603), of Colchester, is pre-eminent. Roger Bacon had preached experiment, Gilbert was one of the first to practise it. There can be little doubt that his work, which was chiefly upon magnetism, helped to form the ideas of Francis Bacon, Lord Verulam (1561–1626), Lord Chancellor to James I of England. This Francis Bacon has been called the "Father of Experimental Philosophy," but of his share in the development of scientific work far too much has been made.<sup>1</sup> He was, says Sir R. A. Gregory, "not the founder but the apostle" of the scientific method. His greatest service to science was a fantastic book, *The New Atlantis*. "In his *New Atlantis*, Francis Bacon planned in somewhat fanciful language a palace of invention, a great temple of science,

<sup>1</sup> See Gregory's *Discovery*, chap. vi.

where the pursuit of knowledge in all its branches was to be organized on principles of the highest efficiency."

From this Utopian dream arose the Royal Society of London, which received a Royal Charter from Charles II of England in 1662. The essential use and virtue of this society was and is *publication*. Its formation marks a definite step from isolated inquiry towards co-operative work, from the secret and solitary investigations of the alchemists to the frank report and open discussion which is the life of the modern scientific process. For the true scientific method is this: to trust no statements without verification, to test all things as rigorously as possible, to keep no secrets, to attempt no monopolies, to give out one's best modestly and plainly, serving no other end but knowledge.

The long-slumbering science of anatomy was revived by Harvey (1578-1657), who demonstrated the circulation of the blood. . . . Presently the Dutchman, Leeuwenhoek (1632-1723) brought the first crude microscope to bear upon the hidden minutiae of life.

These are but some of the brightest stars amidst that increasing multitude of men who have from the fifteenth century to our own time, with more and more collective energy and vigour, lit up our vision of the universe, and increased our power over the conditions of our lives.

## § 7

We have dealt thus fully with the recrudescence of scientific studies in the Middle Ages because of its ultimate importance in human affairs. In the long run, Roger Bacon is of more significance to mankind than any monarch of his time. But the contemporary world, for the most part, knew nothing of this smouldering activity in studies and lecture-rooms and alchemist's laboratories that was presently to alter all the conditions of life. The church did indeed take notice of what was afoot, but only because of the disregard of her conclusive decisions. She had decided that the earth was the very centre of God's creation, and that the Pope

was the divinely appointed ruler of the earth. Men's ideas on these essential points, she insisted, must not be disturbed by any contrary teaching. So soon, however, as she had compelled Galileo to say that the world did not move she was satisfied; she does not seem to have realized how ominous it was for her that, after all, the earth did move.

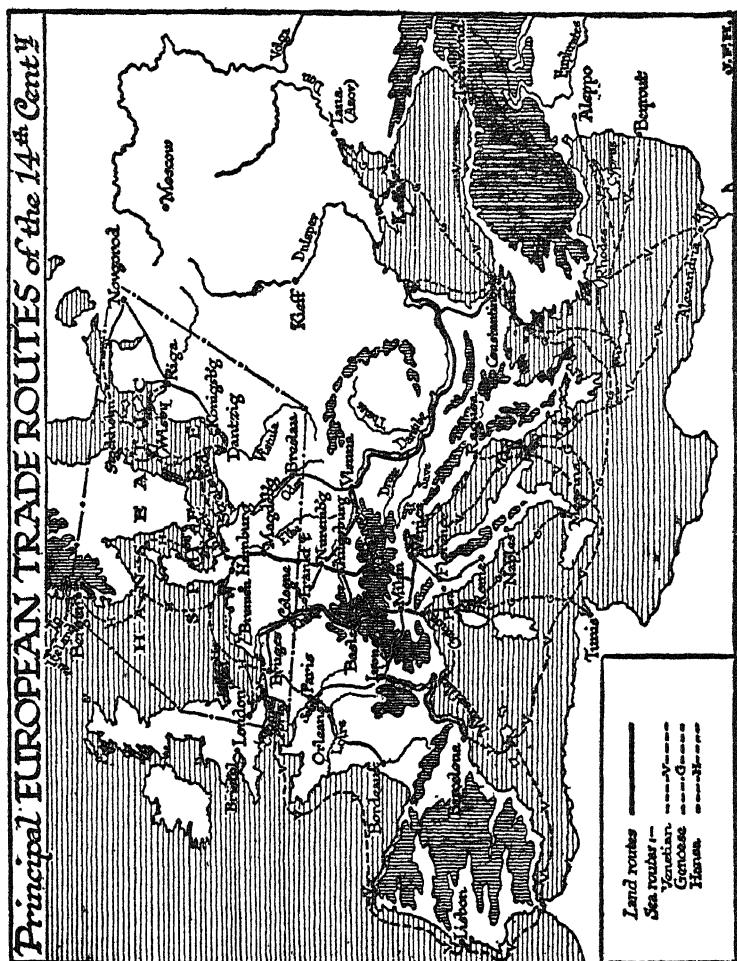
Very great social as well as intellectual developments were in progress in Western Europe throughout this period of the later Middle Ages. But the human mind apprehends events far more vividly than changes; and men for the most part, then as now, kept on in their own traditions in spite of the shifting scene about them.

In an outline such as this it is impossible to crowd in the clustering events of history that do not clearly show the main process of human development, however bright and picturesque they may be. We have to record the steady growth of towns and cities, the reviving power of trade and money, the gradual re-establishment of law and custom, the extension of security, the supersession of private warfare that went on in Western Europe in the period between the first crusade and the sixteenth century. Of much that looms large in our national histories we cannot tell anything. We have no space for the story of the repeated attempts of the English kings to conquer Scotland and set themselves up as Kings of France, nor of how the Norman English established themselves insecurely in Ireland, (twelfth century) and how Wales was linked to the English crown (1282). All through the Middle Ages the struggle of England with Scotland and France was in progress; there were times when it seemed that Scotland was finally subjugated and when the English king held far more land in France than its titular sovereign. In the English histories this struggle with France is too often represented as a singlehanded and almost successful attempt to conquer France. In reality it was a joint enterprise undertaken in concert first with the Flemings and Bavarians and afterwards with the powerful French vassal state of Burgundy to conquer and divide the patrimony of Hugh



Capet. Of the English rout by the Scotch at Bannockburn (1314), and of William Wallace and Robert the Bruce, the Scottish national heroes, of the battles of Crecy (1346) and Poitiers (1356) and Agincourt (1415) in France, which shine like stars in the English imagination, little battles in which sturdy bowmen through some sunny hours made a great havoc among French knights in armour, of the Black Prince and Henry V of England, and of how a peasant girl, Joan of Arc, the Maid of Orleans, drove the English out of her country again (1429-1430), this history relates nothing. For every country has such cherished national events. They are the ornamental tapestry of history, and no part of the building. Rajputana or Poland, Russia, Spain, Persia, and China can all match or outdo the utmost romance of western Europe, with equally adventurous knights and equally valiant princesses and equally stout fights against the odds. Nor can we tell how Louis XI of France (1461-1483), the son of Joan of Arc's Charles VII, brought Burgundy to heel and laid the foundations of a centralized French monarchy. It signifies more that in the thirteenth and fourteenth centuries, gunpowder, that Mongol gift, came to Europe so that the kings (Louis XI included) and the law, relying upon the support of the growing towns, were able to batter down the castles of the half-independent robber knights and barons of the earlier Middle Ages and consolidate a more centralized power. The fighting nobles and knights of the barbaric period disappear slowly from history during these centuries; the Crusades consumed them, such dynastic wars as the English Wars of the Roses killed them off, the arrows from the English longbow pierced them and stuck out a yard behind, infantry so armed swept them from the stricken field; they became reconciled to trade and changed their nature. They disappeared in everything but a titular sense from the west and south of Europe before they disappeared from Germany. The knight in Germany remained a professional fighting man into the sixteenth century.

Between the eleventh and the fifteenth centuries in western



Europe, and particularly in France and England, there sprang up like flowers a multitude of very distinctive and beautiful buildings, cathedrals, abbeys and the like, the Gothic architecture. This lovely efflorescence marks the appearance of a body of craftsmen closely linked in its beginnings to the church. In Italy and Spain too the world was beginning to build freely and beautifully again. At first it was the wealth of the church that provided most of these buildings; then kings and merchants also began to build.

From the twelfth century onward, with the increase of trade, there was a great revival of town life throughout Europe. Prominent among these towns were Venice, with its dependents Ragusa and Corfu, Genoa, Verona, Bologna, Pisa, Florence, Naples, Milan, Marseilles, Lisbon, Barcelona, Narbonne, Tours, Orleans, Bordeaux, Paris, Ghent, Bruges, Boulogne, London, Oxford, Cambridge, Southampton, Dover, Antwerp, Hamburg, Bremen, Cologne, Mayence, Nuremberg, Munich, Leipzig, Magdeburg, Breslau, Stettin, Dantzic, Königsberg, Riga, Pskof, Novgorod, Wisby, and Bergen.

"A West German town, between 1400 and 1500,<sup>1</sup> embodied all the achievements of progress at that time, although from a modern standpoint much seems wanting. . . . The streets were mostly narrow and irregularly built, the houses chiefly of wood, while almost every burgher kept his cattle in the house, and the herd of swine which was driven every morning by the town herdsman to the pasture-ground formed an inevitable part of city life.<sup>2</sup> In Frankfort-on-Main it was unlawful after 1481 to keep swine in the Altstadt, but in the Neustadt and in Sachsenhausen this custom remained as a matter of course. It was only in 1645, after a corresponding attempt in 1556 had failed, that the swine-pens in the inner town were pulled down at Leipzig. The rich burghers, who occasionally took part in the great trading companies, were conspicuously wealthy landowners, and had extensive

<sup>1</sup> From Dr. Tille in Helmolt's *History of the World*.

<sup>2</sup> Charles Dickens in his *American Notes* mentions swine in Broadway, New York, in the middle nineteenth century.

courtyards with large barns inside the town walls. The most opulent of them owned those splendid patrician houses which we still admire even to-day. But even in the older towns most houses of the fifteenth century have disappeared; only here and there a building with open timber-work and overhanging storeys, as in Bacharach or Miltenburg, reminds us of the style of architecture then customary in the houses of burghers. The great bulk of the inferior population, who lived on mendicancy, or got a livelihood by the exercise of the inferior industries, inhabited squalid hovels outside the town; the town wall was often the only support for these wretched buildings. The internal fittings of the houses, even amongst the wealthy population, were very defective according to modern ideas; the Gothic style was as little suitable for the petty details of objects of luxury as it was splendidly adapted for the building of churches and town halls. The influence of the Renaissance added much to the comfort of the house.

"The fourteenth and fifteenth century saw the building of numerous Gothic town churches and town halls throughout Europe which still in many cases serve their original purpose. The power and prosperity of the towns find their best expression in these and in the fortifications, with their strong towers and gateways. Every picture of a town of the sixteenth or later centuries shows conspicuously these latter erections for the protection and honour of the town. The town did many things which in our time are done by the State. Social problems were taken up by town administration or the corresponding municipal organization. The regulation of trade was the concern of the guilds in agreement with the council, the care of the poor belonged to the church, while the council looked after the protection of the town walls and the very necessary fire brigades. The council, mindful of its social duties, superintended the filling of the municipal granaries, in order to have supplies in years of scarcity. Such store-houses were erected in almost every town during the fifteenth century. Tariffs of prices for the sale of all wares, high enough to enable every artisan to

make a good livelihood, and to give the purchaser a guarantee for the quality of the wares, were maintained. The town was also the chief capitalist; as a seller of annuities on lives and inheritances it was a banker and enjoyed unlimited credit. In return it obtained means for the construction of fortifications or for such occasions as the acquisition of sovereign rights from the hand of an impecunious prince."

For the most part these European towns were independent or quasi-independent aristocratic republics. Most admitted a vague overlordship on the part of the church, or of the emperor or of a king. Others were parts of kingdoms, or even the capitals of dukes or kings. In such cases their internal freedom was maintained by a royal or imperial charter. In England the Royal City of Westminster on the Thames stood cheek by jowl with the walled city of London, into which the King came only with ceremony and permission. The entirely free Venetian republic ruled an empire of dependent islands and trading ports, rather after the fashion of the Athenian republic. Genoa also stood alone. The Germanic towns of the Baltic and North Sea from Riga to Middelburg in Holland, Dortmund, and Cologne were loosely allied in a confederation, the confederation of the Hansa towns, under the leadership of Hamburg, Bremen, and Lubeck, a confederation which was still more loosely attached to the empire. This confederation, which included over seventy towns in all, and which had depôts in Novgorod, Bergen, London, and Bruges, did much to keep the northern seas clean of piracy, that curse of the Mediterranean and of the Eastern seas. The Eastern Empire throughout its last phase, from the Ottoman conquest of its European hinterland in the fourteenth and early fifteenth century until its fall in 1453, was practically only the trading town of Constantinople, a town state like Genoa or Venice, except that it was encumbered by a corrupt imperial court.

The fullest and most splendid developments of this city life of the later Middle Ages occurred in Italy. After the end of the Hohenstaufen line in the thirteenth century, the hold of the Holy Roman Empire upon North and Central

Italy weakened, although, as we shall tell, German Emperors were still crowned as kings and emperors in Italy up to the time of Charles V (*circ.* 1530). There arose a number of quasi-independent city states to the north of Rome, the papal capital. South Italy and Sicily, however, remained under foreign dominion. Genoa and her rival, Venice, were the great trading seaports of this time; their noble palaces, their lordly paintings, still win our admiration. Milan, at the foot of the St. Gothard pass, revived to wealth and power. Inland was Florence, a trading and financial centre which, under the almost monarchical rule of the Medici family in the fifteenth century, enjoyed a second "Periclean age." But already before the time of these cultivated Medici "bosses," Florence had produced much beautiful art. Giotto's tower (Giotto, born 1266, died 1337) and the Duomo (by Brunellesco, born 1377, died 1446) already existed. Towards the end of the fourteenth century Florence became the centre of the rediscovery, restoration, and imitation of antique art (the "Renaissance" in its narrower sense). Artistic productions, unlike philosophical thought and scientific discovery, are the ornaments and expression rather than the creative substance of history, and here we cannot attempt to trace the development of the art of Filippo Lippi, Botticelli, Donatello (died 1466), Leonardo da Vinci (died 1519), Michelangelo (1475-1564), and Raphael (died 1520). Of the scientific speculation of Leonardo we have already had occasion to speak.

## § 8

In 1453, as we have related, Constantinople fell. Throughout the next century the Turkish pressure upon Europe was heavy and continuous. The boundary line between Mongol and Aryan, which had lain somewhere east of the Pamirs in the days of Pericles, had receded now to Hungary. Constantinople had long been a mere island of Christians in a Turk-ruled Balkan peninsula. Its fall did much to interrupt the trade with the East.

Of the two rival cities of the Mediterranean, Venice was generally on much better terms with the Turks than Genoa. Every intelligent Genoese sailor fretted at the trading monopoly of Venice, and tried to invent some way of getting through it or round it. And there were now new peoples taking to the sea trade, and disposed to look for new ways to the old markets because the ancient routes were closed to them. The Portuguese, for example, were developing an Atlantic coasting trade. The Atlantic was waking up again after a vast period of neglect that dated from the Roman murder of Carthage. It is rather a delicate matter to decide whether the western European was pushing out into the Atlantic or whether he was being pushed out into it by the Turk, who lorded it in the Mediterranean until the Battle of Lepanto (1571). The Venetian and Genoese ships were creeping round to Antwerp, and the Hansa town seamen were coming south and extending their range. And there were considerable developments of seamanship and shipbuilding in progress. The Mediterranean is a sea for galleys and coasting. But upon the Atlantic Ocean and the North Sea winds are more prevalent, seas run higher, the shore is often a danger rather than a refuge. The high seas called for the sailing ship, and in the fourteenth and fifteenth centuries it appears keeping its course by the compass and the stars.

By the thirteenth century the Hansa merchants were already sailing regularly from Bergen across the grey cold seas to the Northmen in Iceland. In Iceland men knew of Greenland, and adventurous voyagers had long ago found a further land beyond, Vinland, where the climate was pleasant and where men could settle if they chose to cut themselves off from the rest of human kind. This Vinland was either Nova Scotia or, what is more probable, New England.

All over Europe in the fifteenth century merchants and sailors were speculating about new ways to the East. The Portuguese, unaware that Pharaoh Necho had solved the problem ages ago, were asking whether it was not possible to go round to India by the coast of Africa. Their ships

followed in the course that Hanno took to Cape Verde (1445). They put out to sea to the west and found the Canary Isles, Madeira, and the Azores.<sup>1</sup> That was a fairly long stride across the Atlantic. In 1486 a Portuguese, Diaz, reported that he had rounded the south of Africa. . . .

A certain Genoese, Christopher Columbus, began to think more and more of what is to us a very obvious and natural enterprise, but which strained the imagination of the fifteenth century to the utmost, a voyage due west across the Atlantic. At that time nobody knew of the existence of America as a separate continent. Columbus knew that the world was a sphere, but he underestimated its size; the travels of Marco Polo had given him an exaggerated idea of the extent of Asia, and he supposed therefore that Japan, with its reputation for a great wealth of gold, lay across the Atlantic in about the position of Mexico. He had made various voyages in the Atlantic; he had been to Iceland and perhaps heard of Vinland, which must have greatly encouraged these ideas of his, and this project of sailing into the sunset became the ruling purpose of his life. He was a penniless man, some accounts say he was a bankrupt, and his only way of securing a ship was to get someone to entrust him with a command. He went first to King John II of Portugal, who listened to him, made difficulties, and then arranged for an expedition to start without his knowledge, a purely Portuguese expedition. This highly diplomatic attempt to steal a march on an original man failed, as it deserved to fail; the crew became mutinous, the captain lost heart and returned (1483). Columbus then went to the Court of Spain.

At first he could get no ship and no powers. Spain was assailing Granada, the last foothold of the Moslems in western Europe. Most of Spain had been recovered by the Christians between the eleventh and the thirteenth century; then had come a pause; and now all Christian Spain, united

<sup>1</sup> In these maritime adventures in the eastern Atlantic and the west African coast the Portuguese were preceded in the thirteenth, fourteenth, and early fifteenth centuries by Normans, Catalonians, and Genoese.—H. H. J.



by the marriage of Ferdinand of Aragon and Isabella of Castile, was setting itself to the completion of the Christian conquest. Despairing of Spanish help, Columbus sent his brother Bartholomew to Henry VII of England, but the adventure did not attract that canny monarch. Finally in 1492 Granada fell, some slight compensation for the Christian loss of Constantinople, fifty years before, and then, helped by some merchants of the town of Palos, Columbus got his ships, three ships, of which only one, the *Santa Maria*, of 100 tons burthen, was decked. The two others were open boats of half that tonnage.

The little expedition,—it numbered altogether eighty-eight men!—went south to the Canaries, and then stood out across the unknown seas, in beautiful weather and with a helpful wind.

The story of that momentous voyage of two months and nine days must be read in detail to be appreciated. The crew was full of doubts and fears; they might, they feared, sail on for ever. They were comforted by seeing some birds, and later on by finding a pole worked with tools, and a branch with strange berries. At ten o'clock, on the night of October 11th, 1492, Columbus saw a light ahead; the next morning land was sighted, and, while the day was still young, Columbus landed on the shores of the new world, richly appalled and bearing the royal banner of Spain. . . .

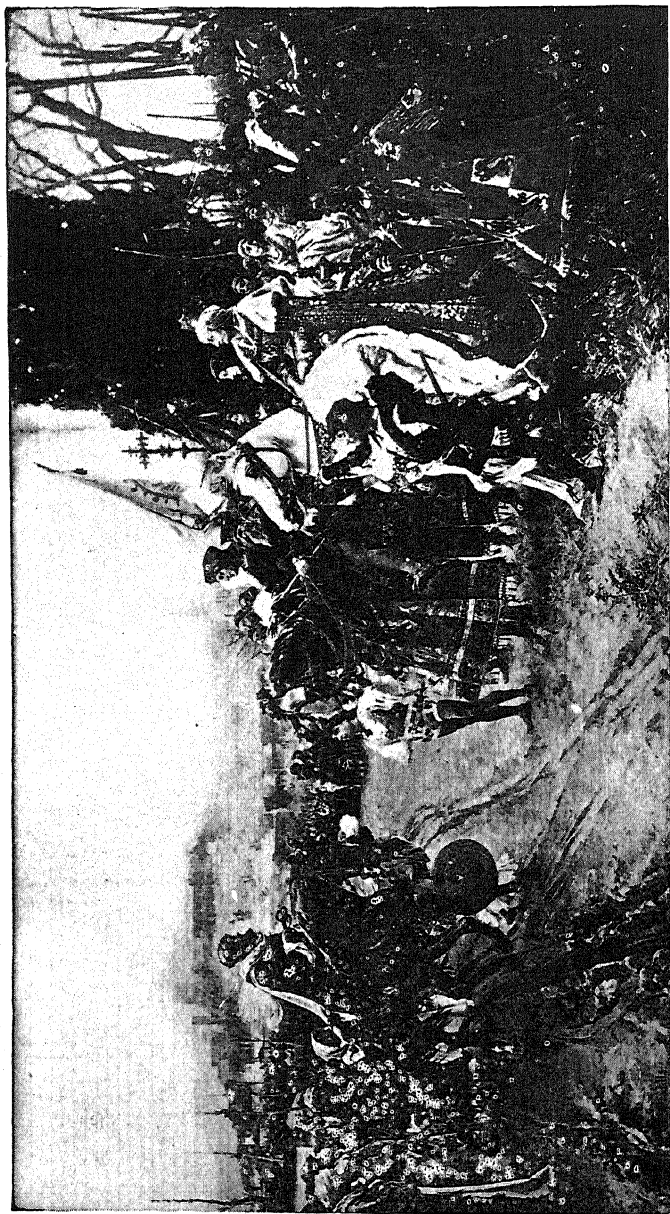
Early in 1493 Columbus returned to Europe. He brought gold, cotton, strange beasts and birds, and two wild-eyed painted Indians to be baptized. He had not found Japan, it was thought, but India. The islands he had found were called therefore the West Indies. The same year he sailed again with a great expedition of seventeen ships and fifteen thousand men, with the express permission of the Pope to take possession of these new lands for the Spanish crown. . . .

We cannot tell of his experiences as Governor of this Spanish colony, nor how he was superseded and put in chains. In a little while a swarm of Spanish adventurers were exploring the new lands. But it is interesting to note that



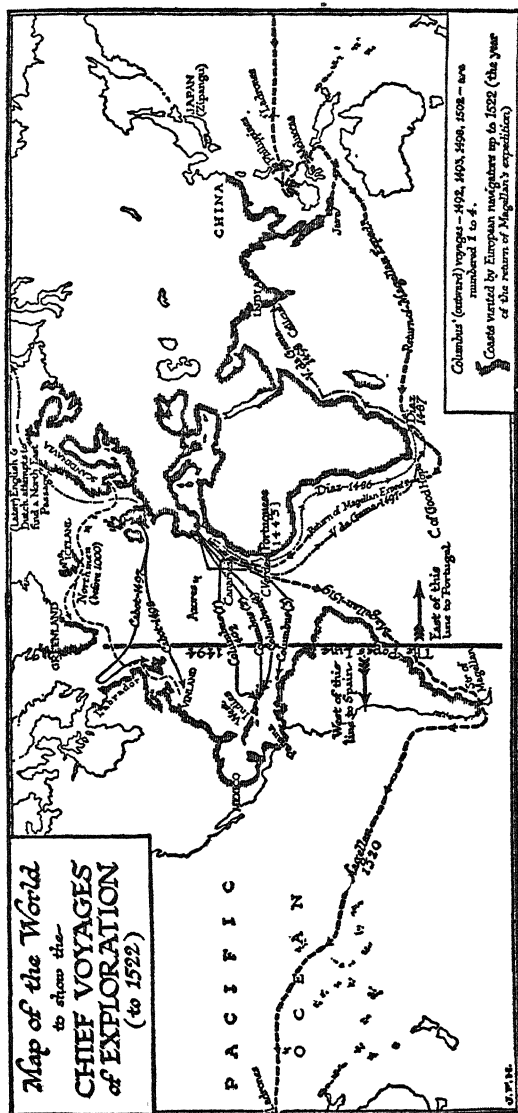
CHRISTOPHER COLUMBUS

From the painting by Sebastiano del Piombo (1485-1547) at the  
Metropolitan Museum of Art



#### BOABDIL SURRENDERING GRANADA

In the year of America's discovery, Abu Abdullah ("Boabdil," the Unfortunate) surrendered his capital to Ferdinand and Isabella, completing the Christian conquest of Spain. (Painting by Pradilla)



Columbus died ignorant of the fact that he had discovered a new continent. He believed to the day of his death that he had sailed round the world to Asia.

The news of his discoveries caused a great excitement throughout western Europe. It spurred the Portuguese to fresh attempts to reach India by the South African route. In 1497, Vasco da Gama sailed from Lisbon to Zanzibar, and thence, with an Arab pilot, he struck across the Indian Ocean to Calicut in India. In 1515 there were Portuguese ships in Java and the Moluccas. In 1519 a Portuguese sailor, Magellan, in the employment of the Spanish King, coasted to the south of South America, passed through the dark and forbidding "Strait of Magellan," and so came into the Pacific Ocean, which had already been sighted by Spanish explorers who had crossed the Isthmus of Panama.

Magellan's expedition continued across the Pacific Ocean westward. This was a far more heroic voyage than that of Columbus; for *eight and ninety days* Magellan sailed unflinchingly over that vast, empty ocean, sighting nothing but two little desert islands. The crews were rotten with scurvy; there was little water and that bad, and putrid biscuit to eat. Rats were hunted eagerly; cowhide was gnawed and sawdust devoured to stay the pangs of hunger. In this state the expedition reached the Ladrões. They discovered the Philippines, and here Magellan was killed in a fight with the natives. Several other captains were murdered. Five ships had started with Magellan in August 1519 and two hundred and eighty men; in July 1522 the *Vittoria*, with a remnant of one and thirty men aboard, returned up the Atlantic to her anchorage near the Mole of Seville, in the river Guadalquivir—the first ship that ever circumnavigated this planet.

The English and French and Dutch and the sailors of the Hansa towns came rather later into this new adventure of exploration. They had not the same keen interest in the eastern trade. And when they did come in, their first efforts were directed to sailing round the north of America as Magellan had sailed round the south, and to sailing round

the north of Asia as Vasco da Gama had sailed round the south of Africa. Both these enterprises were doomed to failure by the nature of things. Both in America and the East, Spain and Portugal had half a century's start of England and France and Holland. And Germany never started. The King of Spain was Emperor of Germany in those crucial years, and the Pope had given the monopoly of America to Spain, and not simply to Spain, but to the kingdom of Castile. This must have restrained both Germany and Holland at first from American adventures. The Hansa towns were quasi-independent; they had no monarch behind them to support them, and no unity among themselves for so big an enterprise as oceanic exploration. It was the misfortune of Germany, and perhaps of the world, that, as we will presently tell, a storm of warfare exhausted her when all the Western powers were going to this newly opened school of trade and administration upon the high seas.

Slowly throughout the sixteenth century the immense good fortune of Castile unfolded itself before the dazzled eyes of Europe. She had found a new world, abounding in gold and silver and wonderful possibilities of settlement. It was all hers, because the Pope had said so. The Court of Rome, in an access of magnificence, had divided this new world of strange lands which was now opening out to the European imagination, between the Spanish, who were to have everything west of a line 370 leagues west of the Cape Verde islands, and the Portuguese, to whom everything east of this line was given.

At first the only people encountered by the Spaniards in America were savages of a Mongoloid type. Many of these savages were cannibals. It is a misfortune for science that the first Europeans to reach America were these rather incurious Spaniards, without any scientific passion, thirsty for gold, and full of the blind bigotry of a recent religious war. They made few intelligent observations of the native methods and ideas of these primordial people. They slaughtered them, they robbed them, they enslaved them, and baptized them; but they made small note of the customs

and motives that changed and vanished under their assault. They were as destructive and reckless as the early British settler in Tasmania, who shot the Palæolithic men who still lingered there at sight and put out poisoned meat for them to find.

Great areas of the American interior were prairie land, whose nomadic tribes subsisted upon vast herds of the now practically extinct bison. In their manner of life, in their painted garments and their free use of paint, in their general physical characters, these prairie Indians showed remarkable resemblance to the Later Palæolithic men of the Solutrian age in Europe. But they had no horses. They seem to have made no very great advance from that primordial state, which was probably the state in which their ancestors had reached America. They had, however, a knowledge of metals, and most notably a free use of native copper, but no knowledge of iron. As the Spaniards penetrated into the continent, they found and they attacked, plundered, and destroyed two separate civilized systems that had developed in America, perhaps quite independently of the civilized systems of the old world. One of them was the Aztec civilizations of Mexico; the other, that of Peru. They had probably arisen out of the heliolithic sub-civilization that had drifted across the Pacific, island by island, step by step, age after age, from its region of origin round and about the Mediterranean. We have already noted one or two points of interest in these unique developments. Along their own lines these civilized peoples of America had reached to a state of affairs roughly parallel with the culture of predynastic Egypt or the early Sumerian cities. Before the Aztecs and the Peruvians there had been still earlier civilized beginnings which had either been destroyed by their successors, or which had failed and relapsed of their own accord.

The Aztecs seem to have been a conquering, less civilized people, dominating a more civilized community, as the Aryans dominated Greece and North India. Their religion was a primitive, complex, and cruel system, in which human sac-

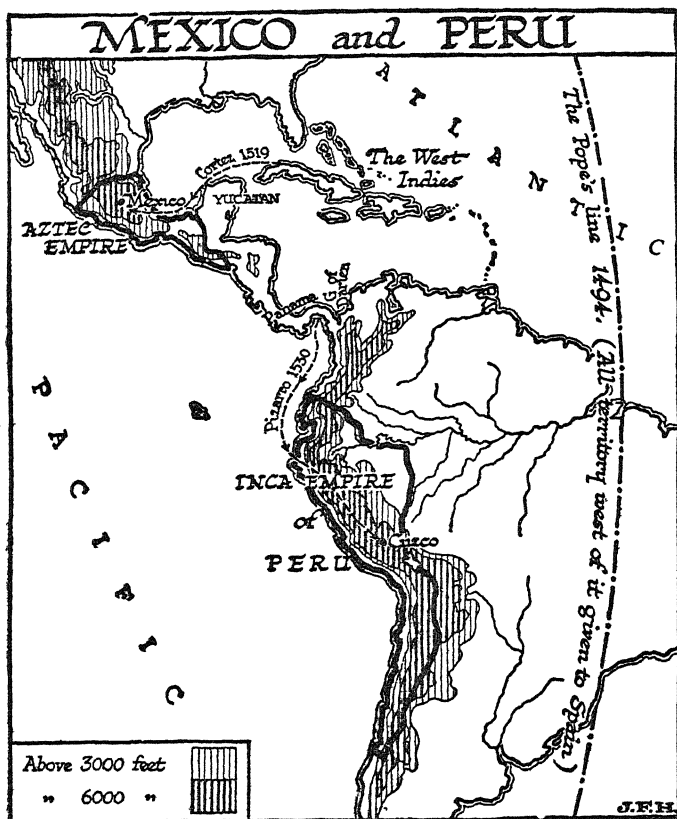
rifices and ceremonial cannibalism played a large part. Their minds were haunted by the idea of sin and the need for bloody propitiations.

The Aztec civilization was destroyed by an expedition under Cortez. He had eleven ships, four hundred Europeans, two hundred Indians, sixteen horses, and fourteen guns. But in Yucatan he picked up a stray Spaniard who had been a captive with the Indians for some years, and who had more or less learnt various Indian languages, and knew that the Aztec rule was deeply resented by many of its subjects. It was in alliance with these that Cortez advanced over the mountains into the valley of Mexico (1519). How he entered Mexico, how its war-chief, Montezuma, was killed by his own people for favouring the Spaniards, how Cortez was besieged in Mexico, and escaped with the loss of his guns and horses, and how after a terrible retreat to the coast he was able to return and subjugate the whole land, is a romantic and picturesque story which we cannot even attempt to tell here. The population of Mexico to this day is largely of native blood, but Spanish has replaced the native languages, and such culture as exists is Catholic and Spanish.

The still more curious Peruvian state fell a victim to another adventurer, Pizarro. He sailed from the Isthmus of Panama in 1530, with an expedition of a hundred and sixty-eight Spaniards. Like Cortez in Mexico, he availed himself of the native dissensions to secure possession of the doomed state. Like Cortez, too, who had made a captive and tool of Montezuma, he seized the Inca of Peru by treachery, and attempted to rule in his name. Here again we cannot do justice to the tangle of subsequent events, the ill-planned insurrections of the natives, the arrival of Spanish reinforcements from Mexico, and the reduction of the state to a Spanish province. Nor can we tell much more of the swift spread of Spanish adventurers over the rest of America, outside the Portuguese reservation of Brazil. To begin with each story is nearly always a story of adventurers and of cruelty and loot. The Spaniards ill-treated the natives,



they quarrelled among themselves, the law and order of Spain were months and years away from them; it was only very slowly that the phase of violence and conquest passed into a phase of government and settlement. But long before there was much order in America, a steady stream of gold



and silver began to flow across the Atlantic to the Spanish government and people.

After the first violent treasure hunt came plantation and the working of mines. With that arose the earliest labour difficulty in the new world. At first the Indians were en-

slaved with much brutality and injustice; but to the honour of the Spaniards this did not go uncriticized. The natives found champions, and very valiant champions, in the Dominican Order and in a secular priest Las Casas, who was for a time a planter and slave-owner in Cuba until his conscience smote him. An importation of negro slaves from West Africa also began quite early in the sixteenth century. After some retrogression, Mexico, Brazil, and Spanish South America began to develop into great slave-holding wealth-producing lands. . . .

We cannot tell here, as we would like to do, of the fine civilizing work done in South America, and more especially among the natives, by the Franciscans, and presently by the Jesuits, who came into America in the latter half of the sixteenth century (after 1549). . . .

So it was that Spain rose to a temporary power and prominence in the world's affairs. It was a very sudden and very memorable rise. From the eleventh century this infertile and corrugated peninsula had been divided against itself, its Christian population had sustained a perpetual conflict with the Moors; then by what seems like an accident it achieved unity just in time to reap the first harvest of benefit from the discovery of America. Before that time Spain had always been a poor country; it is a poor country to-day, almost its only wealth lies in its mines. For a century, however, through its monopoly of the gold and silver of America, it dominated the world. The east and centre of Europe were still overshadowed by the Turk and Mongol; the discovery of America was itself a consequence of the Turkish conquests; very largely through the Mongolian inventions of compass and paper, and under the stimulus of travel in Asia and of the growing knowledge of eastern Asiatic wealth and civilization, came this astonishing blazing up of the mental, physical, and social energies of the "Atlantic fringe." For close in the wake of Portugal and Spain came France and England, and presently Holland, each in its turn taking up the rôle of expansion and empire overseas. The centre of interest for European history which once lay in the Le-

vant shifts now from the Alps and the Mediterranean Sea to the Atlantic. For some centuries the Turkish Empire, Russia, and Central Asia and China are relatively neglected by the limelight of the European historian. Nevertheless, these central regions of the world remain central, and their welfare and participation is necessary to the permanent peace of mankind.

### § 9

And now let us consider the political consequences of this vast release and expansion of European ideas in the fourteenth and fifteenth centuries with the new development of science, the exploration of the world, the great dissemination of knowledge through paper and printing, and the spread of a new craving for freedom and equality. How was it affecting the mentality of the courts and kings that directed the formal affairs of mankind? We have already shown how the hold of the Catholic church upon the consciences of men was weakening at this time. Only the Spaniards, fresh from a long and finally successful religious war against Islam, had any great enthusiasm left for the church. The Turkish conquests and the expansion of the known world robbed the Roman Empire of its former prestige of universality. The old mental and moral framework of Europe was breaking up. What was happening to the dukes, princes, and kings of the old dispensation during this age of change?

In England, as we shall tell later, very subtle and interesting tendencies were leading towards a new method in government, the method of parliament, that was to spread later on over nearly all the world. But of these tendencies the world at large was as yet practically unconscious in the sixteenth century.

Few monarchs have left us intimate diaries; to be a monarch and to be frank are incompatible feats; monarchy is itself necessarily a pose. The historian is obliged to speculate about the contents of the head that wears a crown as best he can. No doubt regal psychology has varied with

the ages. We have, however, the writings of a very able man of this period who set himself to study and expound the arts of king-craft as they were understood in the later fifteenth century. This was the celebrated Florentine, Niccolo Machiavelli (1469-1527). He was of good birth and reasonable fortune, and he had entered the public employment of the republic by the time he was twenty-five. For eighteen years he was in the Florentine diplomatic service; he was engaged upon a number of embassies, and in 1500 he was sent to France to deal with the French king. From 1502 to 1512 he was the right-hand man of the gonfalonier (the life president) of Florence, Soderini. Machiavelli reorganized the Florentine army, wrote speeches for the gonfalonier, was indeed the ruling intelligence in Florentine affairs. When Soderini, who had leant upon the French, was overthrown by the Medici family whom the Spanish supported, Machiavelli, though he tried to transfer his services to the victors, was tortured on the rack and expelled. He took up his quarters in a villa near San Casciano, twelve miles or so from Florence, and there entertained himself partially by collecting and writing salacious stories to a friend in Rome, and partly by writing books about Italian politics in which he could no longer play a part. Just as we owe Marco Polo's book of travels to his imprisonment, so we owe Machiavelli's *Prince*, his *Florentine History*, and *The Art of War* to his downfall and the boredom of San Casciano.

The enduring value of these books lies in the clear idea they give us of the quality and limitations of the ruling minds of this age. Their atmosphere was his atmosphere. If he brought an exceptionally keen intelligence to their business, that merely throws it into a brighter light.

His susceptible mind had been greatly impressed by the cunning, cruelty, audacity, and ambition of Caesar Borgia, the Duke of Valentino, in whose camp he had spent some months as an envoy. In his *Prince* he idealized this dazzling person. Caesar Borgia (1476-1507), the reader must understand, was the son of Pope Alexander VI, Rodrigo Borgia

(1492-1503). The reader will perhaps be startled at the idea of a Pope having a son, but this, we must remember, was a pre-reformation Pope. The Papacy at this time was in a mood of moral relaxation, and though Alexander was, as a priest, pledged to live unmarried, this did not hinder him from living openly with a sort of unmarried wife, and devoting the resources of Christendom to the advancement of his family. Cæsar was a youth of spirit even for the times in which he lived; he had early caused his elder brother to be murdered, and also the husband of his sister, Lucrezia. He had indeed betrayed and murdered a number of people. With his father's assistance he had become duke of a wide area of Central Italy when Machiavelli visited him. He had shown little or no military ability, but considerable dexterity and administrative power. His magnificence was of the most temporary sort. When presently his father died, it collapsed like a pricked bladder. Its unsoundness was not evident to Machiavelli. Our chief interest in Cæsar Borgia is that he realized Machiavelli's highest ideals of a superb and successful prince.

Much has been written to show that Machiavelli had wide and noble intentions behind his political writings, but all such attempts to ennoble him will leave the sceptical reader, who insists on reading the lines instead of reading imaginary things between the lines of Machiavelli's work, cold towards him. This man manifestly had no belief in any righteousness at all, no belief in a God ruling over the world or in a God in men's hearts, no understanding of the power of conscience in men. Not for him were Utopian visions of world-wide human order, or attempts to realize the *City of God*. Such things he did not want. It seemed to him that to get power, to gratify one's desires and sensibilities and hates, to swagger triumphantly in the world, must be the crown of human desire. Only a prince could fully realize such a life. Some streak of timidity or his sense of the poorness of his personal claims had evidently made him abandon such dreams for himself; but at least he might hope to serve a prince, to live close to the glory, to share the plunder and the

lust and the gratified malice. He might even make himself indispensable! He set himself therefore, to become an "expert" in prince-craft. He assisted Soderini to fail. When he was racked and rejected by the Medicis, and had no further hopes of being even a successful court parasite, he wrote these handbooks of cunning to show what a clever servant some prince had lost. His ruling thought, his great



contribution to political literature, was that moral obligations upon ordinary men cannot bind princes.

There is a disposition to ascribe the virtue of patriotism to Machiavelli because he suggested that Italy, which was weak and divided—she had been invaded by the Turks and saved from conquest only by the death of the Sultan Muhammad, and she was being fought over by the French and Spanish as though she was something inanimate—might be united and strong; but he saw in that possibility only a great opportunity for a prince. And he advocated a national army

only because he saw the Italian method of carrying on war by hiring bands of foreign mercenaries was a hopeless one. At any time such troops might go over to a better paymaster or decide to plunder the state they protected. He had been deeply impressed by the victories of the Swiss over the Milanese, but he never fathomed the secret of the free spirit that made those victories possible. The Florentine militia he created was a complete failure. He was a man born blind to the qualities that make peoples free and nations great.

Yet this morally blind man was living in a little world of morally blind men. It is clear that his style of thought was the style of thought of the great court of his time. Behind the princes of the new states that had grown up out of the wreckage of the empire and the failure of the Church, there were everywhere chancellors and secretaries and trusted ministers of the Machiavellian type. Cromwell, for instance, the minister of Henry VIII of England after his breach with Rome, regarded Machiavelli's *Prince* as the quintessence of political wisdom. When the princes were themselves sufficiently clever they too were Machiavellian. They were scheming to outdo one another, to rob weaker contemporaries, to destroy rivals, so that they might for a brief interval swagger. They had little or no vision of any scheme of human destinies greater than this game they played against one another.

### § 10

It is interesting to note that this Swiss infantry which had so impressed Machiavelli was no part of the princely system of Europe. At the very centre of the European system there had arisen a little confederation of free states, the Swiss Confederation, which after some centuries of nominal adhesion to the Holy Roman Empire, became frankly republican in 1499. As early as the thirteenth century, the peasant farmers of three valleys round about the Lake of Lucerne took it into their heads that they would dispense

with an overlord and manage their own affairs in their own fashion. Their chief trouble came from the claims of a noble family of the Aar valley, the Habsburg family. In 1245 the men of Schwyz burnt the castle of New Habsburg which had been set up near Lucerne to overawe them; its ruins are still to be seen there.

This Habsburg family was a growing and acquisitive one; it had lands and possessions throughout Germany; and in 1273, after the extinction of the Hohenstaufen house, Rudolf of Habsburg was elected Emperor of Germany, a distinction that became at last practically hereditary in his family. None the less, the men of Uri, Schwyz, and Unterwalden did not mean to be ruled by any Habsburg; they formed an Everlasting League in 1291, and they held their own among the mountains from that time onward to this day, first as free members of the empire and then as an absolutely independent confederation. Of the heroic legend of William Tell we have no space to tell here, nor have we room in which to trace the gradual extension of the confederation to its présent boundaries. Romansh, Italian, and French-speaking valleys were presently added to this valiant little republican group. The red cross flag of Geneva has become the symbol of international humanity in the midst of warfare. The bright and thriving cities of Switzerland have been a refuge for free men from a score of tyrannies.

### § 11A

Most of the figures that stand out in history, do so through some exceptional personal quality, good or bad, that makes them more significant than their fellows. But there was born at Ghent in Belgium in 1500 a man of commonplace abilities and melancholy temperament, the son of a mentally defective mother who had been married for reasons of state, who was, through no fault of his own, to become the focus of the accumulating stresses of Europe. The historian must give him a quite unmerited and accidental prominence side by side with such marked individualities as Alexander and

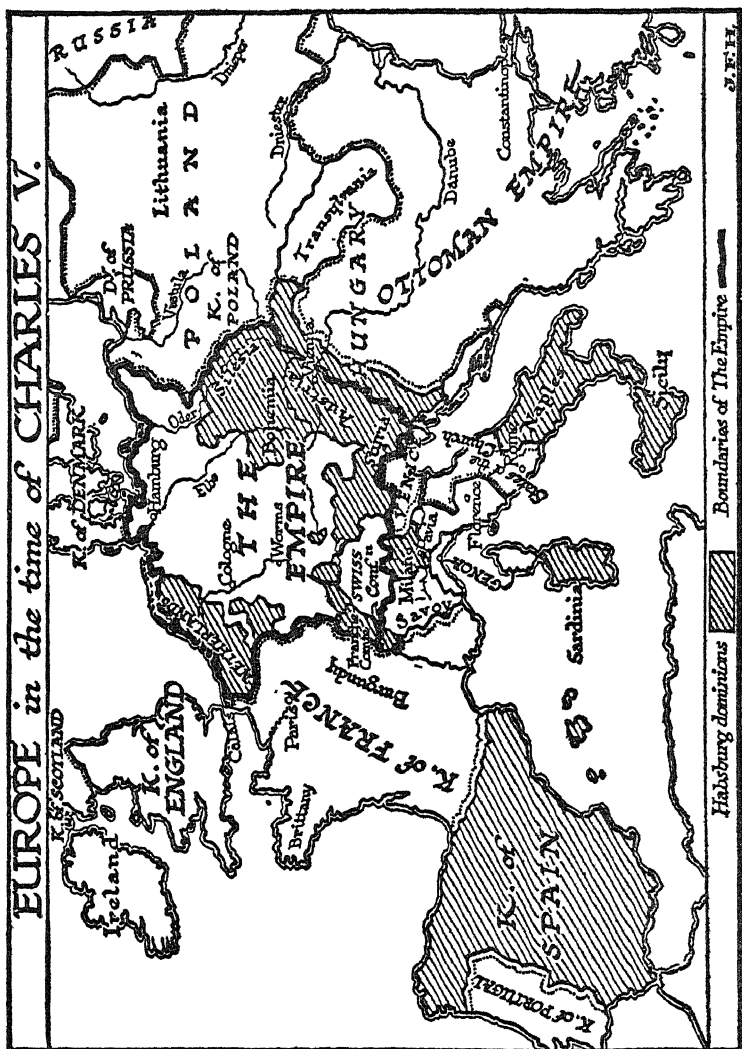


Charlemagne and Frederick II. This was the Emperor Charles V. For a time he had an air of being the greatest monarch in Europe since Charlemagne. Both he and his illusory greatness were the results of the matrimonial statecraft of his grandfather, the Emperor Maximilian I (born 1459, died 1519).

Some families have fought, others have intrigued their way to world power; the Habsburgs married their way. Maximilian began his career with the inheritance of the Habsburgs, Austria, Styria, part of Alsace and other districts; he married—the lady's name scarcely matters to us—the Netherlands and Burgundy. Most of Burgundy slipped from him after his first wife's death, but the Netherlands he held. Then he tried unsuccessfully to marry Brittany. He became Emperor in succession to his father, Frederick III, in 1493, and married the duchy of Milan. Finally he married his son to the weak-minded daughter of Ferdinand and Isabella, the Ferdinand and Isabella of Columbus, who not only reigned over a freshly united Spain, and over Sardinia and the kingdom of the two Sicilies, but by virtue of the papal gifts to Castile, over all America west of Brazil. So it was that Charles, his grandson, inherited most of the American continent and between a third and a half of what the Turks had left of Europe. The father of Charles died in 1506, and Maximilian did his best to secure his grandson's election to the imperial throne.

Charles succeeded to the Netherlands in 1506; he became practically king of the Spanish domains, his mother being imbecile, when his grandfather Ferdinand died in 1516; and his grandfather Maximilian dying in 1519, he was in 1520 elected Emperor at the still comparatively tender age of twenty.

His election as the Emperor was opposed by the young and brilliant French King, Francis I, who had succeeded to the French throne in 1515 at the age of twenty-one. The candidature of Francis was supported by Leo X (1513), who also requires from us the epithet brilliant. It was indeed an age of brilliant monarchs. It was the age



of Baber in India (1526-1530) and Suleiman in Turkey (1520). Both Leo and Francis dreaded the concentration of so much power in the hands of one man as the election of Charles threatened. The only other monarch who seemed to matter in Europe was Henry VIII, who had become King of England in 1509 at the age of eighteen. He also offered himself as a candidate for the empire, and the imaginative English reader may amuse himself by working out the possible consequences of such an election. There was much scope for diplomacy in this triangle of kings. Charles on his way from Spain to Germany visited England and secured the support of Henry against Francis by bribing his minister, Cardinal Wolsey. Henry also made a great parade of friendship with Francis, there was feasting, tournaments, and suchlike antiquated gallantries in France, in a courtly picnic known to historians as the Field of the Cloth of Gold (1520). Knighthood was becoming a picturesque affectation in the sixteenth century. The Emperor Maximilian I is still called "the last of the knights" by German historians.

The election of Charles was secured, it is to be noted, by a vast amount of bribery. He had as his chief supporters and creditors the great German business house of the Fuggers. That large treatment of money and credit which we call finance, which had gone out of European political life with the collapse of the Roman Empire, was now coming back to power. This appearance of the Fuggers, whose houses and palaces outshone those of the emperors, marks the upward movement of forces that had begun two or three centuries earlier in Cahors in France and in Florence and other Italian towns. Money, public debts, and social unrest and discontent, re-enter upon the miniature stage of this *Outline*. Charles V was not so much a Habsburg as a Fugger emperor.

For a time this fair, not very intelligent-looking young man with the thick upper lip and long, clumsy chin—features which still afflict his descendants—was largely a puppet in the hands of his ministers. Able servants after the order

of Machiavelli guided him at first in the arts of kingship. Then in a slow but effectual way he began to assert himself. He was confronted at the very outset of his reign in Germany with the perplexing dissensions of Christendom. The revolt against the papal rule which had been going on since the days of Huss and Wycliffe had been recently exasperated by a new and unusually cynical selling of indulgences to raise money for the completion of St. Peter's at Rome. A monk named Luther, who had been consecrated as a priest, who had taken to reading the Bible, and



**Luther**  
(after Cranach)

who, while visiting Rome on the business of his order, had been much shocked by the levity and worldly splendour of the Papacy, had come forward against these papal expedients at Wittenberg (1517), offering disputation and propounding certain theses. An important controversy ensued. At first Luther carried on this controversy in Latin, but presently took to German, and speedily had the people in a ferment. Charles found this dispute raging when he came from Spain to Germany. He summoned an assembly or "diet" of the empire at Worms on the Rhine. To this, Luther, who had been asked to recant his views by Pope Leo X, and who had refused to do so, was summoned. He came, and, entirely in the spirit of Huss, refused to recant unless he was convinced of his error by logical argument or the authority of Scripture. But his protectors among the princes were too powerful for him to suffer the fate of John Huss.

Here was a perplexing situation for the young Emperor. There is reason to suppose that he was inclined at first to support Luther against the Pope. Leo X had opposed the

election of Charles, and was friendly with his rival, Francis I. But Charles V was not a good Machiavellian, and he had acquired in Spain a considerable religious sincerity. He decided against Luther. Many of the German princes, and especially the Elector of Saxony, sided with the reformer. Luther went into hiding under the protection of the Saxon Elector, and Charles found himself in the presence of the opening rift that was to split Christendom into two contending camps.

Close upon these disturbances, and probably connected with them, came a widespread peasants' revolt throughout Germany. This outbreak frightened Luther very effectually. He was shocked by its excesses, and from that time forth the Reformation he advocated ceased to be a Reformation according to the people and became a Reformation according to the princes. He lost his confidence in that free judgment for which he had stood up so manfully.



Meanwhile Charles realized that his great empire was in very serious danger both from the west and from the east. On the west of him was his spirited rival, Francis I; to the east was the Turk in Hungary, in alliance with Francis and clamouring for certain arrears of tribute from the Austrian dominions. Charles had the money and army of Spain at his disposal, but it was extremely difficult to get any effective support in money from Germany. His grandfather had developed a German infantry on the Swiss model, very much upon the lines expounded in Machiavelli's *Art of War*, but these troops had to be paid and his imperial subsidies had to be supplemented by unsecured borrowings, which were finally to bring his supporters, the Fuggers, to ruin.

On the whole, Charles, in alliance with Henry VIII, was

successful against Francis I and the Turk. Their chief battlefield was north Italy; the generalship was dull on both sides; their advances and retreats depended chiefly on the arrival of reinforcements. The German army invaded France, failed to take Marseilles, fell back into Italy, lost Milan, and was besieged in Pavia. Francis I made a long and unsuccessful siege of Pavia, was caught by fresh German forces, defeated, wounded, and taken prisoner. He sent back a message to his queen that all was "lost but honour," made a humiliating peace, and broke it as soon as he was liberated, so that even the salvage of honour was but temporary. Henry VIII and the Pope, in obedience to the rules of Machiavellian strategy, now went over to the side of France in order to prevent Charles becoming too powerful. The German troops in Milan, under the Constable of Bourbon, being unpaid, forced rather than followed their commander into a raid upon Rome.



They stormed the city and pillaged it (1527). The Pope took refuge in the Castle of St. Angelo while the looting and slaughter went on. He bought off the German troops at last by the payment of four hundred thousand ducats. Ten years of such stupid and confused fighting impoverished all Europe and left the Emperor in possession of Milan. In 1530 he was crowned by the Pope—he was the last German Emperor to be crowned by the Pope—at Bologna. One thinks of the rather dull-looking blonde face, with its long lip and chin, bearing the solemn expression of one who endures a doubtful though probably honourable ceremony.

Meanwhile the Turks were making great headway in Hungary. They had defeated and killed the King of Hungary in 1526, they held Buda-Pesth, and in 1529, as we have already noted, Suleiman the Magnificent very nearly took

Vienna. The Emperor was greatly concerned by these advances, and did his utmost to drive back the Turks, but he found the greatest difficulty in getting the German princes to unite even with this formidable enemy upon their very borders. Francis I remained implacable for a time, and there was a new French war; but in 1538 Charles won his rival over to a more friendly attitude by ravaging the south of France. Francis and Charles then formed an alliance against the Turk, but the Protestant princes, the German princes who were resolved to break away from Rome, had formed a league, the Schmalkaldic League (named after the little town of Schmalkalden in Hesse, at which its constitution was arranged), against the Emperor, and in the place of a great campaign to recover Hungary for Christendom Charles had to turn his mind to the gathering internal struggle in Germany. Of that struggle he saw only the opening war. It was a struggle, a sanguinary irrational bickering of princes for ascendancy, now flaming into war and destruction, now sinking back to intrigues and diplomacies; it was a snake's sack of Machiavellian policies, that was to go on writhing incurably right into the nineteenth century, and to waste and desolate Central Europe again and again.

The Emperor never seems to have grasped the true forces at work in these gathering troubles. He was for his time and station an exceptionally worthy man, and he seems to have taken the religious dissensions that were tearing Europe into warring fragments as genuine theological differences. He gathered diets and councils in futile attempts at reconciliation. Formulæ and confessions were tried over. The student of German history must struggle with the details of the Religious Peace of Nuremberg, the settlement at the diet of Ratisbon, the Interim of Augsburg, and the like. Here we do but mention them as details in the worried life of this culminating emperor. As a matter of fact, hardly one of the multifarious princes and rulers in Europe seems to have been acting in good faith. The widespread religious trouble of the world, the desire of the common people for

truth and social righteousness, the spreading knowledge of the time, all those things were merely counters in the imaginations of princely diplomacy. Henry VIII of England, who had begun his career with a book written against heresy, and who had been rewarded by the Pope with the title of "Defender of the Faith," being anxious to divorce his first wife in favour of an animated young lady named Anne Boleyn,<sup>1</sup> and wishing also to turn against the Emperor in favour of Francis I and to loot the vast wealth of the church in England, joined the company of Protestant princes in 1530. Sweden, Denmark, and Norway had already gone over to the Protestant side.



The Germans religious war began in 1546, a few months after the death of Martin Luther. We need not trouble about the incidents of the campaign. The Protestant Saxon army was badly beaten at Lochau. By something very like a breach of faith Philip of Hesse, the Emperor's chief remaining antagonist, was caught and imprisoned, and the Turks were bought off by the payment of an annual tribute. In 1547, to the great relief of the Emperor, Francis I died. So by 1547 Charles got to a kind of settlement, and made his last efforts to effect peace where there was no peace. In 1552 all Germany was at war again, only a precipitate flight from Innsbruck saved Charles from capture, and in 1552, with the treaty of Passau, came another unstable equilibrium. Charles was now utterly weary of the cares and splendours of empire; he had never had a very sound constitution, he was naturally indolent, and he was suffering greatly from

<sup>1</sup> But he had a better reason for doing this in the fact that there was no heir to the throne. The Wars of the Roses, a bitter dynastic war, were still very vivid in the minds of English people.—F. H. H.



gout. He abdicated. He made over all his sovereign rights in Germany to his brother Ferdinand, and Spain and the Netherlands he resigned to his son Philip. He then retired to a monastery at Yuste, among the oak and chestnut forests in the hills to the north of the Tagus valley, and there he died in 1558.

Much has been written in a sentimental vein of his retirement, this renunciation of the world by this tired majestic Titan, world-weary, seeking in an austere solitude his peace with God. But his retreat was neither solitary nor austere; he had with him nearly a hundred and fifty attendants; his establishment had all the indulgences without the fatigues of a court, and Philip II was a dutiful son to whom his father's advice was a command. As for his austerities, let Prescott witness: "In the almost daily correspondence between Quixada, or Gaztelu, and the Secretary of State at Valladolid, there is scarcely a letter that does not turn more or less on the Emperor's eating or his illness. The one seems naturally to follow, like a running commentary, on the other. It is rare that such topics have formed the burden of communications with the department of state. It must have been no easy matter for the secretary to preserve his gravity in the perusal of despatches in which politics and gastronomy were so strangely mixed together. The courier from Valladolid to Lisbon was ordered to make a detour, so as to take Jarandilla in his route, and bring supplies for the royal table. On Thursdays he was to bring fish to serve for the *jour maigre* that was to follow. The trout in the neighbourhood Charles thought too small; so others, of a larger size, were to be sent from Valladolid. Fish of every kind was to his taste, as, indeed, was anything that in its nature or habits at all approached to fish. Eels, frogs, oysters, occupied an important place in the royal bill of fare. Potted fish, especially anchovies, found great favour with him; and he regretted that he had not brought a better supply of these from the Low Countries. On an eel-pasty he particularly doted." . . .<sup>1</sup>

<sup>1</sup> Prescott's Appendix to Robertson's *History of Charles V.*

In 1554 Charles had obtained a bull from Pope Julius III granting him a dispensation from fasting, and allowing him to break his fast early in the morning even when he was to take the sacrament.

"That Charles was not altogether unmindful of his wearing apparel in Yuste, may be inferred from the fact that his wardrobe contained no less than sixteen robes of silk and velvet, lined with ermine, or eider down, or the soft hair of the Barbary goat. As to the furniture and upholstery of his apartments, how little reliance is to be placed on the reports so carelessly circulated about these may be gathered from a single glance at the inventory of his effects, prepared by Quixada and Gaztelu soon after their master's death. Among the items we find carpets from Turkey and Alcares, canopies of velvet and other stuffs, hangings of fine black cloth, which since his mother's death he had always chosen for his own bedroom; while the remaining apartments were provided with no less than twenty-five suits of tapestry, from the looms of Flanders, richly embroidered with figures of animals and with landscapes." . . . "Among the different pieces of plate we find some of pure gold, and others especially noted for their curious workmanship; and as this was an age in which the art of working the precious metals was carried to the highest perfection, we cannot doubt that some of the finest specimens had come into the Emperor's possession. The whole amount of plate was estimated at between twelve and thirteen thousand ounces in weight." . . .<sup>1</sup>

Charles had never acquired the habit of reading, but he would be read aloud to at meals after the fashion of Charlemagne, and would make what one narrator describes as a "sweet and heavenly commentary." He also amused himself with technical toys, by listening to music or sermons, and by attending to the imperial business that still came drifting in to him. The death of the Empress, to whom he was greatly attached, had turned his mind towards religion, which in his case took a punctilious and ceremonial form; every

<sup>1</sup> Prescott.

Friday in Lent he scourged himself with the rest of the monks with such good will as to draw blood. These exercises and the gout released a bigotry in Charles that had been hitherto restrained by considerations of policy. The appearance of Protestant teaching close at hand in Valladolid roused him to fury. "Tell the grand inquisitor and his council from me to be at their posts, and to lay the axe at the root of the evil before it spreads further." . . . . He expressed a doubt whether it would not be well, in so black an affair, to dispense with the ordinary course of justice, and to show no mercy; "lest the criminal, if pardoned, should have the opportunity of repeating his crime." He recommended, as an example, his own mode of proceeding in the Netherlands, "where all who remained obstinate in their errors were burned alive, and those who were admitted to penitence were beheaded."

Among the chief pleasures of the Catholic monarch between meals during this time of retirement were funeral services. He not only attended every actual funeral that was celebrated at Yuste, but he had services conducted for the absent dead, he held a funeral service in memory of his wife on the anniversary of her death, and finally he celebrated his own obsequies. "The chapel was hung with black, and the blaze of hundreds of wax-lights was scarcely sufficient to dispel the darkness. The brethren in their conventual dress, and all the Emperor's household clad in deep mourning, gathered round a huge catafalque, shrouded also in black, which had been raised in the centre of the chapel. The service for the burial of the dead was then performed; and, amidst the dismal wail of the monks, the prayers ascended for the departed spirit, that it might be received into the mansions of the blessed. The sorrowful attendants were melted to tears, as the image of their master's death was presented to their minds—or they were touched, it may be, with compassion by this pitiable display of weakness. Charles, muffled in a dark mantle, and bearing a lighted candle in his hand, mingled with his household, the spectator of his own obsequies; and the doleful ceremony was concluded by his

placing the taper in the hands of the priests, in sign of his surrendering up his soul to the Almighty."

Other accounts make Charles wear a shroud and lie in the coffin, remaining there alone until the last mourner had left the chapel.

Within two months of this masquerade he was dead. And the greatness of the Holy Roman Empire died with him. The Holy Roman Empire struggled on indeed to the days of Napoleon, but as an invalid and dying thing.

### § 11b

Ferdinand, the brother of Charles V, took over his abandoned work and met the German princes at the diet of Augsburg in 1555. Again there was an attempt to establish a religious peace. Nothing could better show the quality of that attempted settlement and the blindness of the princes and statesmen concerned in it, to the deeper and broader processes of the time, than the form that settlement took. The recognition of religious freedom was to apply to the states and not to the individual citizens; *cujus regio ejus religio*, "*the confession of the subject was to be dependent on that of the territorial lord.*"

### § 11c

We have given as much attention as we have done to the writings of Machiavelli and to the personality of Charles V because they throw a flood of light upon the antagonisms of the next period in our history. This present chapter has told the story of a vast expansion of human horizons and of a great increase and distribution of knowledge, we have seen the conscience of common men awakening and intimations of a new and profounder social justice spreading throughout the general body of the Western civilization. But this process of light and thought was leaving courts and the political life of the world untouched. There is little in Machiavelli that might not have been written by some clever

secretary in the court of Chosroes I or Shi-Hwang-ti—or even of Sargon I or Pepi. While the world in everything else was moving forward, in political ideas, in ideas about the relationship of state to state and of sovereign to citizen, it was standing still. Nay, it was falling back. For the great idea of the Catholic Church as the world city of God had been destroyed in men's minds by the church itself, and the dream of a world imperialism had, in the person of Charles V, been carried in effigy through Europe to limbo. Politically the world seemed falling back towards personal monarchy of the Assyrian or Macedonian pattern.

It is not that the newly awakened intellectual energies of western European men were too absorbed in theological re-statement, in scientific investigations, in exploration and mercantile development, to give a thought to the claims and responsibilities of rulers. Not only were common men drawing ideas of a theocratic or republican or communistic character from the now accessible Bible, but the renewed study of the Greek classics was bringing the creative and fertilizing spirit of Plato to bear upon the Western mind. In England Sir Thomas More produced a quaint imitation of Plato's *Republic* in his *Utopia*, setting out a sort of autocratic communism. In Naples, a century later, a certain friar Campanella was equally bold in his *City of the Sun*. But such discussions were having no immediate effect upon political arrangements. Compared with the massiveness of the task, these books do indeed seem poetical and scholarly and flimsy. (Yet later on the *Utopia* was to bear fruit in the English Poor Laws.) The intellectual and moral development of the Western mind and this drift toward Machiavellian monarchy in Europe were for a time going on concurrently in the same world, but they were going on almost independently. The statesmen still schemed and manœuvred as if nothing grew but the power of wary and fortunate kings. It was only in the seventeenth and eighteenth centuries that these two streams of tendency, the stream of general ideas and the drift of traditional and egoistic monarchical diplomacy, interfered and came into conflict.

## XXXV

### PRINCES, PARLIAMENTS, AND POWERS

- § 1. *Princes and Foreign Policy.* § 2. *The Dutch Republic* § 3. *The English Republic* § 4. *The Break-up and Disorder of Germany.* § 5. *The Splendours of Grand Monarchy in Europe.* § 6. *The Growth of the idea of Great Powers.* § 7. *The Crowned Republic of Poland and its Fate.* § 8. *The First Scramble for Empire Overseas.* § 9. *Britain Dominates India.* § 10. *Russia's Ride to the Pacific.* § 11. *What Gibbon Thought of the World in 1780.* § 12. *The Social Truce Draws to an End.*

#### § 1

IN the preceding chapter we have traced the beginnings of a new civilization, the civilization of the "modern" type which becomes at the present time world-wide. It is still a vast unformed thing, still only in the opening phases of growth and development to-day. We have seen the mediæval ideas of the Holy Roman Empire and of the Roman Church, as forms of universal law and order, fade in its dawn. They faded out, as if it were necessary in order that these ideas of one law and one order for all men should be redrawn on world-wide lines. And while in nearly every other field of human interest there was advance, the effacement of these general political ideas of the Church and Empire led back for a time in things political towards merely personal monarchy and monarchist nationalism of the Macedonian type. There came an interregnum, as it were, in the consolidation of human affairs, a phase of the type the Chinese annalists would call an "Age of Confusion." This interregnum has lasted as long as that between the fall of

the Western Empire and the crowning of Charlemagne in Rome. We are living in it to-day. It may be drawing to its close; we cannot tell yet. The old leading ideas had broken down, a medley of new and untried projects and suggestions perplexed men's minds and actions, and meanwhile the world at large had to fall back for leadership upon the ancient tradition of an individual prince. There was no new way clearly apparent for men to follow, and the prince was there.

All over the world the close of the sixteenth century saw monarchy prevailing and tending towards absolutism. Germany and Italy were patchworks of autocratic princely dominions, Spain was practically autocratic, the throne had never been so powerful in England, and as the seventeenth century drew on, the French monarchy gradually became the greatest and most consolidated power in Europe. The phases and fluctuations of its ascent we cannot record here.

At every court there were groups of ministers and secretaries who played a Machiavellian game against their foreign rivals. Foreign policy is the natural employment of courts and monarchies. Foreign offices are, so to speak, the leading characters in all the histories of the seventeenth and eighteenth centuries. They kept Europe in a fever of wars. And wars were becoming expensive. Armies were no longer untrained levies, no longer assemblies of feudal knights who brought their own horses and weapons and retainers with them; they needed more and more artillery; they consisted of paid troops who insisted on their pay; they were professional and slow and elaborate, conducting long sieges, necessitating elaborate fortifications. War expenditure increased everywhere and called for more and more taxation. And here it was that these monarchies of the sixteenth and seventeenth centuries came into conflict with new and shapeless forces of freedom in the community. In practice the princes found they were not masters of their subjects' lives or property. They found an inconvenient resistance to the taxation that was necessary if their diplomatic aggressions and alliances were to continue. Finance

became an unpleasant spectre in every council chamber. In theory the monarch owned his country. James I of England (1603) declared that "As it is atheism and blasphemy to dispute what God can do; so it is a presumption and high contempt in a subject to dispute what a king can do, or say that a king cannot do this or that." In practice, however, he found, and his son Charles I (1625) was to find still more effectually, that there were in his dominions a great number of landlords and merchants, substantial and intelligent persons, who set a very definite limit to the calls and occasions of the monarch and his ministers. They were prepared to tolerate his rule if they themselves might also be monarchs of their lands and businesses and trades and what not. But not otherwise.

Everywhere in Europe there was a parallel development. Beneath the kings and princes there were these lesser monarchs, the private owners, noblemen, wealthy citizens and the like, who were now offering the sovereign prince much the same resistance that the kings and princes of Germany had offered the Emperor. They wanted to limit taxation so far as it pressed upon themselves, and to be free in their own houses and estates. And the spread of books and reading and intercommunication was enabling these smaller monarchs, these monarchs of ownership, to develop such a community of ideas and such a solidarity of resistance as had been possible at no previous stage in the world's history. Everywhere they were disposed to resist the prince, but it was not everywhere that they found the same faculties for an organized resistance. The economic circumstances and the political traditions of the Netherlands and England made those countries the first to bring this antagonism of monarchy and private ownership to an issue.

At first this seventeenth-century "public," this public of property owners, cared very little for foreign policy. They did not perceive at first how it affected them. They did not want to be bothered with it; it was, they conceded, the affairs of kings and princes. They made no attempt therefore to control foreign entanglements. But it was with the direct



consequences of these entanglements that they quarrelled; they objected to heavy taxation, to interference with trade, to arbitrary imprisonment, and to the control of consciences by the monarch. It was upon these questions that they joined issue with the Crown.

## § 2

The breaking away of the Netherlands from absolutist monarchy was the beginning of a series of such conflicts throughout the sixteenth and seventeenth centuries. They varied very greatly in detail according to local and racial peculiarities but essentially they were all rebellious against the idea of a predominating personal "prince" and his religious and political direction.

In the twelfth century all the lower Rhine country was divided up among a number of small rulers, and the population was a Low German one on a Celtic basis, mixed with subsequent Danish ingredients very similar to the English admixture. The south-eastern fringe of it spoke French dialects; the bulk, Frisian, Dutch, and other Low German languages. The Netherlands figured largely in the crusades. Godfrey of Bouillon, who took Jerusalem (First Crusade), was a Belgian; and the founder of the so-called Latin Dynasty of emperors in Constantinople (Fourth Crusade) was Baldwin of Flanders. (They were called Latin emperors because they were on the side of the Latin church.) In the thirteenth and fourteenth centuries considerable towns grew up in the Netherlands: Ghent, Bruges, Ypres, Utrecht, Leyden, Haarlem, and so forth; and these towns developed quasi-independent municipal governments and a class of educated townsmen. We will not trouble the reader with the dynastic accidents that linked the affairs of the Netherlands with Burgundy (Eastern France), and which finally made their overlordship the inheritance of the Emperor Charles V.

It was under Charles that the Protestant doctrines that now prevail in Germany spread in to the Netherlands.

Charles persecuted with some vigour, but in 1556, as we have told, he handed over the task to his son Philip (Philip II). Philip's spirited foreign policy—he was carrying on a war with France—presently became a second source of trouble between himself and the Netherlandish noblemen and townsmen, because he had to come to them for supplies. The great nobles, led by William the Silent, Prince of Orange, and the Counts of Egmont and Horn, made themselves the heads of a popular resistance, in which it is now impossible to disentangle the objection to taxation from the objection to religious persecution. The great nobles were not at first Protestants. They became Protestants as the struggle grew in bitterness. The people were often bitterly Protestant.

Philip was resolved to rule both the property and consciences of his Netherlanders. He sent picked Spanish troops into the country, and he made governor-general a nobleman named Alva, one of those ruthless "strong" men who wreck governments and monarchies. For a time he ruled the land with a hand of iron, but the hand of iron begets a soul of iron in the body it grips, and in 1567 the Netherlands were in open revolt. Alva murdered, sacked, and massacred—in vain. Counts Egmont and Horn were executed. William the Silent became the great leader of the Dutch, a king *de facto*. For a long time, and with many complications, the struggle for liberty continued, and through it all it is noteworthy that the rebels continued to cling to the plea that Philip II was their king—if only he would be a reasonable and limited king. But the idea of limited monarchy was distasteful to the crowned heads of Europe at that time, and at last Philip drove the United Provinces, for which we now use the name of Holland, to the republican form of government. Holland, be it noted—not all the Netherlands; the southern Netherlands, Belgium as we now call that country, remained at the end of the struggle a Spanish possession and Catholic.

The siege of Alkmaar (1573), as Motley <sup>1</sup> describes it, may

<sup>1</sup> *Rise of the Dutch Republic.*

be taken as a sample of that long and hideous conflict between the little Dutch people and the still vast resources of Catholic Imperialism.

"'If I take Alkmaar,' Alva wrote to Philip, 'I am resolved not to leave a single creature alive; the knife shall be put to every throat.' . . .

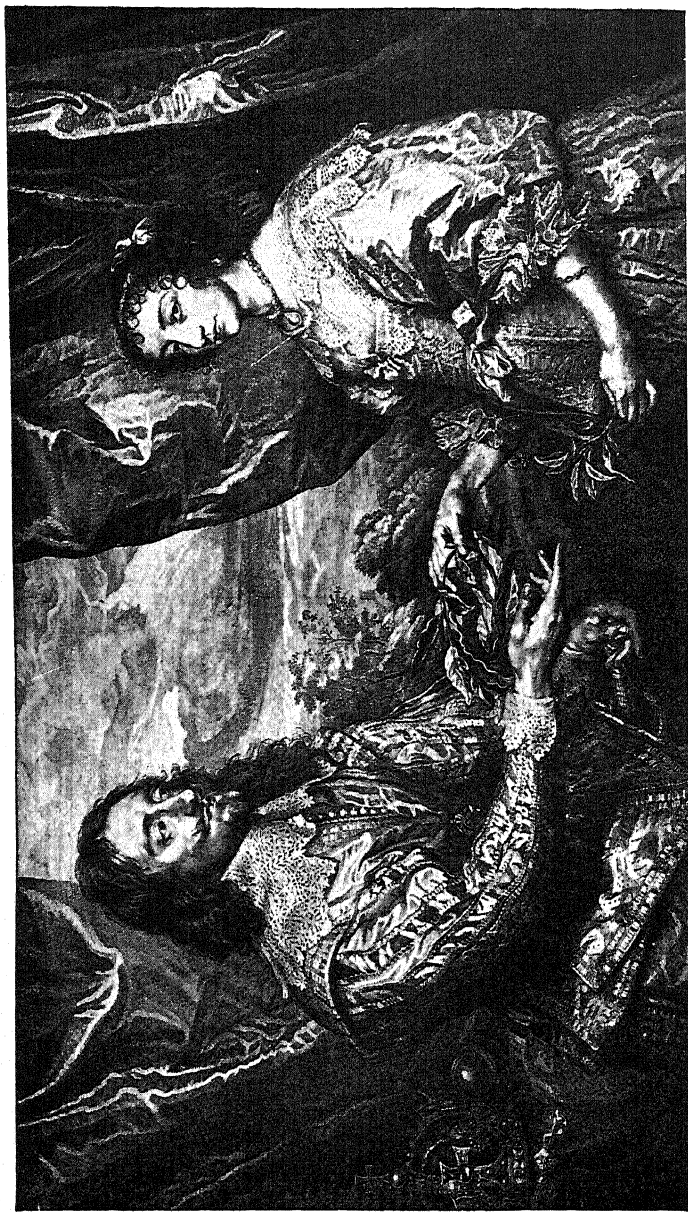
"And now, with the dismantled and desolate Haarlem before their eyes, a prophetic phantom, perhaps, of their own imminent fate, did the handful of people shut up with Alkmaar prepare for the worst. Their main hope lay in the friendly sea. The vast sluices called the Zyp, through which the inundation of the whole northern province could be very soon effected, were but a few miles distant. By opening these gates, and by piercing a few dykes, the ocean might be made to fight for them. To obtain this result, however, the consent of the inhabitants was requisite, as the destruction of all standing crops would be inevitable. The city was so closely invested, that it was a matter of life and death to venture forth, and it was difficult, therefore, to find an envoy for this hazardous mission. At last, a carpenter in the city, Peter Van der Mey by name, undertook the adventure. . . .

"Affairs soon approached a crisis within the beleaguered city. Daily skirmishes, without decisive results, had taken place outside the walls. At last, on the 18th of September, after a steady cannonade of nearly twelve hours, Don Frederick, at three in the afternoon, ordered an assault. Notwithstanding his seven months' experience at Haarlem, he still believed it certain that he should carry Alkmaar by storm. The attack took place at once upon the Frisian gate and upon the red tower on the opposite side. Two choice regiments, recently arrived from Lombardy, led the onset, rending the air with their shouts and confident of an easy victory. They were sustained by what seemed an overwhelming force of disciplined troops. Yet never, even in the recent history of Haarlem, had an attack been received by more dauntless breasts. Every living man was on the walls. The storming parties were assailed with cannon, with musketry, with pistols. Boiling water, pitch and oil,



#### ELIZABETH SIGNING MARY'S DEATH WARRANT

On February 1, 1587, after the discovery of the Babington Plot and with the Spanish Armada threatening, Queen Elizabeth signed the warrant for the execution of her dangerous rival, the Queen of Scots. (Painting by Schrader)



CHARLES I AND HENRIETTA MARIA

After the painting by Van Dyck

molten lead, and unslaked lime were poured upon them every moment. Hundreds of tarred and burning hoops were skilfully quoited round the necks of the soldiers, who struggled in vain to extricate themselves from these fiery ruffs, while as fast as any of the invaders planted foot upon the breach, they were confronted face to face with sword and dagger by the burghers, who hurled them headlong into the moat below.

"Thrice was the attack renewed with ever-increasing rage—thrice repulsed with unflinching fortitude. The storm continued four hours long. During all that period not one of the defenders left his post, till he dropped from it dead or wounded. . . . The trumpet of recall was sounded, and the Spaniards, utterly discomfited, retired from the walls, leaving at least one thousand dead in the trenches, while only thirteen burghers and twenty-four of the garrison lost their lives. . . . Ensign Solis, who had mounted the breach for an instant, and miraculously escaped with life, after having been hurled from the battlements, reported that he had seen 'neither helmet nor harness' as he looked down into the city: only some plain-looking people, generally dressed like fishermen. Yet these plain-looking fishermen had defeated the veterans of Alva. . . .

"Meantime, as Governor Sonoy had opened many of the dykes, the land in the neighbourhood of the camp was becoming plashy, although as yet the threatened inundation had not taken place. The soldiers were already very uncomfortable and very refractory. The carpenter-envoy had not been idle. . . ."

He returned with despatches for the city. By accident or contrivance he lost these despatches as he made his way into the town, so that they fell into Alva's hands. They contained a definite promise from the Duke of Orange to flood the country so as to drown the whole Spanish army. Incidentally this would also have drowned most of the Dutch harvest and cattle. But Alva, when he had read these documents, did not wait for the opening of any more sluices. Presently the stout men of Alkmaar, cheering

and jeering, watched the Spaniards breaking camp. . . .

The form assumed by the government of liberated Holland was a patrician republic under the headship of the house of Orange. The States-General was far less representative of the whole body of citizens than was the English Parliament whose struggle with the Crown we shall next relate.

Though the worst of the struggle was over after Alkmaar, Holland was not effectively independent until 1609, and its independence was only fully and completely recognized by the treaty of Westphalia in 1648.

### § 3

The open struggle of the private property owner against the aggressions of the "Prince" begins in England far back in the twelfth century. The phase in this struggle that we have to study now is the phase that opened with the attempts of Henry VII and VIII and their successors, Edward VI, Mary and Elizabeth, to make the government of England a "personal monarchy" of the continental type. It became more acute when, by dynastic accidents, James, King of Scotland, became James I, King of both Scotland and England (1603), and began to talk in the manner we have already quoted of his "divine right" to do as he pleased. But never had the path of English monarchy been a smooth one. In all the monarchies of the Northmen and Germanic invaders of the empire there had been a tradition of a popular assembly of influential and representative men to preserve their general liberties, and in none was it more living than in England. France had her tradition of the assembly of the Three Estates, Spain her Cortes, but the English assembly was peculiar in two respects; that it had behind it a documentary declaration of certain elementary and universal rights, and that it contained elected "Knights of the Shire," as well as elected burghers from the towns. The French and Spanish assemblies had the latter, but not the former element.

These two features gave the English Parliament a peculiar

strength in its struggle with the Throne. The document in question was *Magna Carta*, the Great Charter, a declaration which was forced from King John (1199-1216), the brother and successor of Richard Cœur de Lion (1189-99), after a revolt of the Barons in 1215. It rehearsed a number of fundamental rights that made England a legal and not a regal state. It rejected the power of the king to control the personal property and liberty of every sort of citizen—save with the consent of that man's equals.

The presence of the elected shire representatives in the English Parliament, the second peculiarity of the British situation, came about from very simple and apparently innocuous beginnings. From the shires, or county divisions, knights seem to have been summoned to the national council to testify to the taxable capacity of their districts. They were sent up by the minor gentry, freeholders and village elders of their districts as early as 1254, two knights from each shire. This idea inspired Simon de Montfort,<sup>1</sup> who was in rebellion against Henry III, the successor of John, to summon to the national council two knights from each shire and two citizens from each city or borough. Edward I, the successor to Henry III, continued this practice because it seemed a convenient way of getting into financial touch with the growing towns. At first there was considerable reluctance on the parts of the knights and townsmen to attend Parliament, but gradually the power they possessed of linking the redress of grievances with the granting of subsidies was realized. Quite early, if not from the first, these representatives of the general property owners in town and country, the Commons, sat and debated apart from the great Lords and Bishops. So there grew up in England a representative assembly, the Commons, beside an episcopal and patrician one, the Lords. There was no profound and fundamental difference between the personnel of the two assemblies; many of the knights of the shire were substantial men who might be as wealthy and influential as peers and

<sup>1</sup> This is not the same Simon de Montfort as the leader of the crusades against the Albigenses, but his son.



also the sons and brothers of peers, but on the whole the Commons was the more plebeian assembly. From the first these two assemblies, and especially the Commons, displayed a disposition to claim the entire power of taxation in the land. Gradually they extended their purview of grievances to a criticism of all the affairs of the realm. We will not follow the fluctuations of the power and prestige of the English Parliament through the time of the Tudor monarchs (*i. e.*, Henry VII and VIII, Edward VI, Mary and Elizabeth), but it will be manifest from what has been said that when at last James Stuart made his open claim to autocracy, the English merchants, peers, and private gentlemen found themselves with a tried and honoured traditional means of resisting him such as no other people in Europe possessed.

Another peculiarity of the English political conflict was its comparative detachment from the great struggle between Catholic and Protestant that was now being waged all over Europe. There were, it is true, very distinct religious issues mixed up in the English struggle, but upon its main lines it was a political struggle of King against the Parliament embodying the class of private-property-owning citizens. Both Crown and people were formally reformed and Protestant. It is true that many people on the latter side were Protestants of a Bible-respecting, non-sacerdotal type, representing that reformation according to the peoples, and that the king was the nominal head of a special sacerdotal and sacramental church, the established Church of England, representing the reformation according to the princes, but this antagonism never completely obscured the essentials of the conflict.

The struggle of King and Parliament had already reached an acute phase before the death of James I (1625), but only in the reign of his son Charles I did it culminate in civil war. Charles did exactly what one might have expected a king to do in such a position, in view of the lack of Parliamentary control over foreign policy; he embroiled the country in a conflict with both Spain and France, and then came to the country for supplies in the hope that patriotic

feeling would override the normal dislike to giving him money. When Parliament refused supplies, he demanded loans from various subjects, and attempted similar illegal exactions. This produced from Parliament in 1628 a very memorable document, the *Petition of Right*, citing the Great Charter and rehearsing the legal limitations upon the power of the English king, denying his right to levy charges upon, or to imprison, or punish anyone, or to quarter soldiers on the people, without due process of law. The *Petition of Right* stated the case of the English Parliament. The disposition to "state a case" has always been a very marked English characteristic. When President Wilson, during the Great War of 1914-18, prefaced each step in his policy by a "Note," he was walking in the most respectable traditions of the English. Charles dealt with this Parliament with a high hand, he dismissed it in 1629, and for eleven years he summoned no Parliament. He levied money illegally, but not enough for his purpose; and realizing that the church could be used as an instrument of obedience, he made Laud, an aggressive high churchman, very much of a priest and a very strong believer in "divine right," Archbishop of Canterbury, and so head of the Church of England.

In 1638 Charles tried to extend the half-Protestant, half-Catholic characteristics of the Church of England to his other kingdom of Scotland, where the secession from catholicism had been more complete, and where a non-sacerdotal, non-sacramental form of Christianity, Presbyterianism, had been established as the national church. The Scotch revolted, and the English levies Charles raised to fight them mutinied. Insolvency, at all times the natural result of a "spirited" foreign policy, was close at hand. Charles, without money or trustworthy troops, had to summon a Parliament at last in 1640. This Parliament, the Short Parliament, he dismissed in the same year; he tried a Council of Peers at York (1640), and then, in the November of that year, summoned his last Parliament.

This body, the Long Parliament, assembled in the mood

for conflict. It seized Laud, the Archbishop of Canterbury, and charged him with treason. It published a "Grand Remonstrance," which was a long and full statement of its case against Charles. It provided by a bill for a meeting of Parliament at least once in three years, whether the King summoned it or no. It prosecuted the King's chief ministers who had helped him to reign for so long without Parliament, and in particular the Earl of Strafford. To save Strafford the King plotted for a sudden seizure of London by the army. This was discovered, and the Bill for Strafford's condemnation was hurried on in the midst of a vast popular excitement. Charles I, who was probably one of the meanest and most treacherous occupants the English throne has ever known, was frightened by the London crowds. Before Strafford could die by due legal process, it was necessary for the King to give his assent. Charles gave it—and Strafford was beheaded. Meanwhile the King was plotting and looking for help in strange quarters—from the Catholic Irish, from treasonable Scotchmen. Finally he resorted to a forcible—feeble display of violence. He went down to the Houses of Parliament to arrest five of his most active opponents. He entered the House of Commons and took the Speaker's chair. He was prepared with some bold speech about treason, but when he saw the places of his five antagonists vacant, he was baffled, confused, and spoke in broken sentences. He learnt that they had departed from his royal city of Westminster and taken refuge in the city of London (see Chap. xxxv., § 7). London defied him. A week later the Five Members were escorted back in triumph to the Parliament House in Westminster by the Trained Bands of London, and the King, to avoid the noise and hostility of the occasion, left Whitehall for Windsor.

Both parties then prepared openly for war.

The King was the traditional head of the army, and the habit of obedience in soldiers is to the King. The Parliament had the greater resources. The King set up his standard at Nottingham on the eve of a dark and stormy August day in 1642. There followed a long and obstinate

civil war, the King holding Oxford, the Parliament, London. Success swayed from side to side, but the King could never close on London nor Parliament take Oxford. Each antagonist was weakened by moderate adherents who "did not want to go too far." There emerged among the Parliamentary commanders a certain Oliver Cromwell, who had raised a small troop of horse and who rose to the position of general. Lord Warwick, his contemporary, describes him as a plain man, in a cloth suit "made by an ill country tailor." He was no mere fighting soldier, but a military organizer; he realized the inferior quality of many of the Parliamentary forces and set himself to remedy it. The Cavaliers of the King had the picturesque tradition of chivalry and loyalty on their side; Parliament was something new and difficult—without any comparable traditions. "Your troops are most of them old decayed serving men and tapsters," said Cromwell. "Do you think that the spirits of such base and mean fellows will ever be able to encounter gentlemen that have honour and courage and resolution in them?" But there is something better and stronger than picturesque chivalry in the world, religious enthusiasm. He set himself to get together a "godly" regiment. They were to be earnest, sober-living men. Above all, they were to be men of strong convictions. He disregarded all social traditions, and drew his officers from every class. "I had rather have a plain, russet-coated captain *that knows what he fights for and loves what he knows*, than what you call a gentleman and is nothing else." England discovered a new force, the Ironsides, in its midst, in which footmen, draymen, and ships' captains held high command, side by side with men of family. They became the type on which the Parliament sought to reconstruct its entire army. The Ironsides were the backbone of this "New Model." From Marston Moor to Naseby these men swept the Cavaliers before them. The King was at last a captive in the hands of Parliament.

There were still attempts at settlement that would have left the King a sort of king, but Charles was a man doomed to tragic issues, incessantly scheming, "so false a man that he

is not to be trusted." The English were drifting towards a situation new in the world's history, in which a monarch should be formally tried for treason to his people and condemned.

Most revolutions are precipitated, as this English one was, by the excesses of the ruler, and by attempts at strength and firmness beyond the compass of the law; and most revolutions swing by a kind of necessity towards an extremeness conclusion than is warranted by the original quarrel. The English revolution was no exception. The English are by nature a compromising and even a vacillating people, and probably the great majority of them still wanted the King to be King and the people to be free, and all the lions and lambs to lie down together in peace and liberty. But the army of the New Model could not go back. There would have been scant mercy for these draymen and footmen who had ridden down the King's gentlemen if the King came back. When Parliament began to treat again with this regal trickster, the New Model intervened; Colonel Pride turned out eighty members from the House of Commons who favoured the King, and the illegal residue, the Rump Parliament, then put the King on trial.

But indeed the King was already doomed. The House of Lords rejected the ordinance for the trial, and the Rump then proclaimed "that the People are, under God, the original of all just power," and that "the Commons of England . . . have the supreme power in this nation," and—assuming that it was itself the Commons—proceeded with the trial. The King was condemned as a "tyrant, traitor, murderer, and enemy of his country." He was taken one January morning in 1649 to a scaffold erected outside the windows of his own banqueting-room at Whitehall. There he was beheaded. He died with piety and a certain noble self-pity—eight years after the execution of Strafford, and after six and a half years of a destructive civil war which had been caused almost entirely by his own lawlessness.

This was indeed a great and terrifying thing that Parliament had done. The like of it had never been heard of in

the world before. Kings had killed each other times enough; parricide, fratricide, assassination, those are the privileged expedients of princes; but that a section of the people should rise up, try its king solemnly and deliberately for disloyalty, mischief, and treachery, and condemn and kill him, sent horror through every court in Europe. The Rump Parliament had gone beyond the ideas and conscience of its time. It was as if a committee of jungle deer had taken and killed a tiger—a crime against nature. The Tsar of Russia chased the English envoy from his court. France and Holland committed acts of open hostility. England, confused and conscience-stricken at her own sacrilege, stood isolated before the world.

But for a time the personal quality of Oliver Cromwell and the discipline and strength of the army he had created maintained England in the republican course she had taken. The Irish Catholics had made a massacre of the Protestant English in Ireland, and now Cromwell suppressed the Irish insurrection with great vigor. Except for certain friars at the storm of Drogheda, none but men with arms in their hands were killed by his troops; but the atrocities of the massacre were fresh in his mind, no quarter was given in battle, and so his memory still rankles in the minds of the Irish, who have a long memory for their own wrongs. After Ireland came Scotland, where Cromwell shattered a Royalist army at the Battle of Dunbar (1650). Then he turned his attention to Holland, which country had rashly seized upon the divisions among the English as an excuse for the injury of a trade rival. The Dutch were then the rulers of the sea, and the English fleet fought against odds; but after a series of obstinate sea fights the Dutch were driven from the British seas and the English took their place as the ascendant naval power. Dutch and French ships must dip their flags to them. An English fleet went into the Mediterranean—the first English naval force to enter those waters; it put right various grievances of the English shippers with Tuscany and Malta, and bombarded the pirate nest of Algiers and destroyed the pirate fleet—which in the lax days of Charles had

been wont to come right up to the coasts of Cornwall and Devon to intercept ships and carry off slaves to Africa. The strong arm of England also intervened to protect the Protestants in the south of France, who were being hunted to death by the Duke of Savoy. France, Sweden, Denmark, all found it wiser to overcome their first distaste for regicide and allied themselves with England. Came a war with Spain, and the great English Admiral Blake destroyed the Spanish Plate Fleet at Teneriffe in an action of almost incredible daring. He engaged land batteries. He was the first man "that brought ships to contemn castles on the shore." (He died in 1657, and was buried in Westminster Abbey, but after the restoration of the monarchy his bones were dug out by the order of Charles II, and removed to St. Margaret's, Westminster.) Such was the figure that England cut in the eyes of the world during her brief republican days.

On September 3rd, 1658, Cromwell died in the midst of a great storm that did not fail to impress the superstitious. Once his strong hand lay still, England fell away from this premature attempt to realize a righteous commonweal of free men. In 1660 Charles II, the son of Charles the "Martyr," was welcomed back to England with all those manifestations of personal loyalty dear to the English heart, and the country relaxed from its military and naval efficiency as a sleeper might wake and stretch and yawn after too intense a dream. The Puritans were done with. "Merrie England" was herself again, and in 1667 the Dutch, once more masters of the sea, sailed up the Thames to Gravesend and burnt an English Fleet in the Medway. "On the night when our ships were burnt by the Dutch," says Pepys, in his diary, "the King did sup with my Lady Castelmaine, and there they were all mad, hunting a poor moth." Charles, from the date of his return, 1660, took control of the foreign affairs of the state, and in 1670 concluded a secret treaty with Louis XIV of France by which he undertook to subordinate entirely English foreign policy to that of France for an annual pension of £100,000. Dunkirk, which Cromwell had taken, had already been sold back to

France. The King was a great sportsman; he had the true English love for watching horse races, and the racing centre at Newmarket is perhaps his most characteristic monument.

While Charles lived, his easy humour enabled him to retain the British crown, but he did so by wariness and compromise, and when in 1685 he was succeeded by his brother James II, who was a devout Catholic, and too dull to recognize the hidden limitation of the monarchy in Britain, the old issue between Parliament and Crown became acute. James set himself to force his country into a religious reunion with Rome. In 1688 he was in flight to France. But this time the great lords and merchants and gentlemen were too circumspect to let this revolt against the King fling them into the hands of a second Pride or a second Cromwell. They had already called in another king, William, Prince of Orange, to replace James. The change was made rapidly. There was no civil war—except in Ireland—and no release of the deeper revolutionary forces of the country.

Of William's claim to the throne, or rather of his wife Mary's claim, we cannot tell here, its interest is purely technical, nor how William III and Mary ruled, nor how, after the widower William had reigned alone for a time, the throne passed on to Mary's sister Anne (1702–14). Anne seems to have thought favourably of a restoration of the Stuart line, but the Lords and the Commons, who now dominated English affairs, preferred a less competent king. Some sort of claim could be made out for the Elector of Hanover, who became King of England as George I (1714–27). He was entirely German, he could speak no English, and he brought a swarm of German women and German attendants to the English Court; a dullness, a tarnish, came over the intellectual life of the land with his coming, but this isolation of the court from English life was his conclusive recommendation to the great landowners and the commercial interests who chiefly brought him over. England entered upon a phase which Lord Beaconsfield has called the "Venetian oligarchy" stage; the supreme power resided in Parliament, dominated now by the Lords, for the art of bribery and a



study of the methods of working elections carried to a high pitch by Sir Robert Walpole had robbed the House of Commons of its original freedom and vigour. By ingenious devices the parliamentary vote was restricted to a shrinking number of electors, old towns with little or no population would return one or two members (old Sarum had one non-resident voter, no population, and two members), while newer populous centres had no representation at all. And by insisting upon a high property qualification for members, the chance of the Commons speaking in common accents of vulgar needs was still more restricted. George I was followed by the very similar George II (1727-60), and it was only at his death that England had again a king who had been born in England, and one who could speak English fairly well, his grandson George III. On this monarch's attempt to recover some of the larger powers of monarchy we shall have something to say in a later section.

Such briefly is the story of the struggle in England during the seventeenth and eighteenth centuries between the three main factors in the problem of the "modern state"; between the crown, the private property owners, and that vague power, still blind and ignorant, the power of the quite common people. This latter factor appears as yet only at moments when the country is most deeply stirred; then it sinks back into the depths. But the end of the story, thus far, is a very complete triumph of the British private property owner over the dreams and schemes of Machiavellian absolutism. With the Hanoverian Dynasty, England became—as the *Times* recently styled her—a "crowned republic." She had worked out a new method of government, Parliamentary government, recalling in many ways the Senate and Popular Assembly of Rome, but more steadfast and efficient because of its use, however restricted, of the representative method. Her assembly at Westminster was to become the "Mother of Parliaments" throughout the world. Towards the crown the English Parliament has held and still holds much the relation of the mayor of the palace to the Merovingian kings. The king is conceived of as ceremonial and irresponsible, a

living symbol of the royal and imperial system. But much power remains latent in the tradition and prestige of the crown, and the succession of the four Hanoverian Georges, William IV (1830), Victoria (1837), Edward VII (1901), and the present king, George V (1910), is of a quite different strain from the feeble and short-lived Merovingian monarchs. In the affairs of the church, the military and naval organizations, and the foreign office, these sovereigns have all in various degrees exercised an influence which is none the less important because it is indefinable.

#### § 4

Upon no part of Europe did the collapse of the idea of a unified Christendom bring more disastrous consequences than to Germany. Naturally one would have supposed that the Emperor, being by origin a German, both in the case of the earlier lines and in the case of the Habsburgs, would have developed into the national monarch of a united German-speaking state. It was the accidental misfortune of Germany that her Emperors never remained German. Frederick II, the last Hohenstaufen, was, as we have seen, a half-Orientalized Sicilian; the Habsburgs, by marriage and inclination, became in the person of Charles V, first Burgundian and then Spanish in spirit. After the death of Charles V, his brother Ferdinand took Austria and the empire, and his son Philip II took Spain, the Netherlands, and South Italy; but the Austrian line, obstinately Catholic, holding its patrimony mostly on the eastern frontiers, deeply entangled therefore with Hungarian affairs and paying tribute, as Ferdinand and his two successors did, to the Turk, retained no grip upon the north Germans with their disposition towards Protestantism, their Baltic and westward affinities, and their ignorance of or indifference to the Turkish danger.

The sovereign princes, dukes, electors, prince bishops and the like, whose domains cut up the map of the Germany of the Middle Ages into a crazy patchwork, were really now the

equivalents of the kings of England and France. They were rather on the level of the great land-owning dukes and peers of France and England. Until 1701 none of them had the title of "King." Many of their dominions were less both in size and value than the larger estates of the British nobility. The German Diet was like the States-General or like a parliament without the presence of elected representatives. So that the great civil war in Germany that presently broke out, the Thirty Years' War (1618-48) was in its essential nature much more closely akin to the civil war in England (1643-49) and to the war of the Fronde (1648-53), the league of feudal nobles against the Crown in France, than appears upon the surface. In all these cases the Crown was either Catholic or disposed to become Catholic, and the recalcitrant nobles found their individualistic disposition tending to a Protestant formula. But while in England and Holland the Protestant nobles and rich merchants ultimately triumphed and in France the success of the Crown was even more complete, in Germany neither was the Emperor strong enough, nor had the Protestant princes a sufficient unity and organization among themselves to secure a conclusive triumph. It ended there in a torn-up Germany. Moreover, the German issue was complicated by the fact that various non-German peoples, the Bohemians and the Swedes (who had a new Protestant monarchy which had arisen under Gustava Vasa as a direct result of the Reformation), were entangled in the struggle. Finally, the French monarchy, triumphant now over its own nobles, although it was Catholic, came in on the Protestant side with the evident intention of taking the place of the Habsburgs as the imperial line.

The prolongation of the war, and the fact that it was not fought along a determinate frontier, but all over an empire of patches, Protestant here, Catholic there, made it one of the most cruel and destructive that Europe had known since the days of the barbarian raids. Its peculiar mischief lay not in the fighting, but in the concomitants of the fighting. It came at a time when military tactics had developed to a

point that rendered ordinary levies useless against trained professional infantry. Volley firing with muskets at a range of a few score yards had abolished the individualistic knight in armour, but the charge of disciplined masses of cavalry could still disperse any infantry that had not been



drilled into a mechanical rigidity. The infantry with their muzzle-loading muskets could not keep up a steady enough fire to wither determined cavalry before it charged home. They had, therefore, to meet the shock standing or kneeling behind a bristling wall of pikes or bayonets. For this they needed great discipline and experience. Iron cannon were still of small size and not very abundant, and they did not

play a decisive part as yet in warfare. They could "plough lanes" in infantry, but they could not easily smash and scatter it if it was sturdy and well drilled. War under these conditions was entirely in the hands of seasoned professional soldiers, and the question of their pay was as important a one to the generals of that time as the question of food or munitions. As the long struggle dragged on from phase to phase, and the financial distress of the land increased, the commanders of both sides were forced to fall back upon the looting of towns and villages, both for supply and to make up the arrears of their soldiers' pay. The soldiers became, therefore, more and more mere brigands, living on the country, and the Thirty Years' War set up a tradition of looting as a legitimate operation in warfare and of outrage as a soldier's privilege that has tainted the good name of Germany right down to the Great War of 1914. The earlier chapters of Defoe's *Memoirs of a Cavalier*, with its vivid description of the massacre and burning of Magdeburg, will give the reader a far better idea of the warfare of this time than any formal history. So harried was the land that the farmers ceased from cultivation, what snatch crops could be harvested were hidden away, and great crowds of starving women and children became camp followers of the armies, and supplied a thievish tail to the rougher plundering. At the close of the struggle all Germany was ruined and desolate. Central Europe did not fully recover from these robberies and devastations for a century.

Here we can but name Tilly and Wallenstein, the great plunder captains on the Habsburg side, and Gustavus Adolphus, the King of Sweden, the Lion of the North, the champion of the Protestants, whose dream was to make the Baltic Sea a "Swedish Lake." Gustavus Adolphus was killed in his decisive victory over Wallenstein at Lützen (1632), and Wallenstein was murdered in 1634. In 1648 the princes and diplomatists gathered amidst the havoc they had made to patch up the affairs of Central Europe at the Peace of Westphalia. By that peace the power of the Emperor was reduced to a shadow, and the acquisition of Alsace brought

France up to the Rhine. And one German prince, the Hohenzollern Elector of Brandenburg, acquired so much territory as to become the greatest German power next to the Emperor, a power that presently (1701) became the kingdom of Prussia. The Treaty also recognized two long accomplished facts, the separation from the empire and the complete independence of both Holland and Switzerland.

### § 5

We have opened this chapter with the stories of two countries, the Netherlands and Britain, in which the resistance of the private citizen to this new type of monarchy, the Machiavelian monarchy, that was arising out of the moral collapse of Christendom, succeeded. But in France, Russia, in many parts of Germany and of Italy—Saxony and Tuscany *e. g.*—personal monarchy was not so restrained and overthrown; it established itself indeed as the ruling European system during the seventeenth and eighteenth centuries. And even in Holland and Britain the monarchy was recovering power during the eighteenth century.



(In Poland conditions were peculiar, and they will be dealt with in a later section.)

In France there had been no Magna Carta, and there was not quite so definite and effective a tradition of parliamentary rule. There was the same opposition of interests between the crown on the one hand and the landlords and merchants on the other, but the latter had no recognized gathering-place, and no dignified method of unity. They formed oppositions to the crown, they made leagues of resistance—such was the “Fronde,” which was struggling against the young King Louis XIV and his great minister Mazarin, while Charles I was fighting for his life in England—but ultimately (1652), after a civil war, they were conclusively defeated; and while in England after the establishments of the Hanoverians the House of Lords and their subservient Commons ruled the country, in France on the contrary after 1652, the court entirely dominated the aristocracy. Cardinal Mazarin was himself building upon a foundation that Cardinal Richelieu, the contemporary of King James I of England, had prepared for him. After the time of Mazarin we hear of no great French nobles unless they are at court as court servants and officials. They have been tamed—but at a price, the price of throwing the burthen of taxation upon the voiceless mass of the common people. From many taxes both the clergy and nobility—everyone indeed who bore a title—were exempt. In the end this injustice became intolerable, but for a while the French monarchy flourished like the Psalmist’s green bay tree. By the opening of the eighteenth century English writers are already calling attention to the misery of the French lower classes and the comparative prosperity, *at that time*, of the English poor.

On such terms of unrighteousness what we may call “Grand Monarchy” established itself in France. Louis XIV, styled the Grand Monarque, reigned for the unparalleled length of seventy-two years (1643–1715), and set a pattern for all the kings of Europe. At first he was guided by his Machiavellian minister, Cardinal Mazarin; after the death of the Cardinal he himself in his own proper person became the

ideal "Prince." He was, within his limitations, an exceptionally capable king; his ambition was stronger than his baser passions, and he guided his country towards bankruptcy through the complication of a spirited foreign policy, with an elaborate dignity that still extorts our admiration. His immediate desire was to consolidate and extend France to the Rhine and Pyrenees, and to absorb the Spanish Netherlands; his remoter view saw the French kings as the possible successors of Charlemagne in a recast Holy Roman Empire. He made bribery a state method almost more important than warfare. Charles II of England was in his pay, and so were most of the Polish nobility, presently to be described. His money, or rather the money of the tax-paying classes in France, went everywhere. But his prevailing occupation was splendour. His great palace at Versailles, with its salons, its corridors, its mirrors, its terraces and fountains and parks and prospects, was the envy and admiration of the world. He provoked a universal imitation. Every king and princelet in Europe was building his own Versailles as much beyond his means as his subjects and credits would permit. Everywhere the nobility rebuilt or extended their chateaux to the new pattern. A great industry of beautiful and elaborate fabrics and furnishings developed. The luxurious arts flourished everywhere; sculpture in alabaster, faience, gilt woodwork, metal work, stamped leather, much music, magnificent painting, beautiful printing and bindings, fine cookery, fine vintages. Amidst the mirrors and fine furniture went a strange race of "gentlemen" in vast powdered wigs, silks and laces, poised upon high red heels, supported by amazing canes; and still more wonderful "ladies," under towers of powdered hair and wearing vast expansions of silk and satin sustained on wire. Through it all postured the great Louis, the sun of his world, unaware of the meagre and sulky and bitter faces that watched him from those lower darkneses to which his sunshine did not penetrate.

We cannot give here at any length the story of the wars and doings of the monarch. In many ways Voltaire's *Siècle de Louis XIV* is still the best and most wholesome ac-

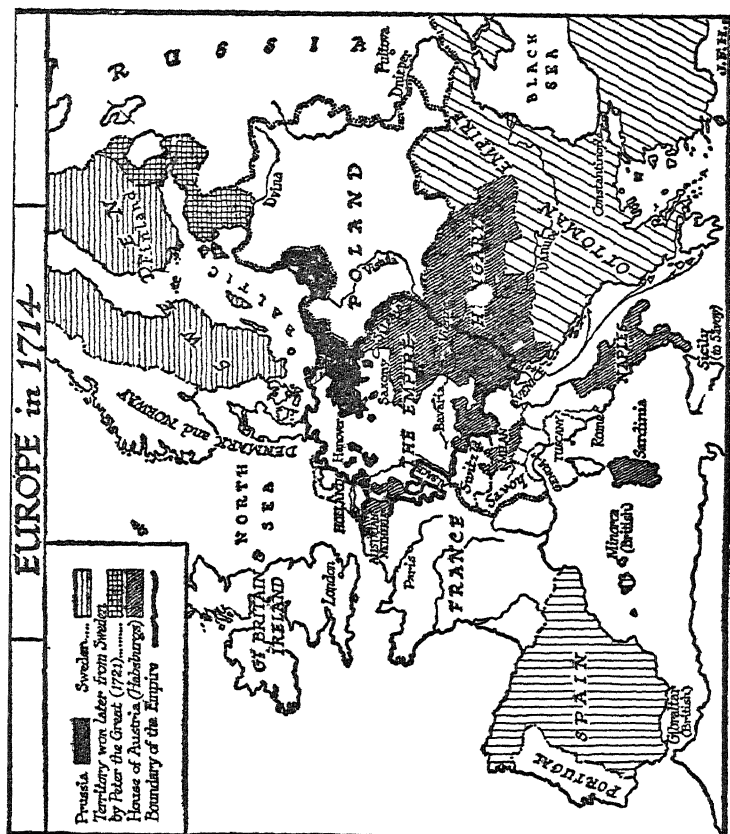


count. He created a French navy fit to face the English and Dutch; a very considerable achievement. But because his intelligence did not rise above the lure of that *Fata Morgana*, that crack in the political wits of Europe, the dream of a world wide Holy Roman Empire, he drifted in his later years to the propitiation of the Papacy, which had hitherto been hostile to him. He set himself against those spirits of independence and disunion, the Protestant princes, and he made war against Protestantism in France. Great numbers of his most sober and valuable subjects were driven abroad by his religious persecutions, taking arts and industries with them. The English silk manufacture, for instance, was founded by French Protestants. Under his rule were carried out the "dragonnades," a peculiarly malignant and effectual form of persecution. Rough soldiers were quartered in the houses of the Protestants, and were free to disorder the life of their hosts and insult their womankind as they thought fit. Men yielded to that sort of pressure who would not have yielded to rack and fire. The education of the next generation of Protestants was broken up, and the parents had to give Catholic instruction or none. They gave it, no doubt, with a sneer and an intonation that destroyed all faith in it. While more tolerant countries became mainly sincerely Catholic or sincerely Protestant, the persecuting countries, like France and Spain and Italy, so destroyed honest Protestant teaching that these peoples became mainly Catholic believers or Catholic atheists, ready to break out into blank atheism whenever the opportunity offered. The next reign, that of Louis XV, was the age of that supreme mocker, Voltaire (1694-1778), an age in which everybody in French society conformed to the Roman church and hardly anyone believed in it.

It was part—and an excellent part—of the pose of Grand Monarchy to patronise literature and the sciences. Louis XIV set up an academy of sciences in rivalry with the English Royal Society of Charles II and the similar association at Florence. He decorated his court with poets, playwrights, philosophers, and scientific men. If the scientific

process got little inspiration from this patronage, it did at any rate acquire resources for experiment and publication, and a certain prestige in the eyes of the vulgar.

Louis XV was the great-grandson of Louis XIV, and an



incompetent imitator of his predecessor's magnificence. He posed as a king, but his ruling passion was that common obsession of our kind, the pursuit of women, tempered by a superstitious fear of hell. How such women as the Duchess of Châteauroux, Madame de Pompadour, and Madame du

Barry dominated the pleasures of the king, and how wars and alliances were made, provinces devastated, thousands of people killed, because of the vanities and spites of these creatures, and how all the public life of France and Europe was tainted with intrigue and prostitution and imposture because of them, the reader must learn from the memoirs of the time. The spirited foreign policy went on steadily under Louis XV towards its final smash.

In 1774 this Louis, Louis the Well-Beloved, as his flatterers called him, died of smallpox, and was succeeded by his grandson, Louis XVI (1774-93), a dull, well-meaning man, an excellent shot, and an amateur locksmith of some ingenuity. Of how he came to follow Charles I to the scaffold we shall tell in a later section. Our present concern is with Grand Monarchy in the days of its glory.

Among the chief practitioners of Grand Monarchy outside France we may note first the Prussian kings, Frederick William I (1713-40), and his son and successor, Frederick II, Frederick the Great (1740-86). The story of the slow rise of the Hohenzollern family, which ruled the kingdom of Prussia, from inconspicuous beginnings is too tedious and unimportant for us to follow here. It is a story of luck and violence, of bold claims and sudden betrayals. It is told with great appreciation in Carlyle's *Frederick the Great*. By the eighteenth century the Prussian kingdom was important enough to threaten the empire; it had a strong, well-drilled army, and its king was an attentive and worthy student of Machiavelli. Frederick the Great perfected his Versailles at Potsdam. There the park of Sans Souci, with its fountains, avenues, statuary, aped its model; there also was the New Palace, a vast brick building erected at enormous expense, the Orangery in the Italian style, with a collection of pictures, a Marble Palace, and so on. Frederick carried culture to the pitch of authorship, and corresponded with and entertained Voltaire, to their mutual exasperation.

The Austrian dominions were kept too busy between the hammer of the French and the anvil of the Turks to develop

the real Grand Monarch style until the reign of Maria-Theresa (who, being a woman, did not bear the title of Empress) (1740-80). Joseph II, who was Emperor from 1765-92, succeeded to her palaces in 1780.

With Peter the Great (1682-1725) the empire of Muscovy broke away from her Tartar traditions and entered the sphere of French attraction. Peter shaved the Oriental beards of his nobles and introduced Western costume. These were but the outward and visible symbols of his westernizing tendencies. To release himself from the Asiatic feeling and traditions of Moscow, which, like Peking, has a sacred inner city, the Kremlin, he built himself a new capital, Petrograd, upon the swamp of the Neva. And of course he built his Versailles, the Peterhof, about eighteen miles from this new Paris, employing a French architect and having a terrace, fountains, cascades, picture gallery, park, and all the recognized features. His more distinguished successors were Elizabeth (1741-62) and Catherine the Great, a German princess, who, after obtaining the crown in sound Oriental fashion through the murder of her husband, the legitimate Tsar, reverted to advanced Western ideals and ruled with great vigour from 1762 to 1796. She set up an academy, and corresponded with Voltaire. And she lived to witness the end of the system of Grand Monarchy in Europe and the execution of Louis XVI.

We cannot even catalogue here the minor Grand Monarchs of the time in Florence (Tuscany) and Savoy and Saxony and Denmark and Sweden. Versailles, under a score of names, is starred in every volume of Bædeker, and the tourist gapes in their palaces. Nor can we deal with the war of the Spanish Succession. Spain, overstrained by the imperial enterprises of Charles V and Philip II, and enfeebled by a bigoted persecution of Protestants, Moslems, and Jews, was throughout the seventeenth and eighteenth centuries sinking down from her temporary importance in European affairs to the level of a secondary power again.

These European monarchs ruled their kingdoms as their noblemen ruled their estates: they plotted against one an-

other, they were politic and far-seeing in an unreal fashion, they made wars, they spent the substance of Europe upon absurd "policies" of aggression and resistance. At last there burst upon them a great storm out of the depths. That storm, the First French Revolution, the indignation of the common man in Europe, took their system unawares. It was but the opening outbreak of a great cycle of political and social storms that still continue, that will perhaps continue until every vestige of nationalist monarchy has been swept out of the world and the skies clear again for the great peace of the federation of mankind.

## § 6

We have seen how the idea of a world-rule and a community of mankind first came into human affairs, and we have traced how the failure of the Christian churches to sustain and establish those conceptions of its founder, led to a moral collapse in political affairs and a reversion to egotism and want of faith. We have seen how Machiavellian monarchy set itself up against the spirit of brotherhood in Christendom, and how Machiavellian monarchy developed throughout a large part of Europe in the Grand Monarchies and Parliamentary Monarchies of the seventeenth and eighteenth centuries. But the mind and imagination of man is incessantly active, and beneath the sway of the grand monarchs, a complex of notions and traditions was being woven as a net is woven, to catch and entangle men's minds, the conception of international politics not as a matter of dealings between princes, but as a matter of dealings between a kind of immortal Beings, the Powers. The Princes came and went; a Louis XIV would be followed by a petticoat-hunting Louis XV, and he again by that dull-witted amateur locksmith, Louis XVI. Peter the Great gave place to a succession of empresses; the chief continuity of the Habsburgs after Charles V, either in Austria or Spain, was a continuity of thick lips, clumsy chins, and superstition; the amiable scoundrelism of a Charles II

would make a mock of his own pretensions. But what remained much more steadfast were the secretariats of the foreign ministries and their ideas of people who wrote of state concerns. The ministers maintained a continuity of policy during the "off days" of their monarchs, and between one monarch and another.

So we find that the prince gradually became less important in men's minds than the "Power" of which he was the head. We begin to read less and less of the schemes and ambitions of King This or That, and more of the "Designs of France" or the "Ambitions of Prussia." In an age when religious faith was declining, we find men displaying a new and vivid belief in the reality of these personifications. These vast vague phantoms, the "Powers," crept insensibly into European political thought, until in the later eighteenth and in the nineteenth centuries they dominated it entirely. To this day they dominate it. European life remained nominally Christian, but to worship one God in spirit and in truth is to belong to one community with all one's fellow worshippers. In practical reality Europe does not do this, she has given herself up altogether to the worship of this strange state mythology. To these sovereign deities, to the unity of "Italy," to the hegemony of "Prussia," to the glory of "France," and the destinies of "Russia," she has sacrificed many generations of possible unity, peace, and prosperity and the lives of millions of men.

To regard a tribe or a state as a sort of personality is a very old disposition of the human mind. The Bible abounds in such personifications. Judah, Edom, Moab, Assyria, figure in the Hebrew Scriptures as if they were individuals; it is sometimes impossible to say whether the Hebrew writer is dealing with a person or with a nation. It is manifestly a primitive and natural tendency. But in the case of modern Europe it is a retrocession. Europe, under the idea of Christendom, had gone far towards unification. And while such tribal persons as "Israel" or "Tyre" did represent a certain community of blood, a certain uniformity of type, and a homogeneity of interest, the European

powers which arose in the seventeenth and eighteenth centuries were entirely fictitious unities. Russia was in truth an assembly of the most incongruous elements, Cossacks, Tartars, Ukrainians, Muscovites, and, after the time of Peter, Esthonians and Lithuanians; the France of Louis XV comprehended German Alsace and freshly assimilated regions of Burgundy; it was a prison of suppressed Huguenots and a sweating-house for peasants. In "Britain," England carried on her back the Hanoverian dominions in Germany, Scotland, the profoundly alien Welsh and the hostile and Catholic Irish. Such powers as Sweden, Prussia, and still more so Poland and Austria, if we watch them in a series of historical maps, contract, expand, thrust out extensions, and wander over the map of Europe as amœbæ do under the microscope. . . .

If we consider the psychology of international relationship as we see it manifested in the world about us, and as it is shown by the development of the "Power" idea in modern Europe, we shall realize certain historically very important facts about the nature of man. Aristotle said that man is a political animal, but in our modern sense of the word politics, which now covers world-politics, he is nothing of the sort. He has still the instincts of the family tribe, and beyond that he has a disposition to attach himself and his family to something larger, to a tribe, a city, a nation, or a state. But that disposition, left to itself, is a vague and very uncritical disposition. If anything, he is inclined to fear and dislike criticism of this something larger that encloses his life and to which he has given himself, and to avoid such criticism. Perhaps he has a subconscious fear of the isolation that may ensue if the system is broken or discredited. He takes the *milieu* in which he finds himself for granted; he accepts his city or his government, just as he accepts the nose or the digestion which fortune has bestowed upon him. But men's loyalties, the sides they take in political things, are not innate, they are educational results. For most men their education in these matters is the silent, continuous education of things about them. Men find themselves a

part of Merry England or Holy Russia; they grow up into these devotions; they accept them as a part of their nature.

It is only slowly that the world is beginning to realize how profoundly the tacit education of circumstances can be supplemented, modified, or corrected by positive teaching, by literature, discussion, and properly criticized experience. The real life of the ordinary man is his everyday life, his little circle of affections, fears, hungers, lusts, and imaginative impulses. It is only when his attention is directed to political affairs as something vitally affecting this personal circle, that he brings his reluctant mind to bear upon them. It is scarcely too much to say that the ordinary man thinks as little about political matters as he can, and stops thinking about them as soon as possible. It is still only very curious and exceptional minds, or minds that have by example or good education acquired the scientific habit of wanting to know *why*, or minds shocked and distressed by some public catastrophe and roused to wide apprehensions of danger, that will not accept governments and institutions, however preposterous, that do not directly annoy them, as satisfactory. The ordinary human being, until he is so aroused, will acquiesce in any collective activities that are going on in this world in which he finds himself, and any phrasing or symbolization that meets his vague need for something greater to which his personal affairs, his individual circle, can be anchored.

If we keep these manifest limitations of our nature in mind, it no longer becomes a mystery how, as the idea of Christianity as a world brotherhood of men sank into discredit because of its fatal entanglement with priestcraft and the Papacy on the one hand and with the authority of princes on the other, and the age of faith passed into our present age of doubt and disbelief, men shifted the reference of their lives from the kingdom of God and the brotherhood of mankind to these apparently more living realities, France and England, Holy Russia, Spain, Prussia, which were at least embodied in active courts, which maintained laws, exerted power through armies and navies, waved flags with a



compelling solemnity, and were self-assertive and insatiably greedy in an entirely human and understandable fashion. Certainly such men as Cardinal Richelieu and Cardinal Mazarin thought of themselves as serving greater ends than their own or their monarch's; they served the quasi-divine France of their imaginations. And as certainly these habits of mind percolated down from them to their subordinates and to the general body of the population. In the thirteenth and fourteenth centuries the general population of Europe was religious and only vaguely patriotic; by the nineteenth it had become wholly patriotic. In a crowded English or French or German railway carriage of the later nineteenth century it would have aroused far less hostility to have jeered at God than to have jeered at one of those strange beings, England or France or Germany. To these things men's minds clung, and they clung to them because in all the world there appeared nothing else so satisfying to cling to. They were the real and living gods of Europe.

This idealization of governments and foreign offices, this mythology of "Powers" and their loves and hates and conflicts, has so obsessed the imaginations of Europe and Western Asia as to provide it with its "forms of thought." Nearly all the histories, nearly all the political literature of the last two centuries in Europe, have been written in its phraseology. Yet a time is coming when a clearer-sighted generation will read with perplexity how in the community of western Europe, consisting everywhere of very slight variations of a common racial mixture of Nordic and Iberian peoples and immigrant Semitic and Mongolian elements, speaking nearly everywhere modifications of the same Aryan speech, having a common past in the Roman Empire, common religious forms, common social usages, and a common art and science, and intermarrying so freely that no one could tell with certainty the "nationality" of any of his great-grandchildren, men could be moved to the wildest excitement upon the question of the ascendancy of "France," the rise and unification of "Germany," the rival claims of "Russia" and "Greece" to possess Constantinople. These conflicts will seem then as

reasonless and insane as those dead, now incomprehensible feuds of the "greens" and "blues" that once filled the streets of Byzantium with shouting and bloodshed.

Tremendously as these phantoms, the Powers, rule our minds and lives to-day, they are, as this history shows clearly, things only of the last few centuries, a mere hour, an incidental phase, in the vast deliberate history of our kind. They mark a phase of relapse, a backwater, as the rise of Machiavellian monarchy marks a backwater; they are part of the same eddy of faltering faith, in a process altogether greater and altogether different in its general tendency, the process of the moral and intellectual reunion of mankind. For a time men have relapsed upon these national or imperial gods of theirs; it is but for a time. The idea of the world state, the universal kingdom of righteousness of which every living soul shall be a citizen, was already in the world two thousand years ago never more to leave it. Men know that it is present even when they refuse to recognize it. In the writings and talk of men about international affairs to-day, in the current discussions of historians and political journalists, there is an effect of drunken men growing sober, and terribly afraid of growing sober. They still talk loudly of their "love" for France, of their "hatred" of Germany, of the "traditional ascendancy of Britain at sea," and so on and so on, like those who sing of their cups in spite of the steadfast onset of sobriety and a headache. These are dead gods they serve. By sea or land men want no Powers ascendant, but only law and service. That silent unavoidable challenge is in all our minds like dawn breaking slowly, shining between the shutters of a disordered room.

## § 7

The seventeenth century in Europe was the century of Louis XIV; he and French ascendancy and Versailles are the central motif of the story. The eighteenth century was equally the century of the "rise of Prussia as a great power,"

and the chief figure in the story is Frederick II, Frederick the Great. Interwoven with his history is the story of Poland.

The condition of affairs in Poland was peculiar. Unlike its three neighbours, Prussia, Russia, and the Austro-Hungarian monarchy of the Habsburgs, Poland had not developed a Grand Monarchy. Its system of government may be best described as republican with a king, an elected life-president. Each king was separately elected. It was in fact rather more republican than Britain, but its republicanism was more aristocratic in form. Poland had little trade and few manufactures; she was agricultural and still with great areas of grazing, forest and waste; she was a poor country, and her landowners were poor aristocrats. The mass of her population was a downtrodden and savagely ignorant peasantry, and she also harboured great masses of very poor Jews. She had remained Catholic. She was, so to speak, a poor Catholic inland Britain, entirely surrounded by enemies instead of by the sea. She had no definite boundaries at all, neither sea nor mountain. And it added to her misfortunes that some of her elected kings had been brilliant and aggressive rulers. Eastward her power extended weakly into regions inhabited almost entirely by Russians; westward she overlapped a German subject population.

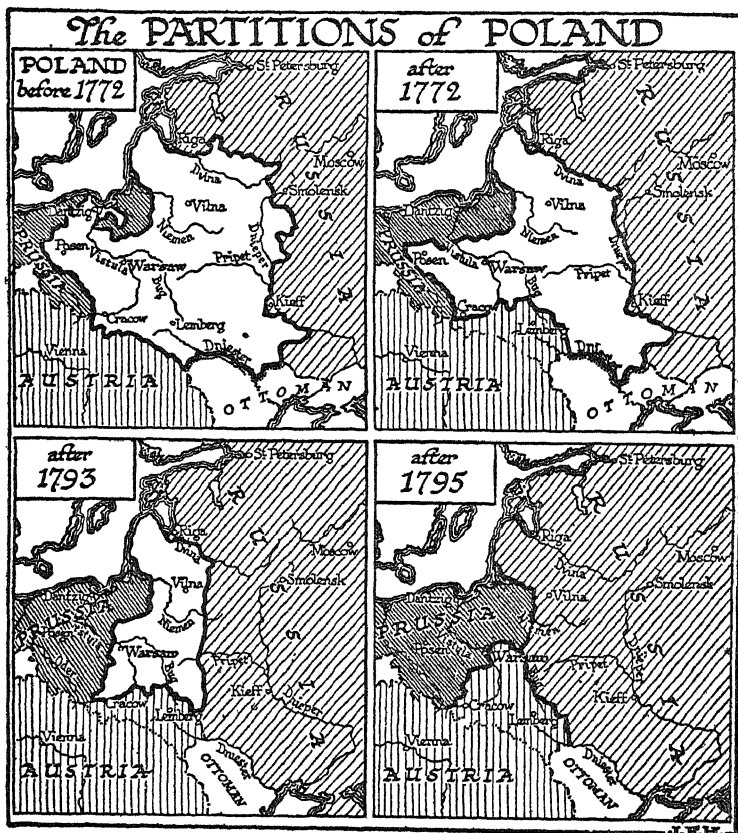
Because she had no great trade, she had no great towns to compare with those of western Europe, and no vigorous universities to hold her mind together. Her noble class lived on their estates, without much intellectual intercourse. They were patriotic, they had an aristocratic sense of freedom—which was entirely compatible with the systematic impoverishment of their serfs—but their patriotism and freedom were incapable of effective co-operation. While warfare was a matter of levies of men and horses, Poland was a comparatively strong power; but it was quite unable to keep pace with the development of military art that was making standing forces of professional soldiers the necessary weapon in warfare. Yet divided and disabled as she was, she could yet count some notable victories to her credit. The last

Turkish attack upon Vienna (1683) was defeated by the Polish cavalry under King John Sobiesky, King John III. (This same Sobiesky, before he was elected king, had been in the pay of Louis XIV, and had also fought for the Swedes against his native country.) Needless to say, this weak aristocratic republic, with its recurrent royal elections, invited aggression from all three of its neighbours. "Foreign money," and every sort of exterior interference, came into the country at each election. And like the Greeks of old, every disgruntled Polish patriot flew off to some foreign enemy to wreak his indignation upon his ungrateful country.

Even when the King of Poland was elected, he had very little power because of the mutual jealousy of the nobles. Like the English peers, they preferred a foreigner, and for much the same reason, because he had no roots of power in the land; but, unlike the British, their own government had not the solidarity which the periodic assembling of Parliament in London, the "coming up to town," gave the British peers. In London there was "Society," a continuous intermingling of influential persons and ideas. Poland had no London and no "Society." So practically Poland had no central government at all. The King of Poland could not make war nor peace, levy a tax nor alter the law, without the consent of the Diet, and *any single member of the Diet had the power of putting a veto upon any proposal before it.* He had merely to rise and say, "I disapprove," and the matter dropped. He could even carry his free veto, his *liberum veto*, further. He could object to the assembly of the Diet, and the Diet was thereby dissolved. Poland was not simply a crowned aristocratic republic like the British, it was a paralysed crowned aristocratic republic.

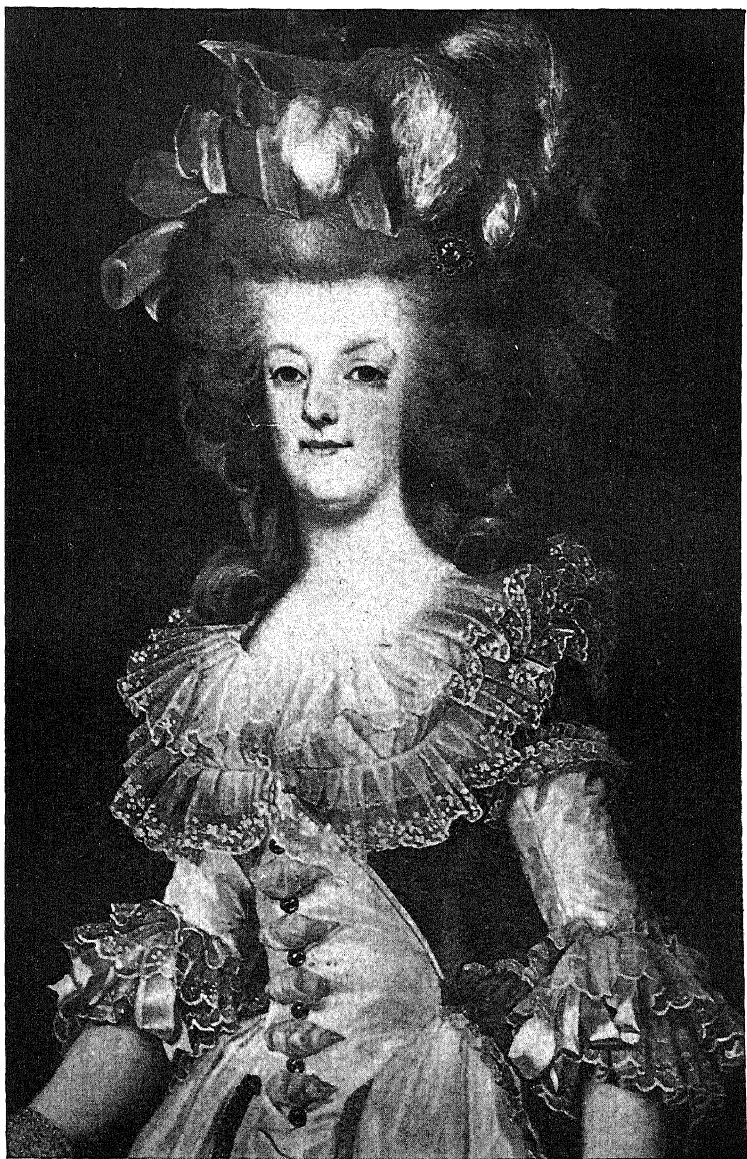
To Frederick the Great the existence of Poland was particularly provocative because of the way in which an arm of Poland reached out to the Baltic at Dantzic and separated his ancestral dominions in East Prussia from his territories within the empire. It was he who incited Catherine the Second of Russia and Maria Theresa of Austria, whose re-

spect he had earned by depriving her of Silesia, to a joint attack upon Poland.



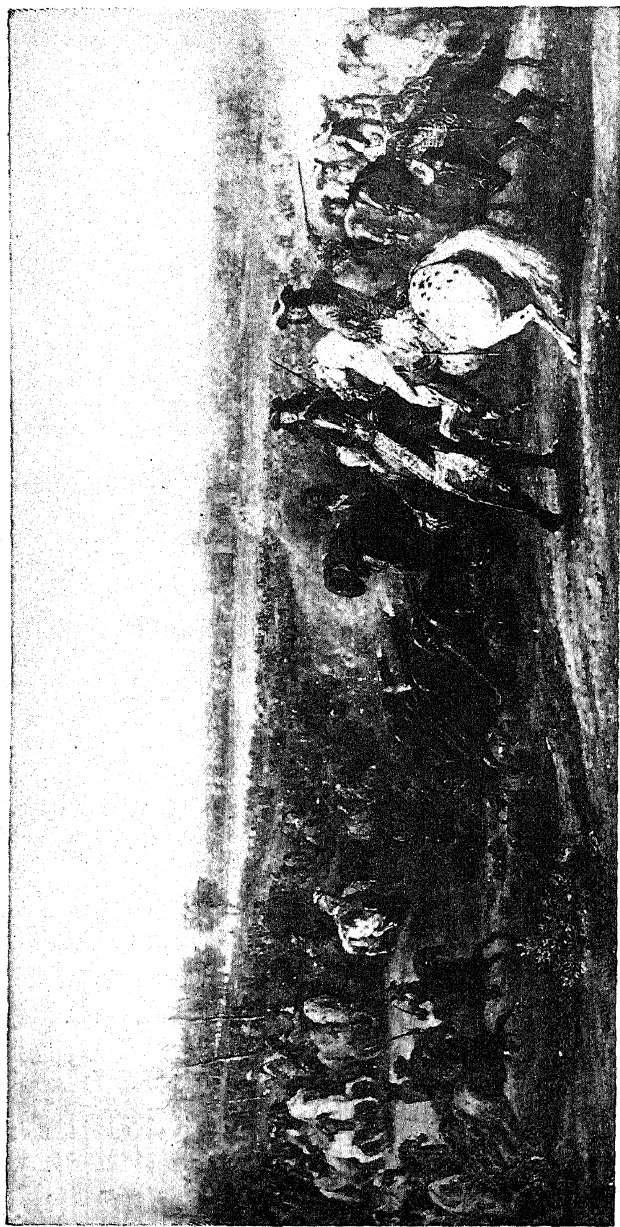
Let four maps of Poland tell the tale.

After this first outrage of 1772 Poland underwent a great change of heart. Poland was indeed born as a nation on the eve of her dissolution. There was a hasty but very considerable development of education, literature, and art; historians and poets sprang up, and the impossible constitution that had made Poland impotent was swept aside. The free



QUEEN MARIE ANTOINETTE

Showing one of the elaborate coiffures of the period



#### LOUIS XIV CROSSING THE RHINE

The "Grand Monarch" vigorously continued the policy of military force which had extended France's boundaries under Richelieu. In June, 1672, his army crossed the Rhine and occupied the Dutch United Provinces. (From the painting by Van der Meulen)

veto was abolished, the crown was made hereditary to save Poland from the foreign intrigues that attended every election, and a Parliament in imitation of the British was set up. There were, however, lovers of the old order in Poland who resented these necessary changes, and these obstructives were naturally supported by Prussia and Russia, who wanted no Polish revival. Came the second partition, and, after a fierce patriotic struggle that began in the region annexed by Prussia and found a leader and national hero in Kosciuszko, the final obliteration of Poland from the map. So for a time ended this Parliamentary threat to Grand Monarchy in Eastern Europe. But the patriotism of the Poles grew stronger and clearer with suppression. For a hundred and twenty years Poland struggled like a submerged creature beneath the political and military net that held her down. She rose again in 1918, at the end of the Great War.

## § 8

We have given some account of the ascendancy of France in Europe, the swift decay of the sappy growth of Spanish power and its separation from Austria, and the rise of Prussia. So far as Portugal, Spain, France, Britain, and Holland were concerned, their competition for ascendancy in Europe was extended and complicated by a struggle for dominion overseas.

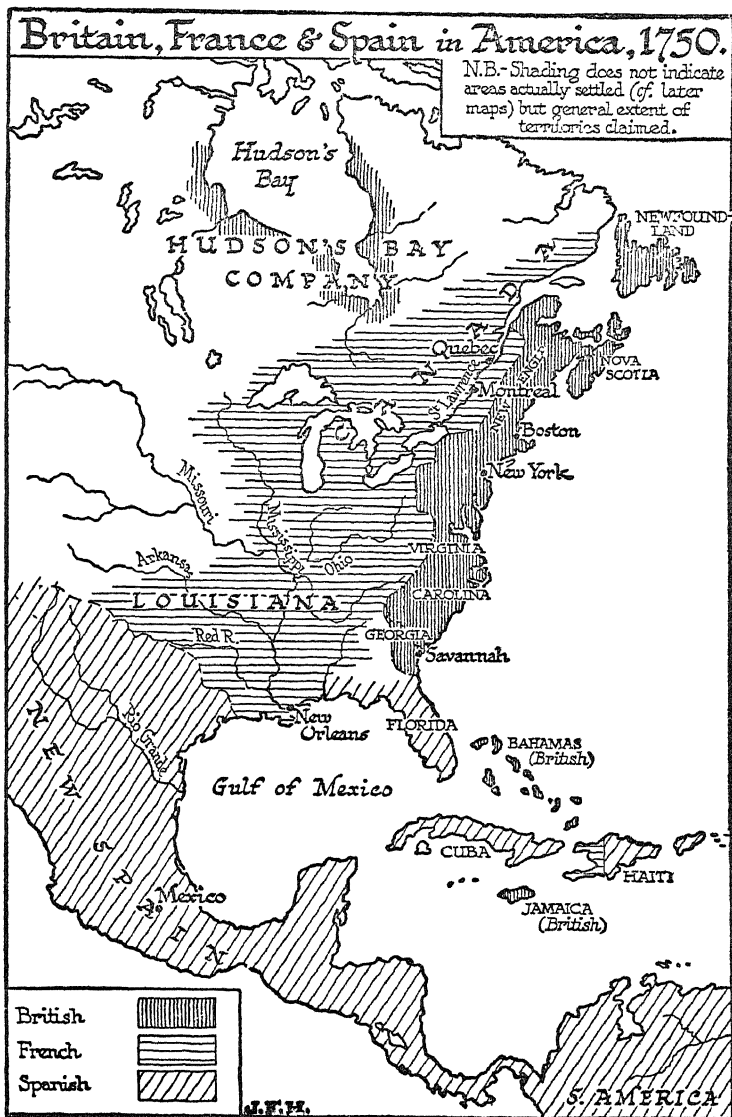
The discovery of the huge continent of America, thinly inhabited, undeveloped, and admirably adapted for European settlement and exploitation, the simultaneous discovery of great areas of unworked country south of the torrid equatorial regions of Africa that had hitherto limited European knowledge, and the gradual realization of vast island regions in the Eastern seas, as yet untouched by Western civilization, was a presentation of opportunity to mankind unprecedented in all history. It was as if the peoples of Europe had come into some splendid legacy. Their world had suddenly quadrupled. There was more than enough for all; they had only to take these lands and continue to do well



by them, and their crowded poverty would vanish like a dream. And they received this glorious legacy like ill-bred heirs; it meant no more to them than a fresh occasion for atrocious disputes. But what community of human beings has ever yet preferred creation to conspiracy? What nation in all our story has ever worked with another when, at any cost to itself, it could contrive to do that other injury? The Powers of Europe began by a frantic "claiming" of the new realms. They went on to exhausting conflicts. Spain, who claimed first and most and who was for a time "mistress" of two-thirds of America, made no better use of her possession than to bleed herself nearly to death therein.

We have told how the Papacy in its last assertion of world dominion, instead of maintaining the common duty of all Christendom to make a great common civilization in the new lands, divided the American continent between Spain and Portugal. This naturally roused the hostility of the excluded nations. The seamen of England showed no respect for either claim, and set themselves particularly against the Spanish; the Swedes turned their Protestantism to a similar account. The Hollanders, so soon as they had shaken off their Spanish masters, also set their sails westward to flout the Pope and share in the good things of the new world. His Most Catholic Majesty of France hesitated as little as any Protestant. All these powers were soon busy staking out claims in North America and the West Indies.

Neither the Danish kingdom (which at that time included Norway and Iceland) nor the Swedes secured very much in the scramble. The Danes annexed some of the West Indian islands. Sweden got nothing. Both Denmark and Sweden at this time were deep in the affairs of Germany. We have already named Gustavus Adolphus, the Protestant "Lion of the North," and mentioned his campaigns in Germany, Poland, and Russia. These Eastern European regions are great absorbents of energy, and the strength that might have given Sweden a large share in the new world reaped a barren harvest of glory in Europe. Such small settlements as the Swedes made in America presently fell to the Dutch.



The Hollanders too, with the French monarchy under Cardinal Richelieu and under Louis XIV eating its way across the Spanish Netherlands towards their frontier, had not the undistracted resources that Britain, behind her "silver streak" of sea, could put into overseas adventures.

Moreover, the absolutist efforts of James I and Charles I, and the restoration of Charles II, had the effect of driving out from England a great number of sturdy-minded, republican-spirited Protestants, men of substance and character, who set up in America, and particularly in New England, out of reach, as they supposed, of the king and his taxes. The *Mayflower* was only one of the pioneer vessels of a stream of emigrants. It was the luck of Britain that they remained, though dissentient in spirit, under the British flag. The Dutch never sent out settlers of the same quantity and quality, first because their Spanish rulers would not let them, and then because they had got possession of their own country. And though there was a great emigration of Protestant Huguenots from the dragonnades and persecution of Louis XIV, they had Holland and England close at hand as refuges, and their industry, skill, and sobriety went mainly to strengthen those countries, and particularly England. A few of them founded settlements in Carolina, but these did not remain French; they fell first to the Spanish and finally to the English.

The Dutch settlements, with the Swedish, also succumbed to Britain; Nieuw Amsterdam became British in 1674, and its name was changed to New York, as the reader may learn very cheerfully in Washington Irving's *Knickerbocker's History of New York*. The state of affairs in North America in 1750 is indicated very clearly by a map we have adapted from one in Robinson's *Medieval and Modern Times*. The British power was established along the east coast from Savannah to the St. Lawrence River, and Newfoundland and considerable northern areas, the Hudson Bay Company territories, had been acquired by treaty from the French. The British occupied Barbados (almost our oldest possession) in 1605, and acquired Jamaica, the Bahamas, and British Hon-

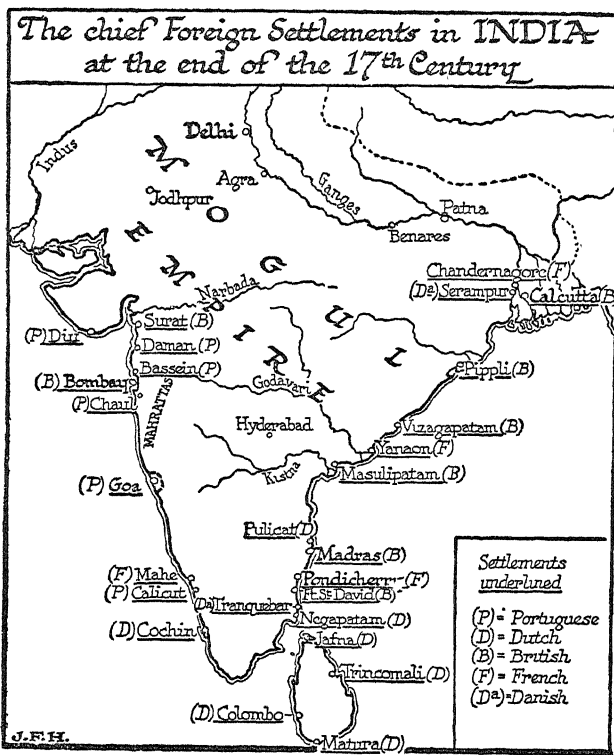
duras from the Spaniards. But France was pursuing a very dangerous and alarming game, a game even more dangerous and alarming on the map than in reality. She had made real settlements in Quebec and Montreal to the north and at New Orleans in the south, and her explorers and agents had pushed south and north, making treaties with the American Indians of the great plains and setting up claims—without setting up towns—right across the continent behind the British. But the realities of the case are not adequately represented in this way. The British colonies were being very solidly settled by a good class of people; they already numbered a population of over a million; the French at that time hardly counted a tenth of that. They had a number of brilliant travellers and missionaries at work, but no substance of population behind them.

Many old maps of America in this period are still to be found, maps designed to scare and “rouse” the British to a sense of the “designs of France” in America. War broke out in 1754, and in 1759 the British and Colonial forces under General Wolfe took Quebec and completed the conquest of Canada in the next year. In 1763 Canada was finally ceded to Britain. (But the western part of the rather indefinite region of Louisiana in the south, named after Louis XIV, remained outside the British sphere. It was taken over by Spain; and in 1800 it was recovered by France. Finally, in 1803, it was bought from France by the United States government.) In this Canadian war the American colonists gained a considerable experience of the military art, and a knowledge of British military organization that was to be of great use to them a little later.

## § 9

It was not only in America that the French and British powers clashed. The condition of India at this time was one very interesting and attractive to European adventurers. The great Mongol Empire of Baber, Akbar, and Aurangzeb was now far gone in decay. What had happened to India was very parallel to what had happened to Germany. The

Great Mogul at Delhi in India, like the Holy Roman Emperor in Germany, was still legally overlord, but after the death of Aurangzeb he exerted only a nominal authority except in the immediate neighbourhood of his capital. There had been



a great revival of Hinduism and of the native spirit. In the southwest a Hindu people, the Mahrattas, had risen against Islam, restored Brahminism as the ruling religion, and for a time extended their power over the whole southern triangle of India. In Rajputana also the rule of Islam was replaced by Brahminism, and at Bhurtpur and Jaipur there ruled powerful Rajput princes. In Oudh there was a Shiite kingdom, with its capital at Lucknow, and Bengal was also a

separate (Moslem) kingdom. Away in the Punjab to the north had risen a very interesting religious body, the Sikhs, proclaiming the universal rule of one God and assailing both the Hindu Vedas and the Moslem Koran. Originally a pacific sect, the Sikhs presently followed the example of Islam, and sought—at first very disastrously to themselves—to establish the kingdom of God by the sword. And into this confused and disordered but very vital, renascent, Indian India there presently (1738) came an invader from the north, Nadir Shah (1736–47), the Turcoman ruler of Persia, who swept down through the Kyber pass, broke every army that stood in his way, and captured and sacked Delhi, carrying off an enormous booty. He left the north of India so utterly broken, that in the next twenty years there were no less than six other successful plundering raids into North India from Afghanistan, which had become an independent state at the death of Nadir Shah. For a time Mahrattas fought with Afghans for the rule of North India; then the Mahratta power broke up into a series of principalities, Indore, Gwalior, Baroda, and others. . . . India in the seventeenth century was very like the Europe of the seventh and eighth centuries, a land of slow revival, distressed by foreign raiders.

This was the India into which the French and English were thrusting during the eighteenth century.

A succession of other European powers had been struggling for a commercial and political footing in India and the east ever since Vasco da Gama had made his memorable voyage round the Cape to Calicut. The sea trade of India had previously been in the hands of the Red Sea Arabs, and the Portuguese won it from them in a series of sea fights. The Portuguese ships were the bigger, and carried a heavier armament. For a time the Portuguese held the Indian trade as their own, and Lisbon outshone Venice as a mart for Oriental spices; the seventeenth century, however, saw the Dutch grasping at this monopoly. At the crest of their power the Dutch had settlements at the Cape of Good Hope, they held Mauritius, they had two establishments in Persia,

twelve in India, six in Ceylon, and all over the East Indies they had dotted their fortified stations. But their selfish resolution to exclude traders of any other European nationality forced the Swedes, Danes, French, and English into hostile competition. The first effectual blows at their overseas monopoly were struck in European waters by the victories of Blake, the English republican admiral; and by the opening of the eighteenth century both the English and French were in vigorous competition with the Dutch for trade and privileges throughout India. At Madras, Bombay, and Calcutta the English established their headquarters; Pondicherry and Chandernagore were the chief French settlements.

At first all these European powers came merely as traders, and the only establishments they attempted were warehouses; but the unsettled state of the country, and the unscrupulous methods of their rivals, made it natural for them to fortify and arm their settlements, and this armament made them attractive allies of the various warring princes who now divided India. And it was entirely in the spirit of the new European nationalist politics that when the French took one side the British should take another. The great leader upon the English side was Robert Clive, who was born in 1725, and went to India in 1743. His chief antagonist was Dupleix. The story of this struggle throughout the first half of the eighteenth century is too long and intricate to be told here. By 1761 the British found themselves completely dominant in the Indian peninsula. At Plassey (1757) and at Buxar (1764) their armies gained striking and conclusive victories over the army of Bengal and the army of Oudh. The Great Mogul, nominally their overlord, became in effect their puppet. They levied taxes over great areas; they exacted indemnities for real or fancied opposition.

These successes were not gained directly by the forces of the King of England; they were gained by the East India Trading Company, which had been originally at the time of its incorporation under Queen Elizabeth no more than a company of sea adventurers. Step by step they had been forced to raise troops and arm their ships. And now this trading

company, with its tradition of gain, found itself dealing not merely in spices and dyes and tea and jewels, but in the revenues and territories of princes and the destinies of India. It had come to buy and sell, and it found itself achieving a tremendous piracy. There was no one to challenge its proceedings. Is it any wonder that its captains and commanders and officials, nay, even its clerks and common soldiers, came back to England loaded with spoils? Men under such circumstances, with a great and wealthy land at their mercy, could not determine what they might or might not do. It was a strange land to them, with a strange sunlight; its brown people were a different race, outside their range of sympathy; its temples and buildings seemed to sustain fantastic standards of behaviour. Englishmen at home were perplexed when presently these generals and officials came back to make dark accusations against each other of extortions and cruelties. Upon Clive Parliament passed a vote of censure. He committed suicide in 1774. In 1788 Warren Hastings, a second great Indian administrator, was impeached and acquitted (1792). It was a strange and unprecedented situation in the world's history. The English Parliament found itself ruling over a London trading company, which in its turn was dominating an empire far greater and more populous than all the domains of the British crown. To the bulk of the English people India was a remote, fantastic, almost inaccessible land, to which adventurous poor young men went out, to return after many years very rich and very choleric old gentlemen. It was difficult for the English to conceive what the life of these countless brown millions in the eastern sunshine could be. Their imaginations declined the task. India remained romantically unreal. It was impossible for the English, therefore, to exert any effective supervision and control over the company's proceedings.

## § 10

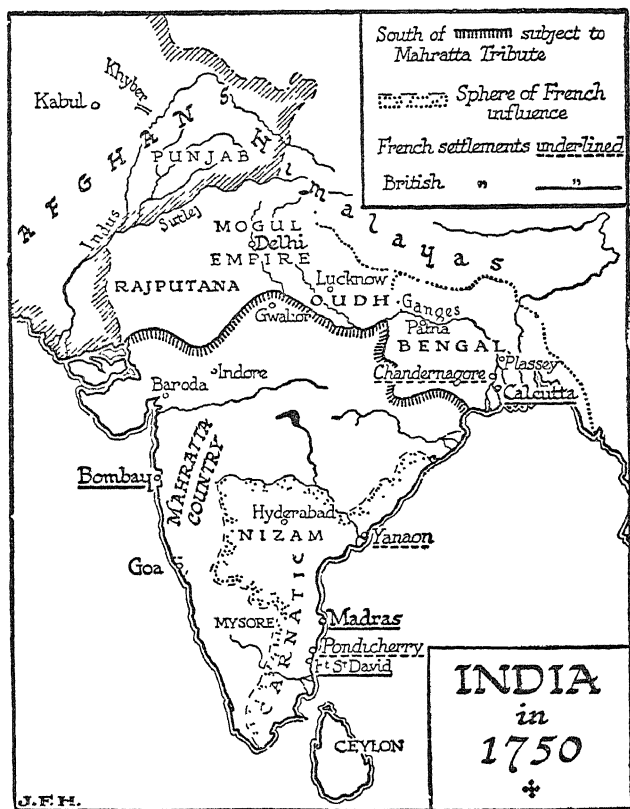
And while the great peninsula of the south of Asia was thus falling under the dominion of the English sea traders,



an equally remarkable reaction of Europe upon Asia was going on in the north. We have told how the Christian states of Russia recovered their independence from the Golden Horde, and how the Tsar of Moscow became master of the republic of Novgorod; and in § 5 of this chapter we have told of Peter the Great joining the circle of Grand Monarchs and, as it were, dragging Russia into Europe. The rise of this great central power of the old world, which is neither altogether of the East nor altogether of the West, is one of the utmost importance to our human destiny. We have also told in the same chapter of the appearance of a Christian steppe people, the Cossacks, who formed a barrier between the feudal agriculture of Poland and Hungary to the west and the Tartar to the east. The Cossacks were the wild east of Europe, and in many ways not unlike the wild west of the United States in the middle nineteenth century. All who had made Russia too hot to hold them, criminals as well as the persecuted innocent, rebellious serfs, religious sectaries, thieves, vagabonds, murderers, sought asylum in the southern steppes, and there made a fresh start and fought for life and freedom against Pole, Russian, and Tartar alike. Doubtless fugitives from the Tartars to the east also contributed to the Cossack mixture. Chief among these new nomad tribes were the Ukraine Cossacks on the Dnieper and the Don Cossacks on the Don. Slowly these border folk were incorporated in the Russian imperial service, much as the Highland clans of Scotland were converted into regiments by the British government. New lands were offered them in Asia. They became a weapon against the dwindling power of the Mongolian nomads, first in Turkestan and then across Siberia as far as Amur.

The decay of Mongol energy in the seventeenth and eighteenth centuries is very difficult to explain. Within two or three centuries from the days of Jengis and Timur-lane, central Asia had relapsed from a period of world ascendancy to extreme political impotence. Changes of climate, unrecorded pestilences, infections of a malarial type, may have played their part in this recession—which may be

only a temporary recession measured by the scale of universal history—of the Central Asian peoples. Some authorities think that the spread of Buddhist teaching from China also had a pacifying influence upon them. At any rate, by



the sixteenth century the Mongol Tartar and Turkish peoples were no longer pressing outward, but were being invaded, subjugated, and pushed back both by Christian Russia in the west and by China in the east.

All through the seventeenth century the Cossacks were

spreading eastward from European Russia, and settling wherever they found agricultural conditions. Cordons of forts and stations formed a moving frontier to these settlements to the south, where the Turkomans were still strong and active; to the north-east, however, Russia had no frontier until she reached right to the Pacific. . . .

At the same time China was in a phase of expansion. The Manchu conquerors had brought a new energy into Chinese affairs, and their northern interests led to a considerable northward expansion of the Chinese civilization and influence into Manchuria and Mongolia. So it was that by the middle of the eighteenth century the Russians and Chinese were in contact in Mongolia. At this period China ruled eastern Turkestan, Tibet, Nepal, Burmah, and Annam. . . .

We have mentioned a Japanese invasion of China (or rather of Korea). Except for this aggression upon China, Japan plays no part in our history before the nineteenth century. Like China under the Mings, Japan had set her face resolutely against the interference of foreigners in her affairs. She was a country leading her own civilized life, magically sealed against intruders. We have told little of her hitherto because there was little to tell. Her picturesque and romantic history stands apart from the general drama of human affairs. Her population was chiefly a Mongolian population, with some very interesting white people of a Nordic type, the Hairy Ainu, in the northern islands. Her civilization seems to have been derived almost entirely from Korea and China; her art is a special development of Chinese art, her writing an adaption of the Chinese script.

## § 11

In these preceding ten sections we have been dealing with an age of division, of separated nationalities. We have already described this period of the seventeenth and eighteenth centuries as an interregnum in the progress of

mankind towards a world-wide unity. Throughout this period there was no ruling unifying idea in men's minds. The impulse of the empire had failed until the Emperor was no more than one of a number of competing princes, and the dream of Christendom also was a fading dream. The developing "powers" jostled one another throughout the world; but for a time it seemed that they might jostle one another indefinitely without any great catastrophe to mankind. The great geographical discoveries of the sixteenth century had so enlarged human resources that, for all their divisions, for all the waste of their wars and policies, the people of Europe enjoyed a considerable and increasing prosperity. Central Europe recovered steadily from the devastation of the Thirty Years' War.

Looking back upon this period, which came to its climax in the eighteenth century, looking back, as we can begin to do nowadays, and seeing its events in relation to the centuries that came before it and to the great movements of the present time, we are able to realize how transitory and provisional were its political forms and how unstable its securities. Provisional it was as no other age has been provisional, an age of assimilation and recuperation, a political pause, a gathering up of the ideas of men and the resources of science for a wider human effort. But the contemporary mind did not see it in that light. The failure of the great creative ideas as they had been formulated in the Middle Ages, had left human thought for a time destitute of the guidance of creative ideas; even educated and imaginative men saw the world undramatically; no longer as an interplay of effort and destiny, but as the scene in which a trite happiness was sought and the milder virtues were rewarded. It was not simply the contented and conservative-minded who, in a world of rapid changes, were under the sway of this assurance of an achieved fixity of human conditions. Even highly critical and insurgent intelligences, in default of any sustaining movements in the soul of the community, betrayed the same disposition. Political life, they felt, had ceased to be the urgent and tragic thing it had once been; it had become

a polite comedy. The eighteenth was a century of comedy—which at the end grew grim. It is inconceivable that that world of the middle eighteenth century could have produced a Jesus of Nazareth, a Gautama, a Francis of Assisi, an Ignatius of Loyola. If one may imagine an eighteenth-century John Huss, it is impossible to imagine anyone with sufficient passion to burn him. Until the stirrings of conscience in Britain that developed into the Methodist revival began, we can detect scarcely a suspicion that there still remained great tasks in hand for our race to do, that enormous disturbances were close at hand, or that the path of man through space and time was dark with countless dangers, and must to the end remain a high and terrible enterprise.

We have quoted again and again in this history from Gibbon's *Decline and Fall of the Roman Empire*. Now we shall quote from it for the last time and bid it farewell, for we have come to the age in which it was written. Gibbon was born in 1737, and the last volume of his history was published in 1787, but the passage we shall quote was probably written in the year 1780. Gibbon was a young man of delicate health and fairly good fortune; he had a partial and interrupted education at Oxford, and then he completed his studies in Geneva; on the whole his outlook was French and cosmopolitan rather than British, and he was much under the intellectual influence of that great Frenchman who is best known under the name of Voltaire (Francois Marie Arouet de Voltaire, 1694–1778). Voltaire was an author of enormous industry; seventy volumes of him adorn the present writer's shelves, and another edition of Voltaire's works runs to ninety-four; he dealt largely with history and public affairs, and he corresponded with Catherine the Great of Russia, Frederick the Great of Prussia, Louis XV, and most of the prominent people of the time. Both Voltaire and Gibbon had the sense of history strong in them; both have set out very plainly and fully their visions of human life; and it is clear that to both of them the system in which they lived, the system of monarchy, of leisured and privileged gentlefolks, of rather despised industrial and trading

people and of down-trodden and negligible labourers and poor and common people, seemed the most stably established way of living that the world has ever seen. They postured a little as republicans, and sneered at the divine pretensions of monarchy; but the republicanism that appealed to Voltaire was the crowned republicanism of the Britain of those days, in which the king was simply the official head, the first and greatest of the gentlemen.

The ideal they sustained was the ideal of a polite and polished world in which men—men of quality that is, for no others counted—would be ashamed to be cruel or gross or enthusiastic, in which the appointments of life would be spacious and elegant, and the fear of ridicule the potent auxiliary of the law in maintaining the decorum and harmonies of life. Voltaire had in him the possibility of a passionate hatred of injustice, and his interventions on behalf of persecuted or ill-used men are the high lights of his long and complicated life-story. And this being the mental disposition of Gibbon and Voltaire, and of the age in which they lived, it is natural that they should find the existence of religion in the world, and in particular the existence of Christianity, a perplexing and rather unaccountable phenomenon. The whole of that side of life seemed to them a kind of craziness in the human make-up. Gibbon's great history is essentially an attack upon Christianity as the operating cause of the decline and fall. He idealized the crude and gross plutocracy of Rome into a world of fine gentlemen upon the eighteenth-century model, and told how it fell before the Barbarian from without because of the decay through Christianity within. In our history here we have tried to set that story in a better light. To Voltaire official Christianity was "*l'infâme*"; something that limited people's lives, interfered with their thoughts, persecuted harmless dissentients. And indeed in that period of the interregnum there was very little life or light in either the orthodox Christianity of Rome or in the orthodox tame churches of Russia and of the Protestant princes. In an interregnum incommoded with an abundance of sleek parsons and sly priests it was

hard to realize what fires had once blazed in the heart of Christianity, and what fires of political and religious passion might still blaze in the hearts of men.

At the end of his third volume Gibbon completed his account of the breaking up of the Western Empire. He then raised the question whether civilization might ever undergo again a similar collapse. This led him to review the existing state of affairs (1780) and to compare it with the state of affairs during the decline of imperial Rome. It will be very convenient to our general design to quote some passages from that comparison here, for nothing could better illustrate the state of mind of the liberal thinkers of Europe at the crest of the political interregnum of the age of the Great Powers, before the first intimations of those profound political and social forces of disintegration that have produced at length the dramatic interrogations of our own times.

"This awful revolution," wrote Gibbon of the Western collapse, "may be usefully applied to the useful instruction of the present age. It is the duty of a patriot to prefer and promote the exclusive interest and glory of his native country; but a philosopher may be permitted to enlarge his views, and to consider Europe as one great republic, whose various inhabitants have attained almost the same level of politeness and cultivation. The balance of power will continue to fluctuate, and the prosperity of our own or the neighbouring kingdoms may be alternately exalted or depressed; but these partial events cannot essentially injure our general state of happiness, the system of arts, and laws, and manners, which so advantageously distinguish, above the rest of mankind, the Europeans and their colonies. The savage nations of the globe are the common enemies of civilized society; and we may enquire with anxious curiosity whether Europe is still threatened with a repetition of those calamities which formerly oppressed the arms and institutions of Rome. Perhaps the same reflections will illustrate the fall of that mighty empire and explain the probable causes of our actual security.

"The Romans were ignorant of the extent of their danger,

and the number of their enemies. Beyond the Rhine and Danube, the northern countries of Europe and Asia were filled with innumerable tribes of hunters and shepherds, poor, voracious, and turbulent; bold in arms, and impatient to ravish the fruits of industry. The Barbarian world was agitated by the rapid impulse of war; and the peace of Gaul or Italy was shaken by the distant revolutions of China. The Huns, who fled before a victorious enemy, directed their march towards the west; and the torrent was swelled by the gradual accession of captives and allies. The flying tribes who yielded to the Huns assumed in *their* turn the spirit of conquest; the endless column of barbarians pressed on the Roman Empire with accumulated weight and, if the foremost were destroyed, the vacant space was instantly replenished by new assailants. Such formidable emigrations can no longer issue from the North; and the long repose, which has been imputed to the decrease of population, is the happy consequence of the progress of arts and agriculture. Instead of some rude villages, thinly scattered among its woods and morasses, Germany now produces a list of two thousand three hundred walled towns; the Christian kingdoms of Denmark, Sweden, and Poland have been successively established; and the Hanse merchants, with the Teutonic knights, have extended their colonies along the coast of the Baltic, as far as the Gulf of Finland. From the Gulf of Finland to the Eastern Ocean, Russia now assumes the form of a powerful and civilized empire. The plough, the loom, and the forge are introduced on the banks of the Volga, the Oby, and the Lena; and the fiercest of the Tartar hordes have been taught to tremble and obey. . . .

“The Empire of Rome was firmly established by the singular and perfect coalition of its members. . . . But this union was purchased by the loss of national freedom and military spirit; and the servile provinces, destitute of life and motion, expected their safety from the mercenary troops and governors, who were directed by the orders of a distant court. The happiness of a hundred millions depended on the personal merit of one or two men, perhaps children,



whose minds were corrupted by education, luxury, and despotic power. Europe is now divided into twelve powerful, though unequal kingdoms, three respectable commonwealths, and a variety of smaller, though independent, states; the chances of royal and ministerial talents are multiplied, at least with the number of its rulers; and a Julian<sup>1</sup> or Semiramis<sup>2</sup> may reign in the north, while Arcadius and Honorius<sup>3</sup> again slumber on my thrones of the House of Bourbon. The abuses of tyranny are restrained by the mutual influence of fear and shame; republics have acquired order and stability; monarchies have imbibed the principles of freedom, or, at least, of moderation; and some sense of honour and justice is introduced into the most defective constitutions by the general manners of the times. In peace, the progress of knowledge and industry is accelerated by the emulation of so many active rivals: in war, the European forces are exercised by temperate and undecisive contests. If a savage conqueror should issue from the deserts of Tartary, he must repeatedly vanquish the robust peasants of Russia, the numerous armies of Germany, the gallant nobles of France, and the intrepid freemen of Britain; who, perhaps, might confederate for their common defence. Should the victorious Barbarians carry slavery and desolation as far as the Atlantic Ocean, ten thousand vessels would transport beyond their pursuit the remains of civilized society; and Europe would revive and flourish in the American world which is already filled with her colonies and institutions.

“Cold, poverty, and a life of danger and fatigue fortify the strength and courage of Barbarians. In every age they have oppressed the polite and peaceful nations of China, India, and Persia, who neglected, and still neglect, to counterbalance these natural powers by the resources of military art. The warlike states of antiquity, Greece, Macedonia, and Rome, educated a race of soldiers; exercised their bodies, disciplined their courage, multiplied their forces by regular

<sup>1</sup> Frederick the Great of Prussia.

<sup>2</sup> Catherine the Great of Russia.

<sup>3</sup> Louis XVI of France and Charles III of Spain.

evolutions, and converted the iron which they possessed into strong and serviceable weapons. But this superiority insensibly declined with their laws and manners; and the feeble policy of Constantine and his successors armed and instructed, for the ruin of the empire, the rude valour of the Barbarian mercenaries. The military art has been changed by the invention of gunpowder; which enables man to command the two most powerful agents of nature, air and fire. Mathematics, chemistry, mechanics, architecture, have been applied to the service of war; and the adverse parties oppose to each other the most elaborate modes of attack and of defense. Historians may indignantly observe that the preparations of a siege would found and maintain a flourishing colony; yet we cannot be displeased that the subversion of a city should be a work of cost and difficulty, or that an industrious people should be protected by those arts, which survive and supply the decay of military virtue. Cannon and fortifications now form an impregnable barrier against the Tartar horse<sup>1</sup>; and Europe is secure from any future irruption of Barbarians; since, before they can conquer, they must cease to be barbarous. . . .

“Should these speculations be found doubtful or fallacious, there still remains a more humble source of comfort and hope. The discoveries of ancient and modern navigators, and the domestic history, or tradition, of the most enlightened nations, represent the *human savage*, naked both in mind and body, and destitute of laws, of arts, of ideas, and almost of language. From this abject condition, perhaps the primitive and universal state of man, he has gradually arisen to command the animals, to fertilize the earth, to traverse the ocean, and to measure the heavens. His progress in the improvement and exercise of his mental and corporeal faculties has been irregular and various, infinitely slow in the beginning, and increasing by degrees with redoubled velocity; ages of laborious ascent have been followed by a moment of rapid downfall; and the several climates of the globe have

<sup>1</sup> Gibbon forgets here that cannon and the fundamentals of modern military method came to Europe with the Mongols.

felt the vicissitudes of light and darkness. Yet the experience of four thousand years should enlarge our hopes, and diminish our apprehensions; we cannot determine to what height the human species may aspire in their advances towards perfection; but it may safely be presumed that no people, unless the face of nature is changed, will relapse into their original barbarism.

"Since the first discovery of the arts, war, commerce, and religious zeal have diffused, among the savages of the Old and New World, those inestimable gifts, they have been successively propagated; they can never be lost. We may therefore acquiesce in the pleasing conclusion that every age of the world has increased, and still increases, the real wealth, the happiness, the knowledge, and perhaps the virtue, of the human race."

### § 12

One of the most interesting aspects of this story of Europe in the seventeenth and earlier eighteenth century during the phase of the Grand and Parliamentary Monarchies, is the comparative quiescence of the peasants and workers. The insurrectionary fires of the fourteenth and fifteenth and sixteenth centuries seem to have died down. The acute economic clashes of the earlier period had been mitigated by rough adjustments. The discovery of America had revolutionized and changed the scale of business and industry, had brought a vast volume of precious metal for money into Europe, had increased and varied employment. For a time life and work ceased to be intolerable to the masses of the poor. This did not, of course, prevent much individual misery and discontent; the poor we have always had with us, but this misery and discontent was divided and scattered. It became inaudible.

In the earlier period the common people had had an idea to crystallize upon, the idea of Christian communism. They had found an educated leadership in the dissentient priests and doctors of the Wycliffe type. As the movement

for a revival in Christianity spent its force, as Lutheranism fell back for leadership from Jesus upon the Protestant Princes, this contact and reaction of the fresher minds of the educated class upon the illiterate mass was interrupted. However numerous a down-trodden class may be, and however extreme its miseries, it will never be able to make an effective protest until it achieves solidarity by the development of some common general idea. Educated men and men of ideas are more necessary to a popular political movement than to any other political process. A monarchy learns by ruling, and an oligarchy of any type has the education of affairs; but the common man, the peasant or toiler, has no experience in large matters, and can exist politically only through the services, devotion, and guidance of educated men. The Reformation, the Reformation that succeeded, the Reformation that is of the Princes, by breaking up educational facilities, largely destroyed the poor scholar and priest class whose persuasion of the crowd had rendered the Reformation possible.

The Princes of the Protestant countries when they seized upon the national churches early apprehended the necessity of gripping the universities also. Their idea of education was the idea of capturing young clever people for the service of their betters. Beyond that they were disposed to regard education as a mischievous thing. The only way to an education, therefore, for a poor man was through patronage. Of course there was a parade of encouragement towards learning in all the Grand Monarchies, a setting up of Academies and Royal Societies, but these benefited only a small class of subservient scholars. The church also had learnt to distrust the educated poor man. In the great aristocratic "crowned republic" of Britain there was the same shrinkage of educational opportunity. "Both the ancient universities," says Hammond, in his account of the eighteenth century, "were the universities of the rich. There is a passage in Macaulay, describing the state and pomp of Oxford at the end of the seventeenth century, 'when her Chancellor, the Venerable Duke of Ormonde, sat in his embroidered mantle

on his throne under the painted ceiling of the Sheldonian theatre, surrounded by hundreds of graduates robed according to their rank, while the noblest youths of England were solemnly presented to him as candidates for academical honours.' The university was a power, not in the sense in which that could be said of a university like the old university of Paris, whose learning could make Popes tremble, but in the sense that the university was part of the recognized machinery of aristocracy. What was true of the universities was true of the public schools. Education in England was the nursery not of a society, but of an order; not of a state, but of a race of owner-rulers." The missionary spirit had departed from education throughout Europe. To that quite as much as to the amelioration of things by a diffused prosperity, this phase of quiescence among the lower classes is to be ascribed. They had lost brains and speech, and they were fed. The community was like a pithed animal in the hands of the governing class.<sup>1</sup>

Moreover, there had been considerable changes in the proportions of class to class. One of the most difficult things for the historian to trace is the relative amount of the total property of the community held at any time by any particular class in that community. These things fluctuate very rapidly. The peasant wars of Europe indicate a phase of comparatively concentrated property when large masses of people could feel themselves expropriated and at a common disadvantage, and so take mass action. This was the time of the rise and prosperity of the Fuggers and their like, a time of international finance. Then with the vast importation of silver and gold and commodities into Europe from America, there seems to have been a restoration of a more diffused state of wealth. The poor were just as

<sup>1</sup>"Our present public school system is candidly based on training a dominant master class. But the uprising of the workers and modern conditions are rapidly making the *dominant method* unworkable. . . . The change in the aim of schools will transform all the organizations and methods of schools, and my belief is that this change will make the new era."—F. W. Sanderson, Head Master of Oundle, in an address at Leeds, February 16th, 1920.

miserable as ever, but there were perhaps not so many poor relatively, and they were broken up into a variety of types without any ideas in common. In Great Britain the agricultural life which had been dislocated by the confiscations of the Reformation had settled down again into a system of tenant farming under great landowners. Side by side with the large estates there was still, however, much common land for pasturing the beasts of the poorer villagers, and much land cultivated in strips upon communal lines. The middling sort of man, and even the poorer sort of man upon the land, were leading an endurable existence in 1700. The standard of life, the idea, that is, of what is an endurable existence, was, however, rising during the opening phase of Grand Monarchy; after a time the process of the upward concentration of wealth seems to have been resumed, the larger landowners began to acquire and crowd out the poorer free cultivators, and the proportion of poor people and of people who felt they were leading impoverished lives increased again. The bigger men were unchallenged rulers of Great Britain, and they set themselves to enact laws, the Enclosure Acts, that practically confiscated the unenclosed and common lands, mainly for the benefit of the larger landowners. The smaller men sank to the level of wage workers upon the land over which they had once possessed rights of cultivation and pasture.

The peasant in France and upon the Continent generally was not so expropriated; his enemy was not the landlord, but the taxgatherer; he was squeezed on his land instead of being squeezed off it.

As the eighteenth century progressed, it is apparent in the literature of the time that what to do with "the poor" was again exercising men's thoughts. We find such active-minded English writers as Defoe (1659-1731) and Fielding (1707-54) deeply exercised by this problem. But as yet there is no such revival of the communistic and equalitarian ideas of primitive Christianity as distinguished the time of Wycliffe and John Huss. Protestantism in breaking up the universal church had for a time broken up the idea of a

universal human solidarity. Even if the universal church of the Middle Ages had failed altogether to realize that idea, it had at any rate been the symbol of that idea.

Defoe and Fielding were men of a livelier practical imagination than Gibbon, and they realized something of the economic processes that were afoot in their time. So did Oliver Goldsmith (1728-74); his *Deserted Village* (1770) is a pamphlet on enclosures disguised as a poem. But Gibbon's circumstances had never brought economic facts very vividly before his eyes; he saw the world as a struggle between barbarism and civilization, but he perceived nothing of that other struggle over which he floated, the mute, unconscious struggle of the commonalty against able, powerful, rich, and selfish men. He did not perceive the accumulation of stresses that were presently to strain and break up all of the balance of his "twelve powerful, though unequal, kingdoms," his three "respectable commonwealths," and their rag, tag, and bobtail of independent minor princes, reigning dukes, and so forth. Even the civil war that had begun in the British colonies in America did not rouse him to the nearness of what we now call "Democracy."

From what we have been saying hitherto, the reader may suppose that the squeezing of the small farmer and the peasant off the land by the great landowners, the mere grabbing of commons and the concentration of property in the hands of a powerful privileged and greedy class, was all that was happening to the English land in the eighteenth century. So we do but state the worse side of the change. Concurrently with this change of ownership there was going on a great improvement in agriculture. There can be little doubt that the methods of cultivation pursued by the peasants, squatters, and small farmers were antiquated, wasteful, and comparatively unproductive, and that the larger private holdings and estates created by the Enclosure Acts were much more productive (one authority says twenty times more productive) than the old ways. The change was perhaps a necessary one and the evil of it was not that it was brought about, but that it was brought about so as to

increase both wealth and the numbers of the poor. Its benefits were intercepted by the bigger private owners. The community was injured to the great profit of this class.

And here we come upon one of the chief problems of our lives at the present time, the problem of the deflection of the profits of progress. For two hundred years there has been, mainly under the influence of the spirit of science and enquiry, a steady improvement in the methods of production of almost everything that humanity requires. If our sense of community and our social science were equal to the tasks required of them, there can be little question that this great increment in production would have benefited the whole community, would have given everyone an amount of education, leisure, and freedom such as mankind had never dreamt of before. But though the common standard of living has risen, the rise has been on a scale disproportionately small. The rich have developed a freedom and luxury unknown in the world hitherto, and there has been an increase in the proportion of rich people and stagnantly prosperous and unproductive people in the community; but that also fails to account for the full benefit. There has been much sheer waste. Vast accumulations of material and energy have gone into warlike preparations and warfare. Much has been devoted to the futile efforts of unsuccessful business competition. Huge possibilities have remained undeveloped because of the opposition of owners, fore-stallers, and speculators to their economical exploitation. The good things that science and organization have been bringing within the reach of mankind have not been taken methodically and used to their utmost, but they have been scrambled for, snatched at, seized upon by gambling adventurers and employed upon selfish and vain ends. The eighteenth century in Europe, and more particularly in Great Britain and Poland, was the age of private ownership. "Private enterprise," which meant in practice that everyone was entitled to get everything he could out of the business of the community, reigned supreme. No sense of obligation to the state in business matters is to be found in the ordinary



novels, plays, and such like representative literature of the time. Everyone is out "to make his fortune," there is no recognition that it is wrong to be an unproductive parasite on the community, and still less that a financier or merchant or manufacturer can ever be overpaid for his services to mankind. This was the moral atmosphere of the time, and those lords and gentlemen who grabbed the people's commons, assumed possession of the mines under their lands, and crushed down the yeoman farmers and peasants to the status of pauper labourers, had no idea that they were living anything but highly meritorious lives.

Concurrently with this change in Great Britain from traditional patch agriculture and common pasture to large and more scientific agriculture, very great changes were going on in the manufacture of commodities. In these changes Great Britain was, in the eighteenth century, leading the world. Hitherto, throughout the whole course of history from the beginnings of civilization, manufactures, building, and industries generally had been in the hands of craftsmen and small masters who worked in their own houses. They had been organized in guilds, and were mostly their own employers. They formed an essential and permanent middle class. There were capitalists among them, who let out looms and the like, supplied material, and took the finished product, but they were not big capitalists. There had been no rich manufacturers. The rich men of the world before this time had been great landowners or money-lenders and money manipulators or merchants. But in the eighteenth century, workers in certain industries began to be collected together into factories in order to produce things in larger quantities through a systematic division of labour, and the employer, as distinguished from the master worker, began to be a person of importance. Moreover, mechanical invention was producing machines that simplified the manual work of production, and were capable of being driven by water power and presently by steam. In 1765 Watt's steam engine was constructed, a very important date in the history of Industrialism.

The cotton industry was one of the first to pass into factory production (originally with water-driven machinery). The woollen industry followed. At the same time iron smelting, which had been restrained hitherto to small methods by the use of charcoal, resorted to coke made from coal, and the coal and iron industries also began to expand. The iron industry shifted from the wooded country of Sussex and Surrey to the coal districts. By 1800 this change-over of industry from a small scale business with small employers to a large scale production under big employers was well in progress. Everywhere there sprang up factories using first water, then steam power. It was a change of fundamental importance in human economy. From the dawn of history the manufacturer and craftsman had been, as we have said, a sort of middle-class townsman. The machine and the employer now superseded his skill, and he either became an employer of his fellows, and grew towards wealth and equality with the other rich classes, or he remained a worker and sank very rapidly to the level of a mere labourer. This great change in human affairs is known as the Industrial Revolution. Beginning in Great Britain, it spread during the nineteenth century throughout the world.

As the Industrial Revolution went on, a great gulf opened between employer and employed. In the past every manufacturing worker had the hope of becoming an independent master. Even the slave craftsmen of Babylon and Rome were protected by laws that enabled them to save and buy their freedom and to set up for themselves. But now a factory and its engines and machines became a vast and costly thing measured by the scale of the worker's pocket. Wealthy men had to come together to create an enterprise; credit and plant, that is to say, "Capital," were required. "Setting up for oneself" ceased to be a normal hope for an artisan. The worker was henceforth a worker from the cradle to the grave. Besides the landlords and merchants and the money-dealers who financed trading companies and lent their money to the merchants and the state, there arose

now this new wealth of industrial capital—a new sort of power in the state.

Of the working out of these beginnings we shall tell later. The immediate effect of the industrial revolution upon the countries to which it came, was to cause a vast, distressful shifting and stirring of the mute, uneducated, leaderless, and now more and more propertyless common population. The small cultivators and peasants, ruined and dislodged by the Enclosure Acts, drifted towards the new manufacturing regions, and there they joined the families of the impoverished and degraded craftsmen in the factories. Great towns of squalid houses came into existence. Nobody seems to have noted clearly what was going on at the time. It is the keynote of "private enterprise" to mind one's own business, secure the utmost profit, and disregard any other consequences. Ugly great factories grew up, built as cheaply as possible, to hold as many machines and workers as possible. Around them gathered the streets of workers' homes, built at the cheapest rate, without space, without privacy, barely decent, and let at the utmost rent that could be exacted. These new industrial centres were at first without schools, without churches. . . . The English gentleman of the closing decades of the eighteenth century read Gibbon's third volume and congratulated himself that there was henceforth no serious fear of the Barbarians, with this new barbarism growing up, with this metamorphosis of his countrymen into something dark and desperate, in full progress, within an easy walk perhaps of his door.

## XXXVI

### THE NEW DEMOCRATIC REPUBLICS OF AMERICA AND FRANCE

§ 1. *Inconveniences of the Great Power System.* § 2. *The Thirteen Colonies Before Their Revolt.* § 3. *Civil War is Forced Upon the Colonies.* § 4. *The War of Independence.* § 5. *The Constitution of the United States.* § 6. *Primitive Features of the United States Constitution.* § 7. *Revolutionary Ideas in France.* § 8. *The Revolution of the Year 1789.* § 9. *The French "Crowned Republic" of '89-'91.* § 10. *The Revolution of the Jacobins.* § 11. *The Jacobin Republic, 1792-94.* § 12. *The Directory.* § 13. *The Pause in Reconstruction and the Dawn of Modern Socialism.*

#### § 1

WHEN Gibbon, nearly a century and a half ago, was congratulating the world of refined and educated people that the age of great political and social catastrophes was past, he was neglecting many signs which we—in the wisdom of accomplished facts—could have told him portended far heavier jolts and dislocations than any he foresaw. We have told how the struggle of the sixteenth and seventeenth-century princes for ascendancies and advantages developed into a more cunning and complicated struggle of foreign offices, masquerading as idealized "Great Powers," as the eighteenth century wore on. The intricate and pretentious art of diplomacy developed. The "Prince" ceased to be a single and secretive Machiavellian schemer, and became merely the crowned symbol of a Machiavellian scheme. Prussia, Russia, and Austria

fell upon and divided Poland. France was baffled in profound schemes against Spain. Britain circumvented the "designs of France" in America and acquired Canada, and got the better of France in India. And then a remarkable thing occurred, a thing very shocking to European diplomacy. The British colonies in America flatly refused to have further part or lot in this game of "Great Powers." They objected that they had no voice and no great interest in these European schemes and conflicts, and they refused to bear any portion of the burthen of taxation these foreign policies entailed. "Taxation without representation is tyranny," this was their dominant idea.

Of course this decision to separate did not flash out complete and finished from the American mind at the beginning of these troubles. In America in the eighteenth century, just as in England in the seventeenth, there was an entire willingness, indeed a desire on the part of ordinary men, to leave foreign affairs in the hands of the king and his ministers. But there was an equally strong desire on the part of ordinary men to be neither taxed nor interfered with in their ordinary pursuits. These are incompatible wishes. Common men cannot shirk world politics and at the same time enjoy private freedom; but it has taken them countless generations to learn this. The first impulse in the American revolt against the government in Great Britain was therefore simply a resentment against the taxation and interference that followed necessarily from "foreign policy" without any clear recognition of what was involved in that objection. It was only when the revolt was consummated that the people of the American colonies recognized at all clearly that they had repudiated the Great Power view of life. The sentence in which that repudiation was expressed was Washington's injunction to "avoid entangling alliances." For a full century the united colonies of Great Britain in North America, liberated and independent as the United States of America, stood apart altogether from the blood-stained intrigues and conflicts of the European foreign offices. Soon after (1810 to 1823) they were able to extend

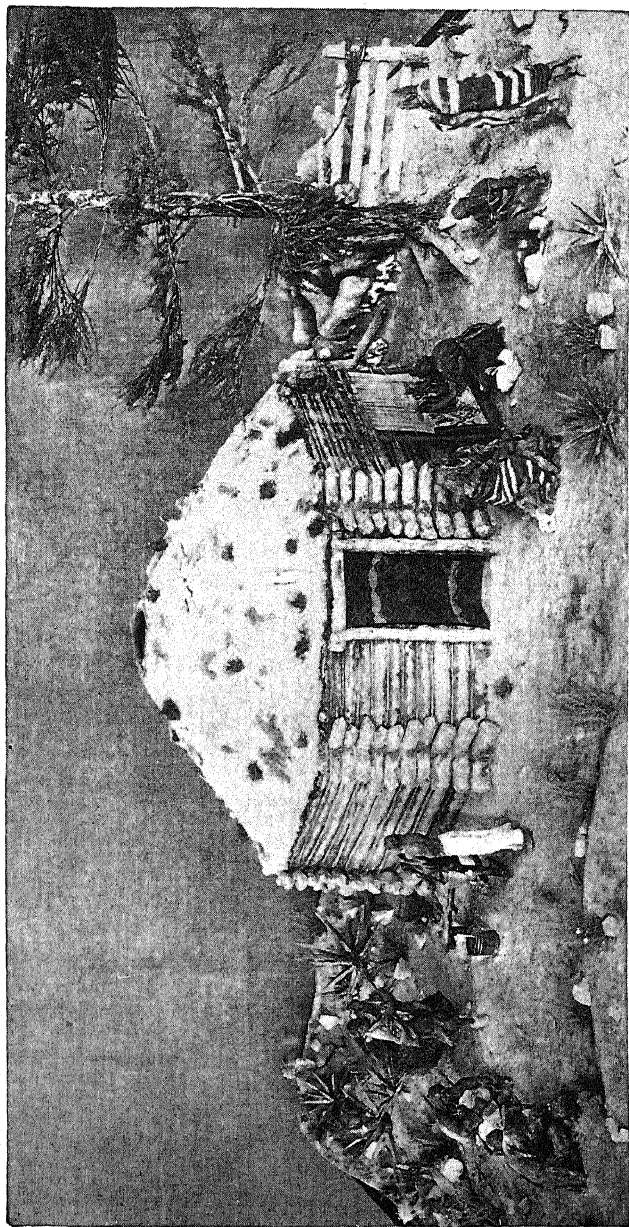
their principle of detachment to the rest of the continent, and to make all the New World "out of bounds" for the scheming expansionists of the old. When at length, in 1917, they were obliged to re-enter the arena of world politics, it was to bring the new spirit and new aims their aloofness had enabled them to develop into the tangle of international relationships. They were not, however, the first to stand aloof. Since the treaty of Westphalia (1648), the confederated states of Switzerland, in their mountain fastnesses, had sustained their right to exclusion from the schemes of kings and empires.

But since the North American peoples are now to play an increasingly important part in our history, it will be well to devote a little more attention than we have hitherto given to their development. We have already glanced at this story in § 8 of the preceding chapter. We will now tell a little more fully—though still in the barest outline—what these colonies were, whose recalcitrance was so disconcerting to the king and ministers of Great Britain in their diplomatic game against the rest of mankind.

## § 2

The extent of the British colonies in America in the early half of the eighteenth century is shown in the accompanying map. The darker shading represents the districts settled in 1700, the lighter the growth of the settlements up to 1760. It will be seen that the colonies were a mere fringe of population along the coast, spreading gradually inland and finding in the Alleghany and Blue Mountains a very serious barrier. Among the oldest of these settlements was the colony of Virginia, the name of which commemorates Queen Elizabeth, the Virgin Queen of England. The first expedition to found a colony in Virginia was made by Sir Walter Raleigh in 1584, but there was no permanent settlement at that time; and the real beginnings of Virginia date from the foundation of the Virginia Company in 1606 in the reign of James I (1603–25). The story of John Smith and



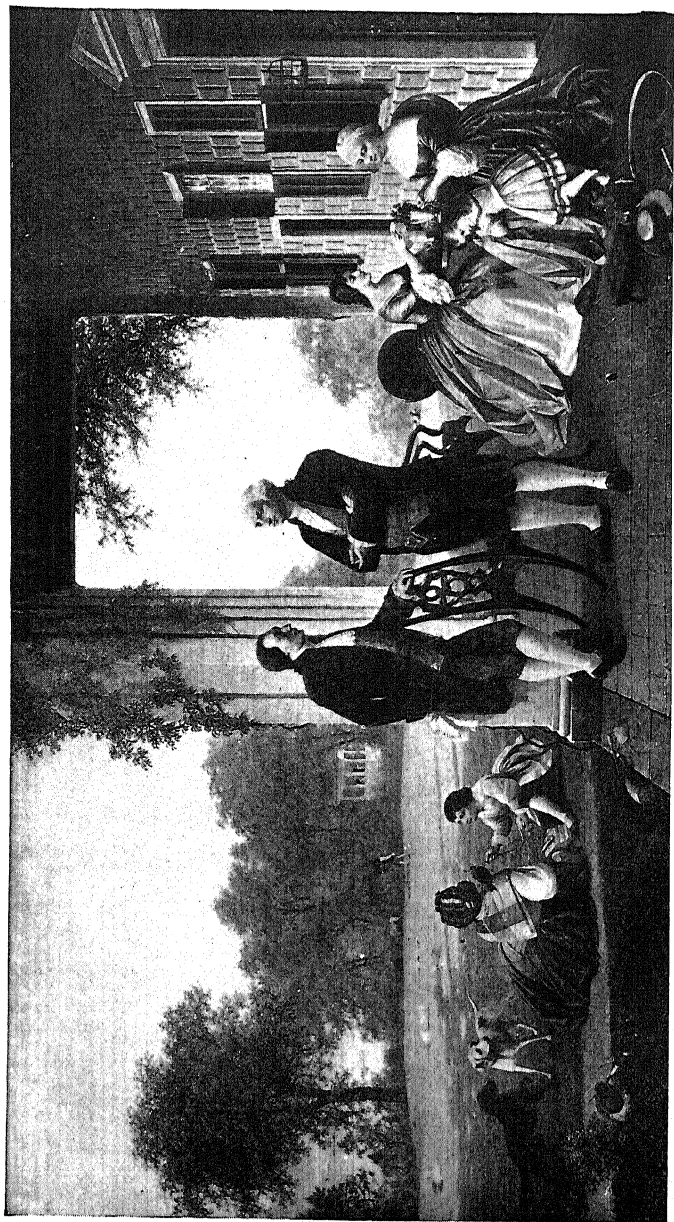


American Museum of Natural History

# AMERICAN INDIANS OF THE SOUTHWEST

A Navajo group





WASHINGTON AND LAFAYETTE AT MT. VERNON

From the painting by Rossiter at the Metropolitan Museum of Art. Young Lafayette (he was only twenty) holds a copy of the *Pennsylvania Gazette*. At the table are Mrs. Washington and Nellie Custis

north of Long Island Sound, to which the English laid claim. But it was only in 1620 that the northern region began to be settled, and that under fresh charters. The settlers of the northern region (New England), which became Connecticut, New Hampshire, Rhode Island, and Massachusetts, were men of a different stamp to the Virginia people. They were Protestants discontented with the Anglican Church compromise, and the republican-spirited men hopeless of resistance to the Grand Monarchy of James I and Charles I. Their pioneer ship was the *Mayflower*, which founded New Plymouth in 1620. The dominant northern colony was Massachusetts. Differences in religious method and in ideas of toleration led to the separation of the three other Puritan colonies from Massachusetts. It illustrates the scale upon which things were done in those days that the whole state of New Hampshire was claimed as belonging to a certain Captain John Mason, and that he offered to sell it to the king (King Charles II in 1671) in exchange for the right to import 300 tons of French wine free of duty—an offer which was refused. The present state of Maine was bought by Massachusetts from its alleged owner for twelve hundred and fifty pounds.

In the Civil War that ended with the decapitation of Charles I the sympathies of New England were for the Parliament, and Virginia was Cavalier; but two hundred and fifty miles separated these settlements, and there were no serious hostilities. With the return of the monarchy in 1660, there was a vigorous development of British colonization in America. Charles II and his associates were greedy for gain, and the British crown had no wish to make any further experiments in illegal taxation at home. But the undefined relations of the colonies to the crown and the British government seemed to afford promise of financial adventure across the Atlantic. There was a rapid development of plantations and proprietary colonies. Lord Baltimore had already in 1632 set up a colony that was to be a home of religious freedom for Catholics under the attractive name of Maryland, to the north and east of Virginia; and

now the Quaker Penn (whose father had rendered valuable services to Charles II) established himself to the north at Philadelphia and founded the colony of Pennsylvania. Its main boundary with Maryland and Virginia was delimited by two men, Mason and Dixon, whose "Mason and Dixon's Line" was destined to become a very important line indeed in the later affairs of the United States. Carolina, which was originally an unsuccessful French Protestant establishment, and which owed its name not to Charles (Carolus) II of England, but to Charles IX of France, had fallen into English hands and was settled at several points. Between Maryland and New England stretched a number of small Dutch and Swedish settlements, of which the chief town was New Amsterdam. These settlements were captured from the Dutch by the British in 1664, lost again in 1673, and restored by treaty when Holland and England made peace in 1674. Thereby the whole coast from Maine to Carolina became in some form or other a British possession. To the south the Spanish were established; their headquarters were at Fort St. Augustine in Florida, and in 1732 the town of Savannah was settled by a philanthropist Oglethorpe from England, who had taken pity on the miserable people imprisoned for debt in England, and rescued a number of them from prison to become the founders of a new colony, Georgia, which was to be a bulwark against the Spanish. So by the middle of the eighteenth century we have these settlements along the American coastline: the New England group of Puritans and free Protestants, Maine (belonging to Massachusetts), New Hampshire, Connecticut, Rhode Island, and Massachusetts; the captured Dutch group, which was now divided up into New York (New Amsterdam rechristened), New Jersey, and Delaware (Swedish before it was Dutch, and in its earliest British phase attached to Pennsylvania); then came catholic Maryland; Cavalier Virginia; Carolina (which was presently divided into North and South) and Oglethorpe's Georgia. Later on a number of Tyrolese Protestants took refuge in Georgia, and there was a considerable immigration of

a good class of German cultivators into Pennsylvania. Such were the miscellaneous origins of the citizens of the Thirteen Colonies. The possibility of their ever becoming closely united would have struck an impartial observer in 1760 as being very slight. Superadded to the initial differences of origin, fresh differences were created by climate. North of the Mason and Dixon line farming was practised mainly upon British or Central European lines by free white cultivators. The settled country of New England took on a likeness to the English countryside; considerable areas of Pennsylvania developed fields and farmhouses like those of South Germany. The distinctive conditions in the north had, socially, important effects. Masters and men had to labour together as backwoodsmen, and were equalized in the process. They did not start equally; many "servants" are mentioned in the roster of the *Mayflower*. But they rapidly became equal under colonial conditions; there was, for instance, a vast tract of land to be had for the taking, and the "servant" went off and took land like his master. The English class system disappeared. Under colonial conditions there arose equality "in the faculties both of body and mind," and an individual independence of judgment impatient of interference from England. But south of the Mason and Dixon line tobacco growing began, and the warmer climate encouraged the establishment of plantations with gang labour. Red Indian captives were tried but found to be too homicidal; Cromwell sent Irish prisoners of war to Virginia, which did much to reconcile the Royalist planters to republicanism; convicts were sent out, and there was a considerable trade in kidnapped children, who were "spirited away" to America to become apprentices or bond slaves. But the most convenient form of gang labour proved to be that of negro slaves. The first negro slaves were brought to Jamestown in Virginia by a Dutch ship as early as 1620. By 1700 negro slaves were scattered all over the states, but Virginia, Maryland, and the Carolinas were their chief regions of employment, and while the communities to the north were communities of not very rich and not very

poor farming men, the south developed a type of large proprietor and a white community of overseers and professional men subsisting on slave labour. Slave labour was a necessity to the social and economic system that had grown up in the south; in the north the presence of slaves was unnecessary and in some respects inconvenient. Conscientious scruples about slavery were more free, therefore, to develop and flourish in the northern atmosphere. To this question of the revival of slavery in the world we must return when we come to consider the perplexities of American Democracy. Here we note it simply as an added factor in the heterogeneous mixture of the British Colonies.

But if the inhabitants of the Thirteen Colonies were miscellaneous in their origins and various in their habits and sympathies, they had three very strong antagonisms in common. They had a common interest against the Red Indians. For a time they shared a common dread of French conquest and dominion. And thirdly, they were all in conflict with the claims of the British crown and the commercial selfishness of the narrow oligarchy who dominated the British Parliament and British affairs.

So far as the first danger went, the Indians were a constant evil, but never more than a threat of disaster. They remained divided against themselves. Yet they had shown possibilities of combination upon a larger scale. The Five Nations of the Iroquois (see map, p. 966) was a very important league of tribes. But it never succeeded playing off the French against the English to secure itself, and no Red Indian Jengis Khan ever arose among these nomads of the new world. The French aggression was a more serious threat. The French never made settlements in America on a scale to compete with the English, but their government set about the encirclement of the colonies and their subjugation in a terrifyingly systematic manner. The English in America were colonists; the French were explorers, adventurers, agents, missionaries, merchants, and soldiers. Only in Canada did they strike root. French statesmen sat over maps and dreamt dreams, and their dreams are to be

seen in our map in the chain of forts creeping southward from the Great Lakes and northward up the Mississippi and Ohio rivers. The struggle of France and Britain was a world-wide struggle. It was decided in India, in Germany, and on the high seas. In the Peace of Paris (1763) the French gave England Canada, and relinquished Louisiana to the inert hands of declining Spain. It was the complete abandonment of America by France. The lifting of the French danger left the colonists unencumbered to face their third common antagonist—the crown and government of their mother land.

### § 3

We have noted in the previous chapter how the governing class of Great Britain steadily acquired the land and destroyed the liberty of the common people throughout the eighteenth century, and how greedily and blindly the new industrial revolution was brought about. We have noted also how the British Parliament, through the decay of the representative methods of the House of Commons, had become both in its upper and lower houses merely the instrument of government through the big landowners. Both these big property-holders and the crown were deeply interested in America; the former as private adventurers, the latter partly as representing the speculative exploitations of the Stuart kings, and partly as representing the state in search of funds for the expenses of foreign policy, and neither lords nor crown were disposed to regard the traders, planters, and common people of the colonies with any more consideration than they did the yeomen and small cultivators at home. At bottom the interests of the common man in Great Britain, Ireland, and America were the same. Each was being squeezed by the same system. But while in Britain oppressor and oppressed were closely tangled up in one intimate social system, in America the crown and the exploiter were far away, and men could get together and develop a sense of community against their common enemy.

Moreover, the American colonist had the important advantage of possessing a separate and legal organ of resistance to the British government in the assembly or legislature of his colony that was necessary for the management of local affairs. The common man in Britain, cheated out of his proper representation in the Commons, had no organ, no centre of expression and action for his discontents.

It will be evident to the reader, bearing in mind the variety of the colonies, that here was the possibility of an endless series of disputes, aggressions, and counter-aggressions. The story of the development of irritations between the colonies and Britain is a story far too intricate, subtle, and lengthy for the scheme of this Outline. Suffice it that the grievances fell under three main heads: attempts to secure for British adventurers or the British government the profits of the exploitation of new lands; systematic restrictions upon trade designed to keep the foreign trade of the colonies entirely in British hands, so that the colonial exports all went through Britain and only British-made goods were used in America; and finally attempts at taxation through the British Parliament as the supreme taxing authority of the empire. Under the pressure of this triple system of annoyances, the American colonists were forced to do a very considerable amount of hard political thinking. Such men as Patrick Henry and James Otis began to discuss the fundamental ideas of government and political association very much as they had been discussed in England in the great days of Cromwell's Commonwealth. They began to deny both the divine origin of kingship and the supremacy of the British Parliament, and (James Otis, 1762) to say such things as:—

“God made all men naturally equal.

“Ideas of earthly superiority are educational, not innate.

“Kings were made for the good of the people, and not the people for them.

“No government has a right to make slaves of its subjects.

“Though most governments are *de facto* arbitrary, and

consequently the curse and scandal of human nature, yet none are *de jure* arbitrary."

Some of which propositions reach far.

This ferment in the political ideas of the Americans was started by English leaven. One very influential English writer was John Locke (1632-1704), whose *Two Treatises on Civil Government* may be taken, as much as any one single book can be taken in such cases, as the point of departure for modern democratic ideas. He was the son of a Cromwellian soldier, he was educated at Christ Church, Oxford, during the republican ascendancy, he spent some years in Holland in exile, and his writings form a bridge between the bold political thinking of those earlier republican days and the revolutionary movement both in America and France.

But men do not begin to act upon theories. It is always some real danger, some practical necessity, that produces action; and it is only after action has destroyed old relationships and produced a new and perplexing state of affairs that theory comes to its own. Then it is that theory is put to the test. The discord in interests and ideas between the colonists was brought to a fighting issue by the obstinate resolve of the British Parliament after the peace of 1763 to impose taxation upon the American colonies. Britain was at peace and flushed with successes; it seemed an admirable opportunity for settling accounts with these recalcitrant settlers. But the great British property-owners found a power beside their own, of much the same mind with them, but a little divergent in its ends—the reviving crown. King George III, who had begun his reign in 1760, was resolved to be much more of a king than his two German predecessors. He could speak English; he claimed to "glory in the name of Briton"—and indeed it is not a bad name for a man without a perceptible drop of English, Welsh, or Scotch blood in his veins. In the American colonies and the overseas possessions generally, with their indefinite charters or no charters at all, it seemed to him that the crown might claim authority and obtain resources and powers absolutely

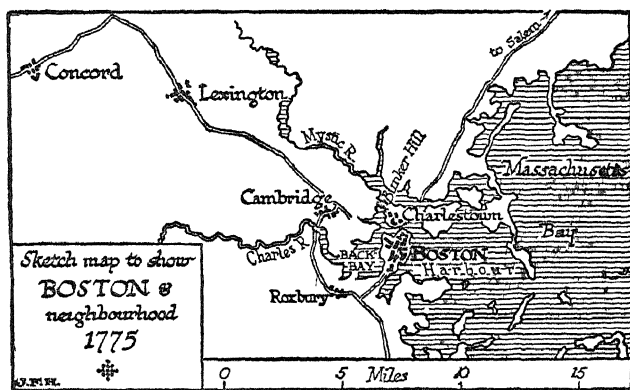


denied to it by the strong and jealous aristocracy in Britain. This inclined many of the Whig noblemen to a sympathy with the colonists that they might not otherwise have shown. They had no objection to the exploitation of the colonies in the interests of British "private enterprise," but they had very strong objections to the strengthening of the crown by that exploitation so as to make it presently independent of themselves.

The war that broke out was therefore in reality not a war between Britain and the colonists, it was a war between the British government and the colonists, with a body of Whig noblemen and a considerable amount of public feeling in England on the side of the latter. An early move after 1763 was an attempt to raise revenue for Britain in the colonies by requiring that newspapers and documents of various sorts should be stamped. This was stiffly resisted, the British crown was intimidated, and the Stamp Acts were repealed (1776). Their repeal was greeted by riotous rejoicings in London, more hearty even than those in the colonies.

But the Stamp Act affair was only one eddy in a turbulent stream flowing towards civil war. Upon a score of pretexts, and up and down the coast, the representatives of the British government were busy asserting their authority and making British government intolerable. The quartering of soldiers upon the colonists was a great nuisance. Rhode Island was particularly active in defying trade restrictions; the Rhode Islanders were "free traders,"—that is to say, smugglers; a government schooner, the *Gaspee*, ran aground off Providence; she was surprised, boarded, and captured by armed men in boats, and burnt. In 1773, with a total disregard of the existing colonial tea trade, special advantages for the importation of tea into America were given by the British Parliament to the East India Company. It was resolved by the colonists to refuse and boycott this tea. When the tea importers at Boston showed themselves resolute to land their cargoes, a band of men disguised as Indians, in the presence of a great crowd of people, boarded the three tea ships and threw the tea overboard (December 16th, 1773).

All 1774 was occupied in the gathering up of resources on either side for the coming conflict. It was decided by the British Parliament in the spring of 1774 to punish Boston by closing her port. Her trade was to be destroyed unless she accepted that tea. It was a quite typical instance of that silly "firmness" which shatters empires. In order to enforce this measure, the British troops were concentrated at Boston under General Gage. The colonists took counter-measures. The first colonial congress met at Philadelphia in September, at which twelve colonies were represented: Massachusetts, Connecticut, New Hampshire, Rhode Island,



New York, New Jersey, Pennsylvania, Maryland, Delaware, Virginia, and North and South Carolina. Georgia was not present. True to the best English traditions, the Congress documented its attitude by a "Declaration of Rights." Practically this Congress was an insurrectionary government, but no blow was struck until the spring of 1775. Then came the first shedding of blood.

Two of the American leaders, Hancock and Samuel Adams, had been marked down by the British government for arrest and trial for treason; they were known to be at Lexington, about eleven miles from Boston; and in the night of April 18th, 1775, Gage set his forces in motion for their arrest.

That night was a momentous one in history. The move-

ment of Gage's troops had been observed, signal lanterns were shown from a church tower in Boston, and two men, Dawes and Paul Revere, stole away in boats across the Back Bay to take horse and warn the country-side. The British were also ferried over the water, and as they marched through the night towards Lexington, the firing of signal cannon and the ringing of church bells went before them. As they entered Lexington at dawn, they saw a little company of men drawn up in military fashion. It seems that the British fired first. There was a single shot and then a volley, and the little handful decamped, apparently without any answering shots, leaving eight dead and nine wounded upon the village green.

The British then marched on to Concord, ten miles further, occupied the village, and stationed a party on the bridge at that place. The expedition had failed in its purpose of arresting Hancock and Adams, and the British commander seems to have been at a loss what to do next. Meanwhile the colonial levies were coming up from all directions, and presently the picket upon the bridge found itself subjected to an increasing fire from a gathering number of assailants firing from behind trees and fences. A retreat to Boston was decided upon. It was a disastrous retreat. The country had risen behind the British; all the morning the colonials had been gathering. Both sides of the road were now swarming with sharpshooters firing from behind rock and fence and building; the soldiers were in conspicuous scarlet uniforms, with yellow facings and white gaiters and cravats; this must have stood out very vividly against the cold sharp colours of the late New England spring; the day was bright, hot, and dusty, and they were already exhausted by a night march. Every few yards a man fell, wounded or killed. The rest tramped on, or halted to fire an ineffectual volley. No counter-attack was possible. Their assailants lurked everywhere. At Lexington there were British reinforcements and two guns, and after a brief rest the retreat was resumed in better order. But the sharpshooting and pursuit was pressed to the river, and after the British had crossed

back into Boston, the colonial levies took up their quarters in Cambridge and prepared to blockade the city.

#### § 4

So the war began. It was not a war that promised a conclusive end. The colonists had no one vulnerable capital; they were dispersed over a great country, with a limitless wilderness behind it, and so they had great powers of resistance. They had learnt their tactics largely from the Indians; they could fight well in open order, and harry and destroy troops in movement. But they had no disciplined army that could meet the British in a pitched battle, and little military equipment; and their levies grew impatient at a long campaign, and tended to go home to their farms. The British, on the other hand, had a well-drilled army, and their command of the sea gave them the power of shifting their attack up and down the long Atlantic seaboard. They were at peace with all the world. But the king was stupid and greedy to interfere in the conduct of affairs; the generals he favoured were stupid "strong men" or flighty men of birth and fashion; and the heart of England was not in the business. He trusted rather to being able to blockade, raid, and annoy the colonists into submission than to a conclusive conquest and occupation of the land. But the methods employed, particularly the use of hired German troops, who still retained the cruel traditions of the Thirty Years' War, and of Indian auxiliaries, who harried the outlying settlers, did not so much weary the Americans of the war as of the British. The Congress, meeting for the second time in 1775, endorsed the actions of the New England colonists, and appointed George Washington the American commander-in-chief. In 1777, General Burgoyne, in an attempt to get down to New York from Canada, was defeated at Freeman's Farm on the Upper Hudson, and surrounded and obliged to capitulate at Saratoga with his whole army. This disaster encouraged the French and Spanish to come into the struggle on the side of the colonists.

The French fleet did much to minimize the advantage of the British at sea. General Cornwallis was caught in the Yorktown peninsula in Virginia in 1781, and capitulated with his army. The British Government, now heavily engaged with France and Spain in Europe, was at the end of its resources.

At the outset of the war the colonists in general seem to have been as little disposed to repudiate monarchy and claim complete independence as were the Hollanders in the opening phase of Philip II's persecutions and follies. The separatists were called radicals; they were mostly extremely democratic, as we should say in England to-day, and their advanced views frightened many of the steadier and wealthier colonists, for whom class privileges and distinctions had considerable charm. But early in 1776 an able and persuasive Englishman, Thomas Paine, published a pamphlet at Philadelphia with the title of *Common Sense*, which had an enormous effect on public opinion. Its style was rhetorical by modern standards. "The blood of the slain, the weeping voice of Nature cries, 'Tis time to part.'" and so forth. But its effects were very great. It converted thousands to the necessity of separation. The turn-over of opinion, once it had begun, was rapid.

Only in the summer of 1776 did Congress take the irrevocable step of declaring for separation. "The Declaration of Independence," another of those exemplary documents which it has been the peculiar service of the English to produce for mankind, was drawn up by Thomas Jefferson; and after various amendments and modifications it was made the fundamental document of the United States of America. There were two noteworthy amendments to Jefferson's draft. He had denounced the slave trade fiercely, and blamed the home government for interfering with colonial attempts to end it. This was thrown out, and so too was a sentence about the British: "we must endeavour to forget our former love for them . . . we might have been a free and a great people together."

Towards the end of 1782 the preliminary articles of the

treaty in which Britain recognized the complete independence of the United States were signed at Paris. The end of the war was proclaimed on April 19th, 1783, exactly eight years after Paul Revere's ride, and the retreat of Gage's men from Concord to Boston. The Treaty of Peace was finally signed at Paris in September.

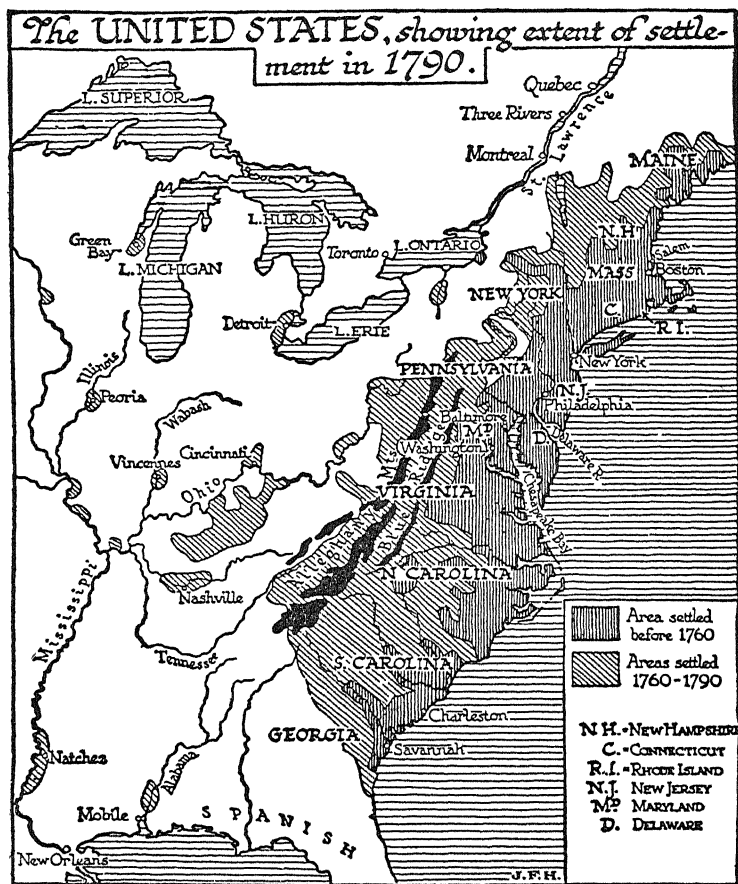
### § 5

From the point of view of human history, the way in which the Thirteen States became independent is of far less importance than the fact that they did become independent. And with the establishment of their independence came a new sort of community into the world. It was like something coming out of an egg. It was a western European civilization that had broken free from the last traces of Empire and Christendom; it had not a vestige of monarchy left and no state religion. It had no dukes, princes, counts, nor any sort of title-bearers claiming to ascendancy or respect as a right. Even its unity was as yet a mere unity for defence and freedom. It was in these respects such a clean start in political organization as the world had not seen before. The absence of any binding religious tie is especially noteworthy. It had a number of forms of Christianity, its spirit was indubitably Christian; but as a state document of 1791 explicitly declared, "The government of the United States is not in any sense founded on the Christian religion."<sup>1</sup> The new community had in fact gone right down to the bare and stripped fundamentals of human association, and it was building up a new sort of society and a new sort of state upon those foundations.

Here were about four million people scattered over vast areas with very slow and difficult means of intercommunication, poor as yet, but with the potentiality of limitless wealth, setting out to do in reality on a huge scale such a feat of construction as the Athenian philosophers twenty-two centuries before had done in imagination and theory.

<sup>1</sup> The Tripoli Treaty, see Channing, vol. iii, chap. xviii.

This situation marks a definite stage in the release of man from precedent and usage, and a definite step forward towards the conscious and deliberate reconstruction of his



circumstances to suit his needs and aims. It was a new method becoming practical in human affairs. The modern states of Europe have been evolved institution by institu-

tion slowly and planlessly out of preceding things. The United States were planned and made.

In one respect, however, the creative freedom of the new nation was very seriously restricted. This new sort of community and state was not built upon a cleared site. It was not even so frankly an artificiality as some of the later Athenian colonies, which went out from the mother city to plan and build brand new city states with brand new constitutions. The thirteen colonies by the end of the war had all of them constitutions either like that of Connecticut and Rhode Island dating from their original charters (1662) or, as in the case of the rest of the states, where a British governor had played a large part in the administration, re-made during the conflict. But we may well consider these reconstructions as contributory essays and experiments in the general constructive effort.

Upon the effort certain ideas stood out very prominently. One is the idea of political and social equality. This idea, which we saw coming into the world as an extreme and almost incredible idea in the age between Buddha and Jesus of Nazareth, is now asserted in the later eighteenth century as a practical standard of human relationship. Says the fundamental statement of Virginia: "All men are by nature equally free and independent," and it proceeds to rehearse their "rights," and to assert that all magistrates and governors are but "trustees and servants" of the commonwealth. All men are equally entitled to the free exercise of religion. The king by right, the aristocrat, the "natural slave," the god king, and the god have all vanished from this political scheme—so far as these declarations go. Most of the states produced similar preludes to government. The Declaration of Independence said that "all men are born equal." It is everywhere asserted in eighteenth-century terms that the new community is to be—to use the phraseology we have introduced in an earlier chapter—a community of will and not a community of obedience. But the thinkers of that time had a rather clumsier way of putting the thing, they imagined a sort of individual choice of and assent to



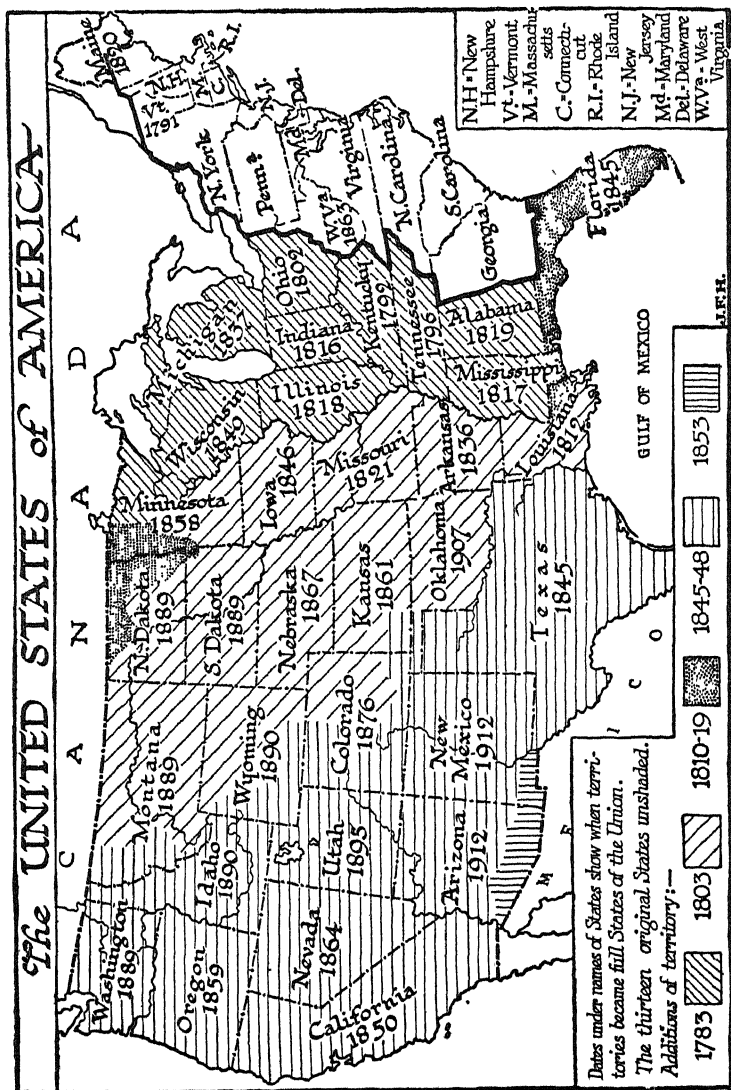
citizenship that never in fact occurred—the so-called Social Contract. The Massachusetts preamble, for instance, asserts that the state is a voluntary association, “by which the whole people covenants with each citizen and each citizen with the whole people that all shall be governed by certain laws for the common good.”

Now it will be evident that most of these fundamental statements are very questionable statements. Men are not born equal, they are not born free; they are born a most various multitude enmeshed in an ancient and complex social net. Nor is any man invited to sign the social contract or, failing that, to depart into solitude. These statements, literally interpreted, are so manifestly false that it is impossible to believe that the men who made them intended them to be literally interpreted. They made them in order to express certain elusive but profoundly important ideas—ideas that after another century and a half of thinking the world is in a better position to express. Civilization, as this outline has shown, arose as a community of obedience, and was essentially a community of obedience. But generation after generation the spirit was abused by priests and rulers. There was a continual influx of masterful will from the forests, parklands, and steppes. The human spirit had at last rebelled altogether against the blind obediences of the common life; it was seeking—and at first it was seeking very clumsily—to achieve a new and better sort of civilization that should also be a community of will. To that end it was necessary that every man should be treated as the sovereign of himself; his standing was to be one of fellowship and not of servility. His real use, his real importance depended upon his individual quality.

The method by which these creators of political America sought to secure this community of will was an extremely simple and crude one. They gave what was for the time, and in view of American conditions, a very wide franchise. Conditions varied in the different states; the widest franchise was in Pennsylvania, where every adult male taxpayer voted, but, compared with Britain, all the United States

were well within sight of manhood suffrage by the end of the eighteenth century. These makers of America also made efforts, considerable for their times, but puny by more modern standards, to secure a widely diffused common education. The information of the citizens as to what was going on at home and abroad, they left, apparently without any qualms of misgiving, to public meetings and the privately owned printing press.

The story of the various state constitutions, and of the constitution of the United States, as a whole, is a very intricate one, and we can only deal with it here in the broadest way. The most noteworthy point in a modern view is the disregard of women as citizens. The American community was a simple, largely agricultural community, and most women were married; it seemed natural that they should be represented by their men folk. But New Jersey admitted a few women to vote on a property qualification. Another point of great interest is the almost universal decision to have two governing assemblies, confirming or checking each other, on the model of the Lords and Commons of Britain. Only Pennsylvania had a single representative chamber, and that was felt to be a very dangerous and ultra-democratic state of affairs. Apart from the argument that legislation should be slow as well as sure, it is difficult to establish any necessity for this "bi-cameral" arrangement. It seems to have been a fashion with constitution planners in the eighteenth century rather than a reasonable imperative. The British division was an old one; the Lords, the original parliament, was an assembly of "notables," the leading men of the kingdom; the House of Commons came in as a new factor, as the elected spokesman of the burghers and the small landed men. It was a little too hastily assumed in the eighteenth century that the commonalty would be given to wild impulses and would need checking; opinion was for democracy, but for democracy with powerful brakes always on, whether it was going up hill or down. About all the upper houses there was therefore a flavour of selectness; they were elected on a more limited franchise. This idea of making an upper



chamber which shall be a stronghold for the substantial man does not appeal to modern thinkers so strongly as it did to the men of the eighteenth century, but the bi-cameral idea in another form still has its advocates. They suggest that a community may with advantage consider its affairs from two points of view—through the eyes of a body elected to represent trades, industries, professions, public services, and the like, a body representing *function*, and through the eyes of a second body elected by localities to represent *communities*. For the members of the former a man would vote by his calling, for the latter by his district of residence. They point out that the British House of Lords is in effect a body representing function, in which the land, the law, and the church are no doubt disproportionately represented, but in which industrialism, finance, the great public services, art, science, and medicine, also find places; and that the British House of Commons is purely geographical in its reference. It has even been suggested in Britain that there should be “labour peers,” selected from among the leaders of the great industrial trade unions. But these are speculations beyond our present scope.

The Central Government of the United States was at first a very feeble body, a Congress of representatives of the thirteen governments, held together by certain Articles of Confederation. This Congress was little more than a conference of sovereign representatives; it had no control, for instance, over the foreign trade of each state, it could not coin money or levy taxes by its own authority. When John Adams, the first minister from the United States to England, went to discuss a commercial treaty with the British foreign secretary, he was met by a request for thirteen representatives, one from each of the states concerned. He had to confess his inadequacy to make binding arrangements. The British presently began dealing with each state separately over the head of Congress, and they retained possession of a number of posts in the American territory about the great lakes because of the inability of Congress to hold these regions effectually. In another urgent matter Congress

proved equally feeble. To the west of the thirteen states stretched limitless lands into which settlers were now pushing in ever-increasing numbers. Each of the states had indefinable claims to expansion westward. It was evident to every clear-sighted man that the jostling of these claims must lead in the long run to war, unless the Central Government could take on their apportionment. The feebleness of the Central Government, its lack of concentration, became so much of an inconvenience and so manifest a danger that there was some secret discussion of a monarchy, and Nathaniel Gorham of Massachusetts, the president of Congress, caused Prince Henry of Prussia, the brother of Frederick the Great, to be approached on the subject. Finally a constitutional convention was called in 1787 at Philadelphia, and there it was that the present constitution of the United States was in its broad lines hammered out. A great change of spirit had gone on during the intervening years, a widespread realization of the need of unity.

When the Articles of Confederation were drawn up, men had thought of the people of Virginia, the people of Massachusetts, the people of Rhode Island, and the like; but now there appears a new conception, "the people of the United States." The new government, with the executive President, the senators, congressmen, and the Supreme Court, that was now created, was declared to be the government of "the people of the United States"; it was a synthesis and not a mere assembly. It said "we the people," and not "we the states," as Lee of Virginia bitterly complained. It was to be a "federal" and not a confederate government.

State by state the new constitution was ratified, and in the spring of 1788 the first congress upon the new lines assembled at New York, under the presidency of George Washington, who had been the national commander-in-chief throughout the War of Independence. The constitution then underwent considerable revision, and Washington upon the Potomac was selected as the Federal capital.

## § 6

In an earlier chapter we have described the Roman republic, and its mixture of modern features with dark superstition and primordial savagery, as the Neanderthal anticipation of the modern democratic state. A time may come when people will regard the contrivances and machinery of the American Constitution as the political equivalents of the implements and contrivances of Neolithic man. They have served their purpose well, and under their protection the people of the States have grown into one of the greatest, most powerful, and most civilized communities that the world has yet seen; but there is no reason in that for regarding the American constitution as a thing more final and inalterable than the pattern of street railway that overshadows many New York thoroughfares, or the excellent and homely type of house architecture that still prevails in Philadelphia. These things also have served a purpose well, they have their faults, and they can be improved. Our political contrivances; just as much as our domestic and mechanical contrivances, need to undergo constant revision as knowledge and understanding grow.

Since the American constitution was planned, our conception of history and our knowledge of collective psychology have undergone very considerable development. We are beginning to see many things in the problem of government to which the men of the eighteenth century were blind; and, courageous as their constructive disposition was in relation to whatever political creation had gone before, it fell far short of the boldness which we in these days realize to be needful if this great human problem of establishing a civilized community of will in the earth is to be solved. They took many things for granted that now we know need to be made the subject of the most exacting scientific study and the most careful adjustment. They thought it was only necessary to set up schools and colleges, with a grant of land for

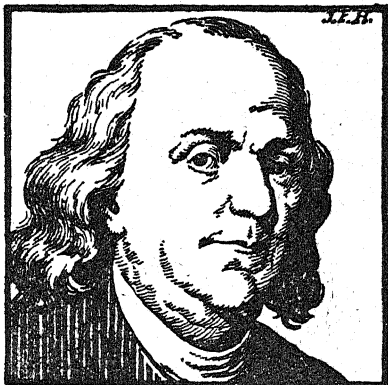
maintenance, and that they might then be left to themselves. But education is not a weed that will grow lustily in any soil, it is a necessary and delicate crop that may easily wilt and degenerate. We learn nowadays that the under-development of universities and educational machinery is like some under-development of the brain and nerves, which hampers the whole growth of the social body. By European standards, by the standard of any state that has existed hitherto, the level of the common education of America is high; but by the standard of what it might be, America is an uneducated country. And those fathers of America thought also that they had but to leave the press free, and everyone would live in the light. They did not realize that a free press could develop a sort of constitutional venality due to its relations with advertisers, and that large newspaper proprietors could become buccaneers of opinion and insensate wreckers of good beginnings. And, finally, the makers of America had no knowledge of the complexities of vote manipulation. The whole science of elections was beyond their ken, they knew nothing of the need of the transferable vote to prevent the "working" of elections by specialized organizations, and the crude and rigid methods they adopted left their political system the certain prey of the great party machines that have robbed American democracy of half its freedom and most of its political soul. Politics became a trade, and a very base trade; decent and able men, after the first great period, drifted out of politics and attended to "business," and what I have called elsewhere the "sense of the state"<sup>1</sup> declined. Private enterprise ruled in many matters of common concern because political corruption made collective enterprise impossible.

Yet the defects of the great political system created by the Americans of the revolutionary period did not appear at once. For several generations the history of the United States was one of rapid expansion and of an amount of freedom, homely happiness, and energetic work unparalleled in the world's

<sup>1</sup> Wells, *The Future in America*.

history. And the record of America for the whole last century and a half, in spite of many reversions towards inequality, in spite of much rawness and much blundering, is nevertheless as bright and honourable a story as that of any other contemporary people.

In this brief account of the creation of the United States of America we have been able to do little more than mention the names of some of the group of great men who made this new departure in human history.

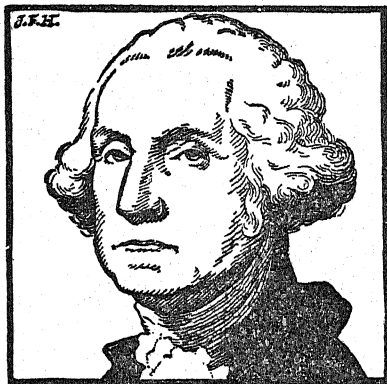


Benjamin Franklin

We have named casually or we have not even named such men as Tom Paine, Benjamin Franklin, Patrick Henry, Thomas Jefferson, the Adams cousins, Madison, Alexander Hamilton, and George Washington. It is hard to measure the men of one period of history with those in another. Some writers, even American writers, impressed by the artificial splendours of the European courts and by the tawdry and destructive exploits of a Frederick the Great or a Great Catherine display a snobbish shame of something home-spun about these makers of America. They feel that Benjamin Franklin at the court of Louis XVI, with his long hair, his plain clothes, and his pawky manner, was sadly lacking in aristocratic distinction. But stripped to their personalities, Louis XVI was hardly gifted enough or noble-minded enough to be Franklin's valet. If human greatness is a matter of scale and glitter, then no doubt Alexander the Great is at the apex of human greatness. But is greatness that? Is not a great man rather one who, in a great position or amidst great opportunities—and great gifts are no more than great opportunities—serves God and his fellows with a humble heart? And quite a number of these Americans of the revolutionary



time do seem to have displayed much disinterestedness and devotion. They were limited men, fallible men; but on the whole they seemed to have cared more for the commonweal they were creating than for any personal end or personal vanity. It is difficult not to concede them a distinguished greatness of mind.



Washington

True they were limited in knowledge and outlook; they were limited by the limitations of the time. They were, like all of us, men of mixed motives; good impulses arose in their minds, great ideas swept through them, and also they could be jealous, lazy, obstinate, greedy, vicious. If one were to write a true,

full, and particular history of the making of the United States, it would have to be written with charity and high spirits as a splendid comedy rising to the noblest ends. And in no other regard do we find the rich tortuous humanity of the American story so finely displayed as in regard to slavery. Slavery, having regard to the general question of labour, is the test of this new soul in the world's history, the American soul.

Slavery began very early in the European history of America, and no European people who went to America can be held altogether innocent in the matter. At a time when the German is still the moral whipping-boy of Europe, it is well to note that the German record is in this respect the best of all. Almost the first outspoken utterances against negro slavery came from German settlers in Pennsylvania. But the German settler was working with free labour upon a temperate countryside, well north of the plantation zone; he was not under serious temptation in this matter. American slavery began with the enslavement of Indians for gang

work in mines and upon plantations, and it is curious to note that it was a very good and humane man indeed, Las Casas, who urged that negroes should be brought to America to relieve his tormented Indian protégés. The need for labour upon the plantations of the West Indies and the south was imperative. When the supply of Indian captives proved inadequate, the planters turned not only to the negro, but to the jails and poorhouses of Europe for a supply of toilers. The reader of Defoe's *Moll Flanders* will learn how the business of Virginian white slavery looked to an intelligent Englishman in the early eighteenth century. But the negro came very early. The year (1620) that saw the Pilgrim Fathers landing at Plymouth in New England, saw a Dutch sloop disembarking the first cargo of negroes at Jamestown in Virginia. Negro slavery was as old as New England; it had been an American institution for over a century and a half before the War of Independence. It was to struggle on for the better part of a century more.

But the conscience of thoughtful men in the colonies was never quite easy upon this score, and it was one of the accusations of Thomas Jefferson against the crown and lords of Great Britain that every attempt to ameliorate or restrain the slave trade on the part of the colonists had been checked by the great proprietary interests in the mother country.<sup>1</sup> With the moral and intellectual ferment of the revolution, the question of negro slavery came right into the foreground of the public conscience. The contrast and the challenge glared upon the mind. "All men are by nature free and equal," said the Virginia Bill of Rights, and outside in the sunshine, under the whip of the overseer, toiled the negro slave.

It witnesses to the great change in human ideas since the Roman Imperial system dissolved under the barbarian inrush, that there could be this heart-searching. Conditions of industry, production, and land tenure had long prevented any recrudescence of gang slavery; but now the cycle had

<sup>1</sup> In 1776 Lord Dartmouth wrote that the colonists could not be allowed "to check or discourage a traffic so beneficent to the nation."

come round again, and there were enormous immediate advantages to be reaped by the owning and ruling classes in the revival of that ancient institution in mines, upon plantations, and upon great public works. It was revived—but against great opposition. From the beginning of the revival there were protests, and they grew. The revival was counter to the new conscience of mankind. In some respects the new gang slavery was worse than anything in the ancient world. Peculiarly horrible was the provocation by the trade of slave wars and man hunts in Western Africa, and the cruelties of the long transatlantic voyage. The poor creatures were packed on the ships often with insufficient provisions of food and water, without proper sanitation, without medicines. Many who could tolerate slavery upon the plantations found the slave trade too much for their moral digestions. Three European nations were chiefly concerned in this dark business, Britain, Spain and Portugal, because they were the chief owners of the new lands in America. The comparative innocence of the other European powers is to be ascribed largely to their lesser temptations. They were similar communities; in parallel circumstances they would have behaved similarly.

Throughout the middle part of the eighteenth century there was an active agitation against negro slavery in Great Britain as well as in the States. It was estimated that in 1770 there were fifteen thousand slaves in Britain, mostly brought over by their owners from the West Indies and Virginia. In 1771 the issue came to a conclusive test in Britain before Lord Mansfield. A negro named James Somersett had been brought to England from Virginia by his owner. He ran away, was captured, and violently taken on a ship to be returned to Virginia. From the ship he was extracted by a writ of *habeas corpus*. Lord Mansfield declared that slavery was a condition unknown to English law, an “odious” condition, and Somersett walked out of the court a free man.

The Massachusetts constitution of 1780 had declared that

"all men are born free and equal." A certain negro, Quaco, put this to the test in 1783, and in that year the soil of Massachusetts became like the soil of Britain, intolerant of slavery; to tread upon it was to become free. At that time no other state in the Union followed this example. At the census of 1790, Massachusetts, alone of all the states, returned "no slaves."

The state of opinion in Virginia is remarkable, because it brings to light the peculiar difficulties of the southern states. The great Virginian statesmen, such as Washington and Jefferson, condemned the institution, yet because there was no other form of domestic service, Washington owned slaves. There was in Virginia a strong party in favour of emancipating slaves. But they demanded that the emancipated slaves should leave the state within a year or be outlawed! They were naturally alarmed at the possibility that a free barbaric black community, many of its members African-born and reeking with traditions of cannibalism and secret and dreadful religious rites, should arise beside them upon Virginian soil. When we consider that point of view, we can understand why it was that a large number of Virginians should be disposed to retain the mass of blacks in the country under control as slaves, while at the same time they were bitterly opposed to the slave trade and the importation of any fresh blood from Africa. The free blacks, one sees, might easily become a nuisance; indeed the free state of Massachusetts presently closed its borders to their entry. . . . The question of slavery, which in the ancient world was usually no more than a question of status between individuals racially akin, merged in America with the different and profounder question of relationship between two races at opposite extremes of the human species and of the most contrasted types of tradition and culture. If the black man had been white, there can be little doubt that negro slavery would have vanished from the United States within a generation of the Declaration of Independence as a natural consequence of the statements in that declaration.

## § 7

We have told of the War of Independence in America as the first great break away from the system of European monarchies and foreign offices, as the repudiation by a new community of Machiavellian statescraft as the directive form of human affairs. Within a decade there came a second and much more portentous revolt against this strange game of Great Powers, this tangled interaction of courts and policies which obsessed Europe. But this time it was no breaking away at the outskirts. In France, the nest and home of Grand Monarchy, the heart and centre of Europe, came this second upheaval. And, unlike the American colonists, who simply repudiated a king, the French, following in the footsteps of the English revolution, beheaded one.

Like the British revolution and like the revolution in the United States, the French revolution can be traced back to the ambitious absurdities of monarchy. The schemes of aggrandisement, the aims and designs of the Grand Monarch, necessitated an expenditure upon war equipment throughout Europe out of all proportion to the taxable capacity of the age. And even the splendours of monarchy were enormously costly, measured by the productivity of the time. In France, just as in Britain and in America, the first resistance was made not to the monarch as such and to his foreign policy as such, nor with any clear recognition of these things as the roots of the trouble, but merely to the inconveniences and charges upon the individual life caused by them. The practical taxable capacity of France must have been relatively much less than that of England because of the various exemptions of the nobility and clergy. The burthen resting directly upon the common people was heavier. That made the upper classes the confederates of the court instead of the antagonists of the court as they were in England, and so prolonged the period of waste further; but when at last the bursting-point did come, the explosion was more violent and shattering.

During the years of the American War of Independence there were a few signs of any impending explosion in France. There was much misery among the lower classes, much criticism and satire, much outspoken liberal thinking, but there was little to indicate that the thing as a whole, with all its customs, usages, and familiar discords, might not go on for an indefinite time. It was consuming beyond its powers of production, but as yet only the inarticulate classes were feeling the pinch. Gibbon, the historian, knew France well; Paris was as familiar to him as London; but there is no suspicion to be detected in the passage we have quoted that days of political and social dissolution were at hand. No doubt the world abounded in absurdities and injustices, yet nevertheless, from the point of view of a scholar and a gentleman, it was fairly comfortable, and it seemed fairly secure.

There was much liberal thought, speech, and sentiment in France at this time. Parallel with and a little later than John Locke in England, Montesquieu (1689-1755) in France, in the earlier half of the eighteenth century, had subjected social, political, and religious institutions to the same searching and fundamental analysis, especially in his *Esprit des Loix*. He had stripped the magical prestige from the absolutist monarchy in France. He shares with Locke the credit for clearing away many of the false ideas that had hitherto prevented deliberate and conscious attempts to reconstruct human society. It was not his fault if at first some extremely unsound and impermanent shanties were run up on the vacant site. The generation that followed him in the middle and later decades of the eighteenth century was boldly speculative upon the moral and intellectual clearings he had made. A group of brilliant writers, the "Encyclopædists," mostly rebel spirits from the excellent schools of the Jesuits, set themselves under the leadership of Diderot to scheme out in a group of works, a new world (1766). The glory of the Encyclopædists, says Mallet, lay "in their hatred of things unjust, in their denunciation of the trade in slaves, of the inequalities of taxation, of the corruption of justice, of the wastefulness of wars, in their dreams of

social progress, in their sympathy with the rising empire of industry which was beginning to transform the world." Their chief error seems to have been an indiscriminate hostility to religion. They believed that man was naturally just and politically competent, whereas his impulse to social service and self-forgetfulness is usually developed only through an education essentially religious, and sustained only in an atmosphere of honest co-operation. Unco-ordinated human initiatives lead to nothing but social chaos.

Side by side with the Encyclopædists were the Economists or Physiocrats, who were making bold and crude inquiries into the production and distribution of food and goods. Morally, the author of the *Code de la Nature*, denounced the institution of private property and proposed a communistic organization of society. He was the precursor of that large and various school of collectivist thinkers in the nineteenth century who are lumped together as Socialists.

Both the Encyclopædists and the various Economists and Physiocrats demanded a considerable amount of hard thinking in their disciples. An easier and more popular leader to follow was Rousseau (1712-78). He displayed a curious mingling of logical rigidity and sentimental enthusiasm. He preached the alluring doctrine that the primitive state of man was one of virtue and happiness, from which he had declined through the rather inexplicable activities of priests, kings, lawyers, and the like. Rousseau's intellectual influence was on the whole demoralizing. It struck not only at the existing social fabric, but at any social organization. When he wrote of the *Social Contract*, he seemed rather to excuse breaches of the covenant than to emphasize its necessity. Man is so far from perfect, that a writer who apparently sustained the thesis that the almost universal disposition, against which we all have to fortify ourselves, to repudiate debts, misbehave sexually, and evade the toil and expenses of education for ourselves and others, is not after all a delinquency, but a fine display of Natural Virtue, was bound to have a large following in every class that could read him. Rousseau's tremendous vogue did much to popularize a senti-

mental, and declamatory method of dealing with social and political problems.

We have already remarked that hitherto no human community has begun to act upon theory. There must first be some break-down and necessity for direction that lets theory into her own. Up to 1788 the republican and anarchist talk and writing of French thinkers must have seemed as ineffective and politically unimportant as the æsthetic socialism of William Morris at the end of the nineteenth century. There was the social and political system going on with an effect of invincible persistence, the king hunting and mending his clocks, the court and the world of fashion pursuing their pleasures, the financiers conceiving continually more enterprising extensions of credit, business blundering clumsily along its ancient routes, much incommoded by taxes and imposts, the peasants worrying, toiling, and suffering, full of a hopeless hatred of the nobleman's château. Men talked—and felt they were merely talking. Anything might be said because nothing would ever happen.

## § 8

The first jar to this sense of the secure continuity of life in France came in 1787. Louis XVI (1774–92) was a dull, ill-educated monarch, and he had the misfortune to be married to a silly and extravagant woman, Marie Antoinette, the sister of the Austrian emperor. The question of her virtue is one of profound interest to a certain type of historical writer, but we need not discuss it here. She lived, as Paul Wiriath<sup>1</sup> puts it, "side by side, but not at the side" of her husband. She was rather heavy-featured, but not so plain as to prevent her posing as a beautiful, romantic, and haughty queen. When the exchequer was exhausted by the war in America (an enterprise to weaken England of the highest Machiavellian quality), when the whole country was uneasy with discontents, she set her influence to thwart

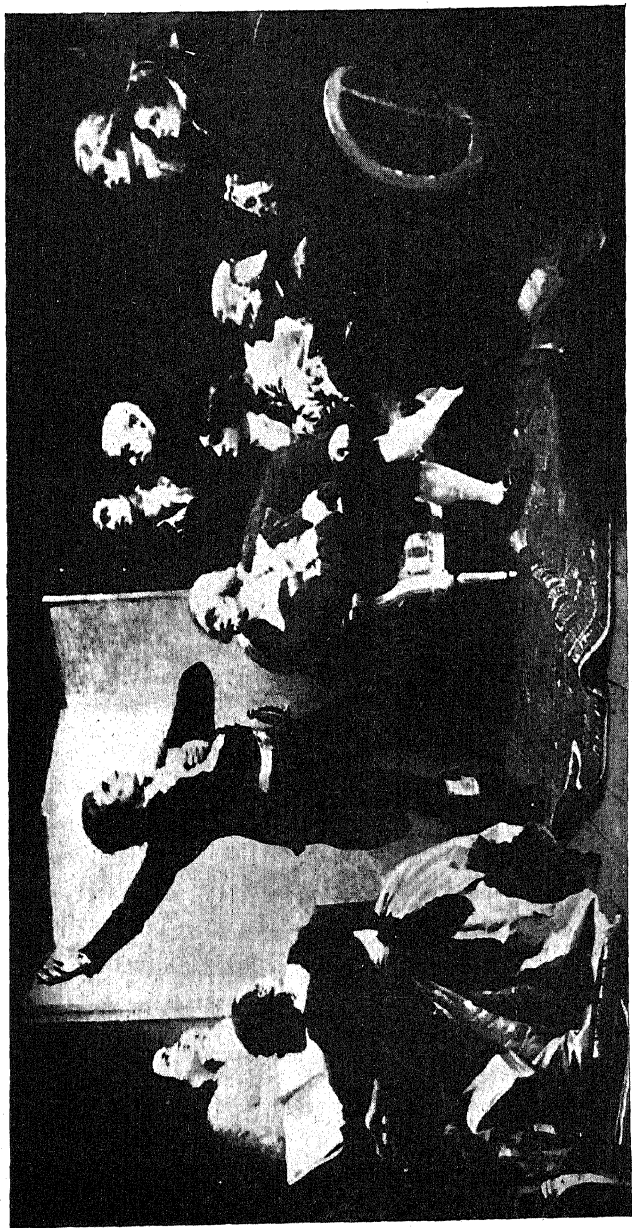
<sup>1</sup> Article "France," *Encyclopædia Britannica*.



the attempts at economy of the king's ministers, to encourage every sort of aristocratic extravagance, and to restore the church and the nobility to the position they had held in the great days of Louis XIV. Non-aristocratic officers were to be weeded from the army; the power of the church over private life was to be extended. She found in an upper-class official, Calonne, her ideal minister of finance. From 1783-87 this wonderful man produced money as if by magic—and as if by magic it disappeared again. Then in 1787 he collapsed. He had piled loan on loan, and now he declared that the monarchy, the Grand Monarchy that had ruled France since the days of Louis XIV, was bankrupt. No more money could be raised. There must be a gathering of the notables of the kingdom to consider the situation.

To the gathering of notables, a summoned assembly of leading men, Calonne propounded a scheme for a subsidy to be levied upon all landed property. This roused the aristocrats to a pitch of great indignation. They demanded the summoning of a body roughly equivalent to the British parliament, the States General, which had not met since 1610. Regardless of the organ of opinion they were creating for the discontents below them, excited only by the proposal that they should bear part of the weight of the financial burthens of the country, the French notables insisted. And in May, 1789, the States General met.

It was an assembly of the representatives of three orders, the nobles, the clergy, and the Third Estate, the commons. For the Third Estate the franchise was very wide, nearly every tax-payer of twenty-five having a vote. (The parish priests voted as clergy, the small noblesse as nobles.) The States General was a body without any tradition of procedure. Enquiries were sent to the antiquarians of the Academy of Inscriptions in that matter. Its opening deliberations turned on the question whether it was to meet as one body or as three, each estate having an equal vote. Since the Clergy numbered 308, the Nobles 285 and the Deputies 621, the former arrangement would put the Commons in an absolute majority, the latter gave them one vote in three.



#### FIRST SINGING OF THE MARSEILLAISE

A French army engineer, Rouget de Lisle, wrote words and music of this most stirring of all battle songs at Strassburg in April, 1792. It was adopted by the volunteers from Marseilles prominent at the storming of the Tuilleries, and thus got its name. The painting by Pils, shows the author singing the new song to his friends



#### THE TAKING OF THE TUILERIES

The decisive blow of the French Revolution, when the Jacobins captured the royal palace and established a revolutionary Commune

Nor had the States General any meeting-place. Should it meet in Paris or in some provincial city? Versailles was chosen, "because of the hunting."

It is clear that the king and queen meant to treat this fuss about the national finance as a terrible bore, and to allow it to interfere with their social routine as little as possible. We find the meetings going on in solons that were not wanted, in orangeries and tennis-courts, and so forth.

The question whether the voting was to be by the estates or by head was clearly a vital one. It was wrangled over for six weeks. The Third Estate, taking a leaf from the book of the English House of Commons, then declared that it alone represented the nation, and that no taxation must be levied henceforth without its consent. Whereupon the king closed the hall in which it was sitting, and intimated that the deputies had better go home. Instead, the deputies met in a convenient tennis-court, and there took oath, the Oath of the Tennis Court, not to separate until they had established a constitution in France.

The king took a high line, and attempted to disperse the Third Estate by force. The soldiers refused to act. On that the king gave in with a dangerous suddenness, and accepted the principle that the Three Estates should all deliberate and vote together as one National Assembly. Meanwhile, apparently at the queen's instigation, foreign regiments in the French service, who could be trusted to act against the people, were brought up from the provinces under the Marshal de Broglie, and the king prepared to go back upon his concessions. Whereupon Paris and France revolted. Broglie hesitated to fire on the crowds. A provisional city government was set up in Paris and in most of the other large cities, and a new armed force, the National Guard, a force designated primarily and plainly to resist the forces of the crown, was brought into existence by these municipal bodies.

The revolt of July 1789 was really the effective French revolution. The grim-looking prison of the Bastille, very feebly defended, was stormed by the people of Paris, and the

sailles. The royal family was scheming to get farther away from Paris—in order to undo all that had been done, to restore tyranny and extravagance. Such constitutional monarchists as General Lafayette were seriously alarmed. And just at this time occurred an outbreak of popular indignation at the scarcity of food, that passed by an easy transition into indignation against the threat of royalist reaction. It was believed that there was an abundance of provisions at Versailles; that food was being kept there away from the people. The public mind had been much disturbed by reports, possibly by exaggerated reports, of a recent banquet at Versailles, hostile to the nation. Here are some extracts from Carlyle descriptive of that unfortunate feast.

“The Hall of the Opera is granted; the Salon d’Hercule shall be drawing-room. Not only the Officers of Flandre, but of the Swiss, of the Hundred Swiss; nay of the Versailles National Guard, such of them as have any loyalty, shall feast; it will be a Repast like few.

“And now suppose this Repast, the solid part of it, transacted; and the first bottle over. Suppose the customary loyal toasts drunk; the King’s health, the Queen’s with deafening vivats; that of the nation ‘omitted,’ or even ‘rejected.’ Suppose champagne flowing; with pot-valorous speech, with instrumental music; empty featherheads growing ever the noisier, in their own emptiness, in each other’s noise. Her Majesty, who looks unusually sad tonight (Her Majesty sitting dulled with the day’s hunting), is told that the sight of it would cheer her. Behold! She enters there; issuing from her State-rooms, like the Moon from clouds, this fairest unhappy Queen of Hearts; royal Husband by her side, young Dauphin in her arms! She descends from the Boxes, amid splendour and acclaim; walks queen-like round the Tables; gracefully nodding; her looks full of sorrow, yet of gratitude and daring, with the hope of France on her mother-bosom! And now, the band striking up, *O Richard, O mon Roi, l’univers t’abandonne* (Oh Richard, O my king, the world is all forsaking thee), could man do other than rise to height of pity, of loyal valour? Could feather-headed

young ensigns do other than, by white Bourbon Cockades, handed them from fair fingers; by waving swords, drawn to pledge the Queen's health; by trampling of National Cockades; by scaling the Boxes, whence intrusive murmurs may come; by vociferation, sound, fury and distraction, within doors and without—testify what tempest-tost state of vacuity they are in? . . .

"A natural Repast; in ordinary times, a harmless one: now fatal. . . . Poor ill-advised Marie Antoinette; with a woman's vehemence, not with a sovereign's foresight! It was so natural, yet so unwise. Next day, in public speech of ceremony, her Majesty declares herself 'delighted with Thursday.'"

And here to set against this is Carlyle's picture of the mood of the people.

"In squalid garret, on Monday morning Maternity awakes, to hear children weeping for bread. Maternity must forth to the streets, to the herb-makers and bakers' queues; meets there with hunger-stricken Maternity, sympathetic, exasperative. O we unhappy women! But, instead of bakers'-queues, why not to Aristocrats' palaces, the root of the matter? *Allons!* Let us assemble. To the Hôtel-de-Ville; to Versailles. . . ."

There was much shouting and coming and going in Paris before this latter idea realized itself. One Maillard appeared with organizing power, and assumed a certain leadership. There can be little doubt that the revolutionary leaders, and particularly General Lafayette, used and organized this outbreak to secure the king, before he could slip away—as Charles I did to Oxford—to begin a civil war. As the afternoon wore on, the procession started on its eleven mile tramp. . . .

Again we quote Carlyle:

"Maillard has halted his draggled Menads on the last hill-top; and now Versailles, and the Château of Versailles, and far and wide the inheritance of Royalty opens to the wondering eye. From far on the right, over Marly and Saint-Germain-en-Laye; round towards Rambouillet, on the

left, beautiful all; softly embosomed; as if in sadness, in the dim moist weather! And near before us is Versailles, New and Old; with that broad frondent *Avenue de Versailles* between—stately frondent, broad, three hundred feet as men reckon, with its four rows of elms; and then the Château de Versailles, ending in royal parks and pleasancess, gleaming lakelets, arbours, labyrinths, the *Ménagerie*, and Great and Little Trianon. High-towered dwellings, leafy pleasant places; where the gods of this lower world abide: whence, nevertheless, black care cannot be excluded; whither Menadic hunger is even now advancing, armed with pike-thyrsi!”

Rain fell as the evening closed.

“Behold the Esplanade, over all its spacious expanse, is covered with groups of squalid dripping women; of lank-haired male rascality, armed with axes, rusty pikes, old muskets, iron-shod clubs (*batons-ferrés*, which end in knives or swordblades, a kind of extempore billhook); looking nothing but hungry revolt. The rain pours; Gardes-du-Corps go caracoling through the groups ‘amid hisses’; irritating and agitating what is but dispersed here to reunite there. . . .

“Innumerable squalid women beleaguer the President and Deputation; insist on going with him: has not his Majesty himself, looking from the window, sent out to ask, What we wanted? ‘Bread, and speech with the King,’ that was the answer. Twelve women are clamourously added to the deputation; and march with it, across the Esplanade; through dissipated groups, caracoling bodyguards and the pouring rain.”

“Bread and not too much talking!” Natural demands.

“One learns also that the royal Carriages are getting yoked, as if for Metz. Carriages, royal or not, have verily showed themselves at the back gates. They even produced, or quoted, a written order from our Versailles Municipality—which is a monarchic not a democratic one. However, Versailles patrols drove them in again; as the vigilant *Le-cointre* had strictly charged them to do. . . .

“So sink the shadows of night, blustering, rainy; and all

paths grow dark. Strangest night ever seen in these regions; perhaps since the Bartholomew Night, when Versailles, as Bassompierre writes it, was a *chetif château*.

"O for the lyre of some Orpheus, to constrain, with touch of melodious strings, these mad masses into Order! For here all seems fallen asunder, in wide-yawning dislocation. The highest, as in down-rushing of a world, is come in contact with the lowest: the rascality of France beleaguering the royalty of France; 'iron-shod batons' lifted round the diadem, not to guard it! With denunciations of blood-thirsty anti-national body guards, are heard dark growlings against a queenly name.

"The Court sits tremulous, powerless: varies with the varying temper of the Esplanade, with the varying colour of the rumours from Paris. Thick-coming rumours; now of peace, now of war. Necker and all the Ministers consult; with a blank issue. The *Œil-de-Bœuf* is one tempest of whispers: We will fly to Metz; we will not fly. The royal carriages again attempt egress—though for trial merely; they are again driven in by Lecointre's patrols."

But we must send the reader to Carlyle to learn of the coming of the National Guard in the night under General Lafayette himself, the bargaining between the Assembly and the King, the outbreak of fighting in the morning between the bodyguard and the hungry besiegers, and how the latter stormed into the palace and came near to a massacre of the royal family. Lafayette and his troops turned out in time to prevent that, and timely cartloads of loaves arrived from Paris for the crowd.

At last it was decided that the king should come to Paris.

"Processional marches not a few our world has seen; Roman triumphs and ovations, Cabiric cymbal-beatings, Royal progresses, Irish funerals; but this of the French Monarchy marching to its bed remained to be seen. Miles long, and of breadth losing itself in vagueness, for all the neighbouring country crowds to see. Slow: stagnating along, like shoreless Lake, yet with a noise like Niagara, like Babel and Bedlam. A splashing and a tramping; a hurrahing, up-



roaring, musket-volleying; the truest segment of Chaos seen in these latter Ages! Till slowly it disembody itself, in the thickening dusk, into expectant Paris, through a double row of faces all the way from Passy to the Hôtel-de-Ville.

"Consider this: Vanguard of National troops; with trains of artillery; of pikemen and pikewomen, mounted on cannons, on carts, hackney-coaches, or on foot. . . . Loaves stuck on the points of bayonets, green boughs stuck in gun-barrels. Next, as main-march, 'fifty cart-loads of corn,' which have been lent, for peace, from the stores of Versailles. Behind which follow stragglers of the Garde-du-Corps; all humiliated, in Grenadier bonnets. Close on these comes the royal carriage; come royal carriages; for there are a hundred national deputies too, among whom sit Mirabeau—his remarks not given. Then finally, pell-mell, as rear-guard, Flandre, Swiss, Hundred Swiss other bodyguards, brigands, whosoever cannot get before. Between and among all which masses flows without limit Saint-Antoine and the Menadic cohort. Menadic especially about the royal carriage. . . . Covered with tricolor; singing 'allusive songs'; pointing with one hand to the royal carriage, which the allusions hit, and pointing to the provision-wagons with the other hand and these words: 'Courage, Friends! We shall not want bread now; we are bringing you the Baker, Bakeress and the Baker's boy.' . . .

"The wet day draggles the tricolor, but the joy is unextinguishable. Is not all well now? '*Ah Madame, notre bonne Reine,*' said some of these Strong-women some days hence, 'Ah, Madame, our good Queen, don't be a traitor any more and we will all love you!' . . ."

This was October the sixth, 1789. For nearly two years the royal family dwelt unmolested in the Tuileries. Had the court kept common faith with the people, the king might have died there, a king.

From 1789 to 1791 the early Revolution held its own; France was a limited monarchy, the king kept a diminished state in the Tuileries, and the National Assembly ruled a country at peace. The reader who will glance back to the

maps of Poland we have given in the previous chapter will realize what occupied Russia, Prussia, and Austria at this time. While France experimented with a crowned republic in the west, the last division of the crowned republic of the east was in progress. France could wait.

When we consider its inexperience, the conditions under which it worked, and the complexities of its problems, one must concede that the Assembly did a very remarkable amount of constructive work. Much of that work was sound and still endures, much was experimental and has been undone. Some was disastrous. There was a clearing up of the penal code; torture, arbitrary imprisonment, and persecutions for heresy were abolished. The ancient provinces of France, Normandy, Burgundy, and the like gave place to eighty departments. Promotion to the highest ranks in the army was laid open to men of every class. An excellent and simple system of law courts was set up, but its value was much vitiated by having the judges appointed by popular election for short periods of time. This made the crowd a sort of final court of appeal, and the judges, like the members of the Assembly, were forced to play to the gallery. And the whole vast property of the church was seized and administered by the state; religious establishments not engaged in education or works of charity were broken up, and the salaries of the clergy made a charge upon the nation. This in itself was not a bad thing for the lower clergy in France, who were often scandalously underpaid in comparison with the richer dignitaries. But in addition the choice of priests and bishops was made elective, which struck at the very root idea of the Roman church, which centred everything upon the Pope, and in which all authority is from above downward. Practically the National Assembly wanted at one blow to make the church in France Protestant, in organization if not in doctrine. Everywhere there were disputes and conflicts between the state priests created by the National Assembly and the recalcitrant (non-juring) priests who were loyal to Rome. . . .

One curious thing the National Assembly did which

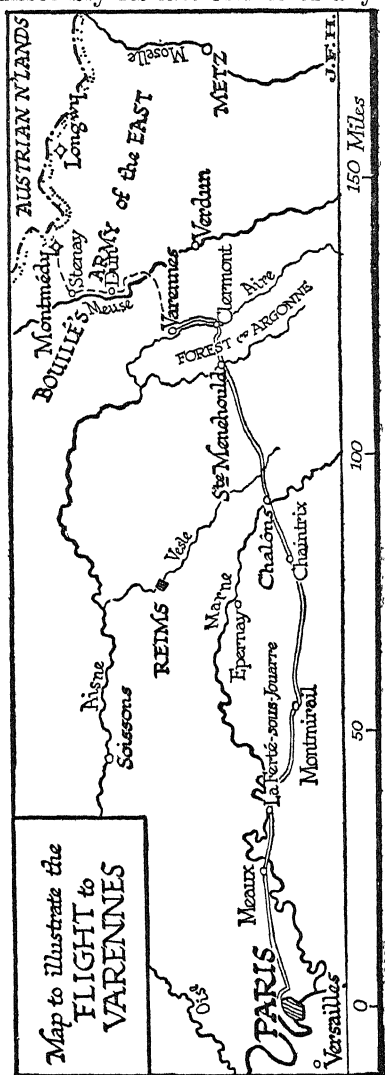
greatly weakened its grip on affairs. It decreed that no member of the Assembly should be an executive minister. This was in imitation of the American constitution, where also ministers are separated from the legislature. The British method has been to have all ministers in the legislative body, ready to answer questions and account for their interpretation of the laws and their conduct of the nation's business. If the legislature represents the sovereign people, then it is surely necessary for the ministers to be in the closest touch with their sovereign. This severance of the legislature and executive in France caused misunderstandings and mistrust; the legislature lacked control and the executive lacked moral force. This led to such an ineffectiveness in the central government that in many districts at this time, communities and towns were to be found that were practically self-governing communities; they accepted or rejected the commands of Paris as they thought fit, declined the payment of taxes, and divided up the church lands according to their local appetites.

### § 10

It is quite possible that with the loyal support of the crown and a reasonable patriotism on the part of the nobility, the National Assembly, in spite of its noisy galleries, its Rousseauism, and its inexperience, might have blundered through to a stable form of parliamentary government for France. In Mirabeau it had a statesman with clear ideas of the needs of the time; he knew the strength and the defects of the British system, and apparently he had set himself to establish in France a parallel political organization upon a wider, more honest franchise. He had, it is true, indulged in a sort of Ruritanian flirtation with the queen, seen her secretly, pronounced her very solemnly the "*only man*" about the king, and made rather a fool of himself in that matter, but his schemes were drawn upon a much larger scale than the scale of the back stairs of the Tuileries. By his death in 1791 France certainly lost one of her most constructive

statesmen, and the National Assembly its last chance of any co-operation with the king. When there is a court there is usually a conspiracy, and royalist schemes and royalist mischief-making were the last straw in the balance against the National Assembly. The royalists did not care for Mirabeau, they did not care for France; they wanted to be back in their lost paradise of privilege, haughtiness, and limitless expenditure, and it seemed to them that if only they could make the government of the National Assembly impossible, then by a sort of miracle the dry bones of the ancient régime would live again. They had no sense of the other possibility, the gulf of the republican extremists, that yawned at their feet.

One June night in 1791, between eleven o'clock and midnight, the king and queen and their two children slipped out of the Tuileries disguised, threaded their palpitating way through Paris, circled round from the north of the city to the east, and got at last into a travelling-carriage that was waiting upon the road to Chalons. They were



flying to the army of the east. The army of the east was "loyal," that is to say, its general and officers at least were prepared to betray France to the king and court. Here was adventure at last after the queen's heart, and one can understand the pleasurable excitement of the little party as the miles lengthened between themselves and Paris. Away over the hills were reverence, deep bows, and the kissing of hands. Then back to Versailles. A little shooting of the mob in Paris—artillery, if need be. A few executions—but not of the sort of people who matter. A White Terror for a few months. Then all would be well again. Perhaps Calonne might return too, with fresh financial expedients. He was busy just then gathering support among the German princes. There were a lot of Châteaux to rebuild, but the people who burnt them down could hardly complain if the task of rebuilding them pressed rather heavily upon their grimy necks. . . .

All such bright anticipations were cruelly dashed that night at Varennes. The king had been recognized at Sainte Menchould by the landlord of the post house, and as the night fell, the eastward roads clattered with galloping messengers rousing the country, and trying to intercept the fugitives. There were fresh horses waiting in the upper village of Varennes—the young officer in charge had given the king up for the night and gone to bed—while for half an hour in the lower village the poor king, disguised as a valet, disputed with his postilions, who had expected reliefs in the lower village and refused to go further. Finally they consented to go on. They consented too late. The little party found the postmaster from Sainte Menchould, who had ridden past while the postilions wrangled, and a number of worthy republicans of Varennes whom he had gathered together, awaiting them at the bridge between the two parts of the town. The bridge was barricaded. Muskets were thrust into the carriage: "Your passports?"

The king surrendered without a struggle. The little party was taken into the house of some village functionary. "Well," said the king, "here you have me!" Also he re-

marked that he was hungry. At dinner he commended the wine, "quite excellent wine." What the queen said is not recorded. There were loyalist troops at hand, but they attempted no rescue. The tocsin began to ring, and the village "illuminated itself," to guard against surprise. . . .

A very crestfallen coachload of royalty returned to Paris, and was received by vast crowds—in *silence*. The word had gone forth that whoever insulted the king should be thrashed, and whoever applauded him should be killed. . . .

It was only after this foolish exploit that the idea of a republic took hold of the French mind. Before this flight to Varennes there was no doubt much abstract republican sentiment, but there was scarcely any expressed disposition to abolish monarchy in France. Even in July, a month after the flight, a great meeting in the Champ de Mars, supporting a petition for the dethronement of the king, was dispersed by the authorities, and many people were killed. But such displays of firmness could not prevent the lesson

of that fight soaking into men's minds. Just as in England in the days of Charles I, so now in France men realized that the king could not be trusted—he was dangerous. The Jacobins grew rapidly in strength. Their leaders, Robespierre, Danton, Marat, who had hitherto figured as impossible extremists, began to dominate French affairs.



Marat

These Jacobins were the equivalents of the American radicals, men with untrammelled advanced ideas. Their strength lay in the fact that they were unencumbered and downright. They were poor men with nothing to lose. The

party of moderation, of compromise with the relics of the old order, was led by such men of established position as General Lafayette, the general who had distinguished himself as a young man by fighting for the American colonists as a volunteer, and Mirabeau, an aristocrat who was ready to model himself on the rich and influential aristocrats of England. But Robespierre was a needy but clever young lawyer from Arras, whose most precious possession was his faith in Rousseau; Danton was a scarcely more wealthy barrister in Paris, a big, gesticulating, rhetorical figure; Marat was an older man, a Swiss of very great scientific distinction, but equally unembarrassed by possessions. On Marat's scientific standing it is necessary to lay stress because there is a sort of fashion among English writers to misrepresent the leaders of great revolutionary movements as ignorant men. This gives a false view of the mental process of revolution; and it is the task of the historian to correct it. Marat, we find, was conversant with English, Spanish, German, and Italian; he had spent several years in England, he was made an honorary M. D. of St. Andrew's, and had published some valuable contributions to medical science in English. Both Benjamin Franklin and Goethe were greatly interested in his work in physics. This is the man who is called by Carlyle "rabid dog," "atrocious," "squalid," and "Dog-leech"—this last by way of tribute to his science.

The revolution called Marat to politics, and his earliest contributions to the great discussion were fine and sane. There was a prevalent delusion in France that England was a land of liberty. His *Tableau des vices de la constitution d'Angleterre* showed the realities of the English position. His last years were maddened by an almost intolerable skin disease which he caught while hiding in the sewers of Paris to escape the consequences of his denunciation of the king as a traitor after the flight to Varennes. 'Only by sitting in a hot bath could he collect his mind to write. He had been treated hardly and suffered, and he became hard; nevertheless he stands out in history as a man of rare, unblemished

honesty. His poverty seems particularly to have provoked the scorn of Carlyle.

"What a road he has travelled; and sits now, about half-past seven of the clock, stewing in slipper-bath; sore afflicted; ill of Revolution Fever. . . . Excessively sick and worn, poor man: with precisely elevenpence halfpenny of ready-money, in paper; with slipper-bath; strong three-footed stool for writing on, the while: and a squalid Washerwoman for his sole household . . . that is his civic establishment in Medical-School Street, thither and not elsewhere has this road led him. . . . Hark, a rap again! A musical woman's voice, refusing to be rejected: it is the Citoyenne who would do France a service. Marat, recognizing from within, cries, Admit her. Charlotte Corday is admitted."

The young heroine—for republican leaders are fair game, and their assassins are necessarily heroines and their voices "musical"—offered to give him some necessary information about the counter-revolution at Caen, and as he was occupied in making a note of her facts, she stabbed him with a large sheath knife (1792). . . .

Such was the quality of most of the leaders of the Jacobin party. They were men of no property—untethered men. They were more dissociated and more elemental, therefore, than any other party; and they were ready to push the ideas of freedom and equality to a logical extremity. Their standards of patriotic virtue were high and harsh. There was something inhuman even in their humanitarian zeal. They saw without humour the disposition of the moderates to ease things down, to keep the common folk just a little needy and respectful, and royalty (and men of substance) just a little respected. They were blinded by the formulæ of Rousseauism to the historical truth that man is by nature oppressor and oppressed, and that it is only slowly by law, education, and the spirit of love in the world that men can be made happy and free.

And while in America the formulæ of eighteenth-century democracy were on the whole stimulating and helpful be-



cause it was already a land of open-air practical equality so far as white men were concerned, in France these formulæ made a very heady and dangerous mixture for the town populations, because considerable parts of the towns of France were slums full of dispossessed, demoralized, degraded, and bitter-spirited people. The Parisian crowd was in a particularly desperate and dangerous state, because the industries of Paris had been largely luxury industries, and much of her employment parasitic on the weaknesses and vices of fashionable life. Now the fashionable world had gone over the frontier, travellers were restricted, business disordered, and the city full of unemployed and angry people.

But the royalists, instead of realizing the significance of these Jacobins with their dangerous integrity and their dangerous grip upon the imagination of the mob, had the conceit to think they could make tools of them. The time for the replacement of the National Assembly under the new-made constitution by the "Legislative Assembly" was drawing near; and when the Jacobins, with the idea of breaking up the moderates, proposed to make the members of the National Assembly ineligible for the Legislative Assembly, the royalists supported them with great glee, and carried the proposal. They perceived that the Legislative Assembly, so clipped of all experience, must certainly be a politically incompetent body. They would "extract good from the excess of evil," and presently France would fall back helpless into the hands of her legitimate masters. So they thought. And the royalists did more than this. They backed the election of a Jacobin as Mayor of Paris. It was about as clever as if a man brought home a hungry tiger to convince his wife of her need of him. There stood another body ready at hand with which these royalists did not reckon, far better equipped than the court to step in and take the place of an ineffective Legislative Assembly, and that was the strongly Jacobin Commune of Paris installed at the Hôtel de Ville.

So far France had been at peace. None of her neighbours had attacked her, because she appeared to be weakening herself by her internal dissensions. It was Poland that

suffered by the distraction of France. But there seemed no reason why they should not insult and threaten her, and prepare the way for a later partition at their convenience. At Pillnitz, in 1791, the King of Prussia and the Emperor of Austria met, and issued a declaration that the restoration of order and monarchy in France was a matter of interest to all sovereigns. And an army of emigrés, French nobles and gentlemen, an army largely of officers, was allowed to accumulate close to the frontier.

It was France that declared war against Austria. The motives of those who supported this step were conflicting. Many republicans wanted it because they wished to see the kindred people of Belgium liberated from the Austrian yoke. Many royalties wanted it because they saw in war a possibility of restoring the prestige of the crown. Marat opposed it bitterly in his paper *L'Ami du Peuple*, because he did not want to see republican enthusiasm turned into war fever. His instinct warned him of Napoleon. On April 20th, 1792, the king came down to the Assembly and proposed war amidst great applause.

The war began disastrously. Three French armies entered Belgium, two were badly beaten, and the third, under Lafayette, retreated. Then Prussia declared war in support of Austria, and the allied forces, under the Duke of Brunswick, prepared to invade France. The duke issued one of the most foolish proclamations in history; he was, he said, invading France to restore the royal authority. Any further indignity shown the king he threatened to visit upon the Assembly and Paris with "military execution." This was surely enough to make the most royalist Frenchman a republican—at least for the duration of the war.

The new phase of revolution, the Jacobin revolution, was the direct outcome of this proclamation. It made the Legislative Assembly, in which orderly republicans (Girondins) and royalists prevailed, it made the government which had put down that republican meeting in the Champ de Mars and hunted Marat into the sewers, impossible. The insurgents gathered at the Hôtel de Ville, and on the tenth of August

the Commune launched an attack on the palace of the Tuileries.

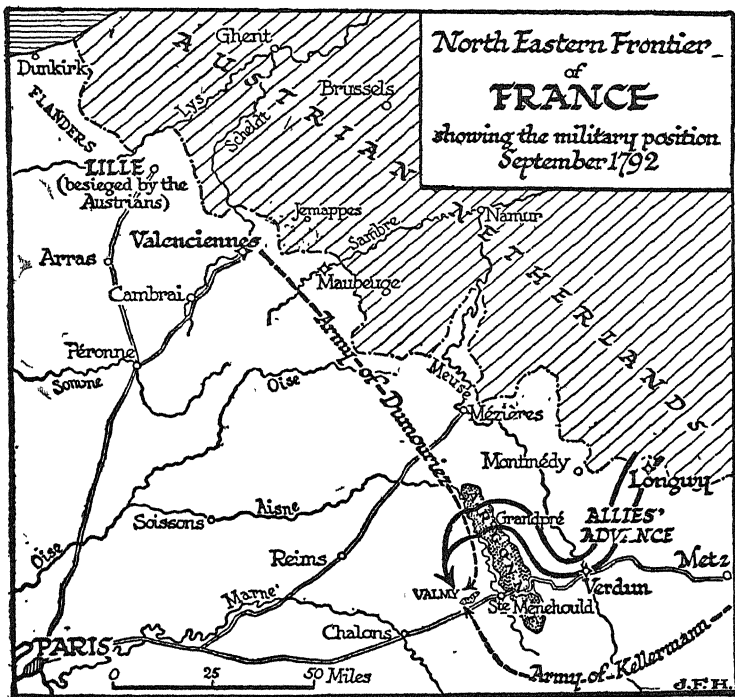
The king behaved with a clumsy stupidity, and with that disregard for others which is the prerogative of kings. He had with him a Swiss guard of nearly a thousand men as well as National Guards of uncertain loyalty. He held out vaguely until firing began, and then he went off to the adjacent Assembly to place himself and his family under its protection, leaving his Swiss fighting. No doubt he hoped to antagonize Assembly and Commune, but the Assembly had none of the fighting spirit of the Hôtel de Ville. The royal refugees were placed in a box reserved for journalists (out of which a small room opened), and there they remained for sixteen hours while the Assembly debated their fate. Outside there were the sounds of a considerable battle; every now and then a window would break. The unfortunate Swiss were fighting with their backs to the wall because there was now nothing else for them to do. . . .

The Assembly had no stomach to back the government's action of July in the Champ de Mars. The fierce vigour of the Commune dominated it. The king found no comfort whatever in the Assembly. It scolded him and discussed his "suspension." The Swiss fought until they received a message from the king to desist, and then—the crowd being savagely angry at the needless bloodshed and out of control—they were for the most part massacred.

The long and tedious attempts to "Merovingianize" Louis, to make an honest crowned republican out of a dull and inadaptably absolute monarch, was now drawing to its tragic close. The Commune of Paris was practically in control of France. The Legislative Assembly—which had apparently undergone a change of heart—decreed that the king was suspended from his office, confined him in the Temple, replaced him by an executive commission, and summoned a National Convention to frame a new constitution.

The tension of patriotic and republican France was now becoming intolerable. Such armies as she had were rolling back helplessly towards Paris. Longwy had fallen, the great

fortress of Verdun followed, and nothing seemed likely to stop the march of the allies upon the capital. The sense of royalist treachery rose to panic cruelty. At any rate the royalists had to be silenced and stilled and scared out of sight. The Commune set itself to hunt out every royalist that could be found, until the prisons of Paris were full.



Marat saw the danger of a massacre. Before it was too late he tried to secure the establishment of emergency tribunals to filter the innocent from the guilty in this miscellaneous collection of schemers, suspects, and harmless gentlefolk. He was disregarded, and early in September the inevitable massacre occurred.

Suddenly, first at one prison and then at others, bands of

insurgents took possession. A sort of rough court was constituted, and outside gathered a wild mob armed with sabres, pikes, and axes. One by one the prisoners, men and women alike, were led out from their cells, questioned briefly, pardoned with the cry of "Vive la Nation," or thrust out to the mob at the gates. There the crowd jostled and fought to get a slash or thrust at a victim. The condemned were stabbed, hacked, and beaten to death, their heads hewn off, stuck on pikes, and carried about the town, their torn bodies thrust aside. Among others, the Princesse de Lamballe, whom the king and queen had left behind in the Tuileries, perished. Her head was carried on a pike to the Temple for the queen to see.

In the queen's cell were two National Guards. One would have had her look out and see this grisly sight. The other, in pity, would not let her do so.

Even as this red tragedy was going on in Paris, the French general Dumouriez, who had rushed an army from Flanders into the forests of the Argonne, was holding up the advance of the allies beyond Verdun. On September 20th occurred a battle, mainly an artillery encounter, at Valmy. A not very resolute Prussian advance was checked,<sup>1</sup> the French infantry stood firm, their artillery was better than the allied artillery. For ten days after this repulse the Duke of Brunswick hesitated, and then he began to fall back towards the Rhine. This battle at Valmy—it was little more than a cannonade—was one of the decisive battles in the world's history. The Revolution was saved.

The National Convention met on September 21st, 1792, and immediately proclaimed a republic. The trial and execution of the king followed with a sort of logical necessity upon these things. He died rather as a symbol than as a man. There was nothing else to be done with him; poor man, he cumbered the earth. France could not let him go to hearten the emigrants, could not keep him harmless at home; his existence threatened her. Marat had urged this

<sup>1</sup> The sour grapes of Champagne had spread dysentery in the Prussian army.—P. G.

trial relentlessly, yet with that acid clearness of his he would not have the king charged with any offence committed before he signed the constitution, because before then he was a real monarch, super-legal, and so incapable of being illegal. Nor would Marat permit attacks upon the king's counsel. . . . Throughout Marat played a bitter and yet often a just part; he was a great man, a fine intelligence, in a skin of fire; wrung with that organic hate in the blood that is not a product of the mind but of the body.

Louis was beheaded in January, 1793. He was guillotined—for since the previous August the guillotine had been in use as the official instrument in French executions.

Danton, in his leonine rôle, was very fine upon this occasion. "The kings of Europe would challenge us," he roared. "We throw them the head of a king!"

## § 11

And now followed a strange phase in the history of the French people. There arose a great flame of enthusiasm for France and the Republic. There was to be an end to compromise at home and abroad; at home royalists and every form of disloyalty were to be stamped out; abroad France was to be the protector and helper of all revolutionaries. All Europe, all the world, was to become republican. The youth of France poured into the Republican armies; a new and wonderful song spread through the land, a song that still warms the blood like wine, the Marseillaise. Before that chant and the leaping columns of French bayonets and their enthusiastically served guns the foreign armies rolled back; before the end of 1792 the French armies had gone far beyond the utmost achievements of Louis XIV; everywhere they stood on foreign soil. They were in Brussels, they had overrun Savoy, they had raided to Mayence; they had seized the Scheldt from Holland. Then the French Government did an unwise thing. It had been exasperated by the expulsion of its representative from England upon the execution of Louis, and it declared war against England.

It was an unwise thing to do, because the revolution which had given France a new enthusiastic infantry, and a brilliant artillery, released from its aristocratic officers and many cramping traditions, had destroyed the discipline of its navy, and the English were supreme upon the sea. And this provocation united all England against France, whereas there had been at first a very considerable liberal movement in Great Britain in sympathy with the revolution.

Of the fight that France made in the next few years against a European coalition we cannot tell in any detail. She drove the Austrians for ever out of Belgium, and made Holland a republic. The Dutch fleet, frozen in the Texel, surrendered to a handful of cavalry without firing its guns. For some time the French thrust towards Italy was hung up, and it was only in 1796 that a new general, Napoleon Bonaparte, led the ragged and hungry republican armies in triumph across Piedmont to Mantua and Verona. An *Outline of History* cannot map out campaigns; but of the new quality that had come into war, it is bound to take note. The old professional armies had fought for the fighting, as slack workers paid by the hour; these wonderful new armies fought hungry and thirsty, for victory. Their enemies called them the "New French." Says C. F. Atkinson,<sup>1</sup> "What astonished the Allies most of all was the number and the velocity of the Republicans. These improvised armies had in fact nothing to delay them. Tents were unprocurable for want of money, untransportable for want of the enormous number of wagons that would have been required, and also unnecessary, for the discomfort that would have caused wholesale desertion in professional armies was cheerfully borne by the men of 1793-94. Supplies for armies of the then unheard-of size could not be carried in convoys, and the French soon became familiar with 'living on the country.' Thus 1793 saw the birth of the modern system of war—rapidity of movement, full development of

<sup>1</sup> In his article "French Revolutionary Wars," in the *Encyclopædia Britannica*.

national strength, bivouacs, requisitions and force as against cautious manœuvring, small professional armies, tents and full rations, and chicane. The first represented the decision-compelling spirit, the second the spirit of risking little to gain a little. . . .”

And while these ragged hosts of enthusiasts were chanting the Marseillaise and fighting for *la France*, manifestly never quite clear in their minds whether they were looting or liberating the countries into which they poured, the republican enthusiasm in Paris was spending itself in a far less glorious fashion. Marat, the one man of commanding intelligence among the Jacobins, was now frantic with an incurable disease, and presently he was murdered; Danton was a series of patriotic thunderstorms; the steadfast fanaticism of Robespierre dominated the situation. This man is difficult to judge; he was a man of poor physique, naturally timid, and a prig. But he had that most necessary gift for power, faith. He believed not in a god familiar to men, but in a certain Supreme Being, and that Rousseau was his prophet. He set himself to save the Republic as he conceived it, and he imagined it could be saved by no other man than he. So that to keep in power was to save the republic. The living spirit of the republic, it seemed, had sprung from a slaughter of royalists and the execution of the king. There were insurrections: one in the west, in the district of La Vendée, where the people rose against the conscription and against the dispossession of the orthodox clergy, and were led by noblemen and priests; one in the south, where Lyons and Marseilles had risen and the royalists of Toulon had admitted an English and Spanish garrison. To which there seemed no more effectual reply than to go on killing royalists.

Nothing could have better pleased the fierce heart of the Paris slums. The Revolutionary Tribunal went to work, and a steady slaughtering began.<sup>1</sup> The invention of the guillotine was opportune to this mood. The queen was guillotined,

<sup>1</sup> In the thirteen months before June, 1794, there were 1,220 executions; in the following seven weeks there were 1,376.—P. G.



most of Robespierre's antagonists were guillotined, atheists who argued that there was no Supreme Being were guillotined, Danton was guillotined because he thought there was too much guillotine; day by day, week by week, this infernal new machine chopped off heads and more heads and more. The reign of Robespierre lived, it seemed, on blood, and needed more and more, as an opium-taker needs more and more opium.

Danton was still Danton, leonine and exemplary upon the guillotine. "Danton," he said, "no weakness!"

And the grotesque thing about the story is that Robespierre was indubitably honest. He was far more honest than any of the group of men who succeeded him. He was inspired by a consuming passion for a new order of human life. So far as he could contrive it, the Committee of Public Safety, the emergency government of twelve which had now thrust aside the Convention, *constructed*. The scale on which it sought to construct was stupendous. All the intricate problems with which we still struggle to-day were met by swift and shallow solutions. Attempts were made to equalize property. "Opulence," said St. Just, "is infamous." The property of the rich was taxed or confiscated in order that it should be divided among the poor. Every man was to have a secure house, a living, a wife and children. The labourer was worthy of his hire, but not entitled to an advantage. There was an attempt to abolish *profit* altogether, the rude incentive of most human commerce since the beginning of society. Profit is the economic riddle that still puzzles us to-day. There were harsh laws against "profiteering" in France in 1793—England in 1919 found it necessary to make quite similar laws. And the Jacobin government not only replanned—in eloquent outline—the economic, but also the social system. Divorce was made as easy as marriage; the distinction of legitimate and illegitimate children was abolished. . . . A new calendar was devised, with new names for the months, a week of ten days, and the like—that has long since been swept away; but also the clumsy coinage and the tangled weights and measures

of old France gave place to the simple and lucid decimal system that still endures. . . . There was a proposal from one extremist group to abolish God among other institutions altogether, and to substitute the worship of Reason. There was, indeed, a Feast of Reason in the cathedral of Notre-Dame, with a pretty actress as the goddess of Reason. But against this Robespierre set his face; he was no atheist. "Atheism," he said, "is aristocratic. The idea of a Supreme Being who watches over oppressed innocence and punishes triumphant crime is essentially the idea of the people."

So he guillotined Hébert, who had celebrated the Feast of Reason, and all his party.

A certain mental disorder became perceptible in Robespierre as the summer of 1794 drew on. He was deeply concerned with his religion. (The arrests and executions of suspects were going on now as briskly as ever. Through the streets of Paris every day rumbled the Terror with its carts full of condemned people.) He induced the Convention to decree that France believed in a Supreme Being, and in that comforting doctrine, the immortality of the soul. In June he celebrated a great festival, the festival of his Supreme Being. There was a procession to the Champ de Mars, which he headed, brilliantly arrayed, bearing a great bunch of flowers and wheat ears. Figures of inflammatory material, representing Atheism and Vice, were solemnly burnt; then, by an ingenious mechanism, and with some slight creakings, an incombustible statue of Wisdom rose in their place. There were discourses—Robespierre delivered the chief one—but apparently no worship. . . .

Thereafter Robespierre displayed a disposition to brood aloof from affairs. For a month he kept away from the Convention.

One day in July he reappeared and delivered a strange speech that clearly foreshadowed fresh prosecutions. "Gazing on the multitude of vices which the torrent of Revolution has rolled down," he cried, in his last great speech in the Convention, "I have sometimes trembled lest I should be soiled by the impure neighbourhood of wicked men. . . . I know

that it is easy for the leagued tyrants of the world to overwhelm a single individual; but I know also what is the duty of a man who can die in the defence of humanity." . . .

And so on to vague utterances that seemed to threaten everyone.

The Convention heard this speech in silence; then when a proposal was made to print and circulate it, broke into a resentful uproar and refused permission. Robespierre went off in bitter resentment to the club of his supporters, and *re-read his speech to them!*

That night was full of talk and meetings and preparations for the morrow, and the next morning the Convention turned upon Robespierre. One Tallien threatened him with a dagger. When he tried to speak, he was shouted down, and the President jingled the bell at him. "President of Assassins," cried Robespierre, "I demand speech!" It was refused him. His voice deserted him; he coughed and spluttered. "The blood of Danton chokes him," cried someone.

He was accused and arrested there and then with his chief supporters.

Whereupon the Hôtel de Ville, still stoutly Jacobin, rose against the Convention, and Robespierre and his companions were snatched out of the hands of their captors. There was a night of gathering, marching, counter-marching; and at last, about three in the morning, the forces of the Convention faced the forces of the Commune outside the Hôtel de Ville. Henriot, the Jacobin commander, after a busy day was drunk upstairs; a parley ensued, and then, after some indecision, the soldiers of the Commune went over to the Government. There was a shouting of patriotic sentiments, and someone looked out from the Hôtel de Ville. Robespierre and his last companions found themselves betrayed and trapped.

Two or three of these men threw themselves out of a window, and injured themselves frightfully on the railings below without killing themselves. Others attempted suicide. Robespierre, it seems, was shot in the lower jaw by a gen-

darme. He was found, his eyes staring from a pale face whose lower part was blood.

Followed seventeen hours of agony before his end. He spoke never a word during that time; his jaw being bound up roughly in dirty linen. He and his companions, and the broken, dying bodies of those who had jumped from the windows, twenty-two men altogether, were taken to the guillotine instead of the condemned appointed for that day. Mostly his eyes were closed, but, says Carlyle, he opened them to see the great knife rising above him, and struggled. Also it would seem he screamed when the executioner removed his bandages. Then the knife came down, swift and merciful.

The Terror was at an end. From first to last there had been condemned and executed about four thousand people.

## § 12

It witnesses to the immense vitality and the profound rightness of the flood of new ideals and intentions that the French Revolution had released into the world of practical endeavour, that it could still flow in a creative torrent after it had been caricatured and mocked in the grotesque personality and career of Robespierre. He had shown its deepest thoughts, he had displayed anticipations of its methods and conclusions through the green and distorting lenses of his preposterous vanity and egotism, he had smeared and blackened all its hope and promise with blood and horror, and the power of these ideas was not destroyed. They stood the extreme tests of ridiculous and horrible presentation. After his downfall, the Republic still ruled unassailable. Leaderless, for his successors were a group of crafty or commonplace men, the European republic struggled on, and presently fell and rose again, and fell and rose and still struggles, entangled but invincible.

And it is well to remind the reader here of the real dimensions of this phase of the Terror, which strikes so vividly upon the imagination and which has therefore been enor-

mously exaggerated relatively to the rest of the revolution. From 1789 to late in 1791 the French Revolution was an orderly process, and from the summer of 1794 the Republic was an orderly and victorious state. The Terror was not the work of the whole country, but of the town mob which owed its existence and its savagery to the misrule and social injustice of the ancient régime; and the explosion of the Terror could have happened only through the persistent treacherous disloyalty of the royalists which, while it raised the extremists to frenzy, disinclined the mass of moderate republicans from any intervention. The best men were busy fighting the Austrians and royalists on the frontier. Altogether, we must remember, the total of the killed in the Terror amounted to a few thousands, and among those thousands there were certainly a great number of active antagonists whom the Republic, by all the standards of that time, was entitled to kill. It included such traitors and mischief-makers as Philip, Duke of Orleans of the Palais Royal, who had voted for the death of Louis XVI. More lives were wasted by the British generals alone on the opening day of what is known as the Somme offensive of July, 1916, than in the whole French Revolution from start to finish. We hear so much about the martyrs of the French Terror because they were notable, well-connected people, and because there has been a sort of propaganda of their sufferings. But let us balance against them in our minds what was going on in the prisons of the world generally at that time. In Britain and America, while the Terror ruled in France, far more people were slaughtered for offences—very often quite trivial offences—against property than were condemned by the Revolutionary Tribunal for treason against the State. Or course, they were very common people indeed, but in their rough way they suffered. A girl was hanged in Massachusetts in 1789 for forcibly taking the hat, shoes, and buckles of another girl she had met in the street.<sup>1</sup> Again, Howard the philanthropist (about 1773)

<sup>1</sup> Channing, vol. iii. chap. xviii.

found a number of perfectly innocent people detained in the English prisons who had been tried and acquitted, but were unable to pay the gaoler's fees. And these prisons were filthy places under no effective control. Torture was still in use in the Hanoverian dominions of his Britannic majesty King George III. It had been in use in France up to the time of the National Assembly. These things mark the level of the age. It is not on record that anyone was deliberately tortured by the French revolutionaries during the Terror. Those few hundreds of French gentlefolk fell into a pit that most of them had been well content should exist for others. It was tragic, but not, by the scale of universal history, a great tragedy. The common man in France was more free, better off, and happier during the "Terror" than he had been in 1787.

The story of the Republic after the summer of 1794 becomes a tangled story of political groups aiming at everything from a radical republic to a royalist reaction, but pervaded by a general desire for some definite working arrangement even at the price of considerable concessions. There was a series of insurrections of the Jacobins and of the royalists, there seems to have been what we should call nowadays a hooligan class in Paris which was quite ready to turn out to fight and loot on either side; nevertheless the Convention produced a government, the Directory of five members, which held France together for five years. The last, most threatening revolt of all, in October, 1795, was suppressed with great skill and decision by a rising young general, Napoleon Bonaparte.

The Directory was victorious abroad, but uncreative at home; its members were far too anxious to stick to the sweets and glories of office to prepare a constitution that would supersede them, and far too dishonest to handle the task of financial and economic reconstruction demanded by the condition of France. We need only note two of their names, Carnot, who was an honest republican, and Barras, who was conspicuously a rogue. Their reign of five years formed a curious interlude in this history of great changes. They

took things as they found them. The propagandist zeal of the revolution carried the French armies into Holland, Belgium, Switzerland, south Germany, and north Italy. Everywhere kings were expelled and republics set up. But such propagandist zeal as animated the Directorate did not prevent the looting of the treasures of the liberated peoples to relieve the financial embarrassment of the French Government. Their wars became less and less the holy war of freedom, and more and more like the aggressive wars of the ancient régime. The last feature of Grand Monarchy that France was disposed to discard was her tradition of foreign policy, grasping, aggressive, restless, French-centred. One discovers it still as vigorous under the Directorate as if there had been no revolution.

### § 13

The ebb of this tide of Revolution in the world, this tide which had created the great Republic of America and threatened to submerge all European monarchies, was now at hand. It is as if something had thrust up from beneath the surface of human affairs, made a gigantic effort, and for a time spent itself. It swept many obsolescent and evil things away, but many evil and unjust things remained. It solved many problems, and it left the desire for fellowship and order face to face with much vaster problems that it seemed only to have revealed. Privilege of certain types had gone, many tyrannies, much religious persecution. When these things of the ancient régime had vanished, it seemed as if they had never mattered. What did matter was that for all their votes and enfranchisement, and in spite of all their passion and effort, common men were still not free and not enjoying an equal happiness; that the immense promise and air of a new world with which the Revolution had come, remained unfulfilled.

Yet, after all, this wave of revolution had realized nearly everything that had been clearly thought out before it came. It was not failing now for want of impetus, but for want of

finished ideas. Many things that had oppressed mankind were swept away for ever. Now that they were swept away it became apparent how unprepared men were for the creative opportunities this clearance gave them. And periods of revolution are periods of action; in them men reap the harvests of ideas that have grown during phases of interlude, and they leave the fields cleared for a new season of growth, but they cannot suddenly produce ripened new ideas to meet an unanticipated riddle.

The sweeping away of king and lord, of priest and inquisitor, of landlord and taxgatherer and task-master, left the mass of men face to face for the first time with certain very fundamental aspects of the social structure, relationships they had taken for granted, and had never realized the need of thinking hard and continuously about before. Institutions that had seemed to be in the nature of things, and matters that had seemed to happen by the same sort of necessity that brought round the dawn and springtime, were discovered to be artificial, controllable, were they not so perplexingly intricate, and—now that the old routines were abolished and done away with—in urgent need of control. The New Order found itself confronted with three riddles which it was quite unprepared to solve: Property, Currency, and International Relationship.

Let us take these three problems in order, and ask what they are and how they arose in human affairs. Every human life is deeply entangled in them, and concerned in their solution. The rest of this history becomes more and more clearly the development of the effort to solve these problems; that is to say, so to interpret property, so to establish currency, and so to control international reactions as to render possible a world-wide, progressive and happy community of will. They are the three riddles of the sphinx of fate, to which the human commonweal must find an answer or perish.

The idea of property arises out of the combative instincts of the species. Long before men were men, the ancestral ape was a proprietor. Primitive property is what a beast will fight for. The dog and his bone, the tigress and her



lair, the roaring stag and his herd, these are proprietorship blazing. No more nonsensical expression is conceivable in sociology than the term "primitive communism." The Old Man of the family tribe of early palæolithic times insisted upon his proprietorship in his wives and daughters, in his tools, in his visible universe. If any other man wandered into his visible universe he fought him, and if he could he slew him. The tribe grew in the course of ages, as Atkinson showed convincingly in his *Primal Law*, by the gradual toleration by the Old Man of the existence of the younger men, and of their proprietorship in the wives they captured from outside the tribe, and in the tools and ornaments they made and the game they slew. Human society grew by a compromise between this one's property and that. It was largely a compromise and an alliance forced upon men by the necessity of driving some other tribe out of its visible universe. If the hills and forests and streams were not *your* land or *my* land, it was because they had to be *our* land. Each of us would have preferred to have it *my* land, but that would not work. In that case the other fellows would have destroyed us. Society, therefore, is from its beginnings the mitigation of ownership. Ownership in the beast and in the primitive savage was far more intense a thing than it is in the civilized world to-day. It is rooted more strongly in our instincts than in our reason.

In the natural savage and in the untutored man to-day—for it is well to keep in mind that no man to-day is more than four hundred generations from the primordial savage—there is no limitation to the sphere of ownership. Whatever you can fight for, you can own; women-folk, spared captive, captured beast, forest glade, stone pit or what not. As the community grew and a sort of law came to restrain internecine fighting, men developed rough and ready methods of settling proprietorship. Men could own what they were the first to make or capture or claim. It seemed natural that a debtor who could not pay up should become the property of his creditor. Equally natural was it that, after claiming a patch of land ("Bags I," as the schoolboy says), a man should exact

payment and tribute from anyone else who wanted to use it. It was only slowly, as the possibilities of organized life dawned on men, that this unlimited property in anything whatever began to be recognized as a nuisance. Men found themselves born into a universe all owned and claimed, nay! they found themselves born owned and claimed. The social struggles of the earlier civilization are difficult to trace now, but the history we have told of the Roman republic shows a community waking up to the idea that debt may become a public inconvenience and should then be repudiated, and that the unlimited ownership of land is also an inconvenience. We find that later Babylonia severely limited the rights of property in slaves. Finally, we find in the teaching of that great revolutionist, Jesus of Nazareth, such an attack upon property as had never been before. Easier it was, he said, for a camel to go through the eye of a needle than for the owner of great possessions to enter the kingdom of heaven. A steady, continuous criticism of the permissible scope of property seems to have been going on in the world for the last twenty-five or thirty centuries. Nineteen hundred years after Jesus of Nazareth we find all the world that has come under the Christian teaching persuaded that there could be no property in persons. There has been a turn over in the common conscience in that matter. And also the idea that "a man may do what he likes with his own" was clearly very much shaken in relation to other sorts of property. But this world of the closing eighteenth century was still only in the interrogative stage in this matter. It had got nothing clear enough, much less settled enough, to act upon. One of its primary impulses was to protect property against the greed and waste of kings and the exploitation of noble adventurers. It was to protect private property that the Revolution began. But its equalitarian formulæ carried it into a criticism of the very property it had risen to protect. How can men be free and equal when numbers of them have no ground to stand upon and nothing to eat, and the owners will neither feed nor lodge them unless they toil? Excessively—the poor complained.

To which riddle the Jacobin reply was to set about "dividing up." They wanted to intensify and universalize property. Aiming at the same end by another route, there were already in the eighteenth century certain primitive socialists—or, to be more exact, communists—who wanted to "abolish" private property altogether. The state (a democratic state was of course understood) was to own all property. It was only as the nineteenth century developed that men began to realize that property was not one simple thing, but a great complex of ownerships of different values and consequences, that many things (such as human beings, the implements of an artist, clothing, toothbrushes) are very profoundly and incurably personal property, and that there is a very great range of things, railways, machinery of various sorts, homes, cultivated gardens, pleasure-boats, for example, which need each to be considered very particularly to determine how far and under what limitations it may come under private ownership, and how far it falls into the public domain and may be administered and let out by the state in the collective interest. On the practical side these questions pass into politics, and the problem of making and sustaining efficient state administration. They open up issues in social psychology, and interact with the enquiries of educational science. We have to-day the advantage of a hundred and thirty years of discussion over the first revolutionary generation, but even now this criticism of property is still a vast and passionate ferment rather than a science. Under the circumstances it was impossible that eighteenth-century France should present any other spectacle than that of vague and confused popular movements seeking to dispossess owners, and classes of small and large owners holding on grimly, demanding, before everything else, law, order, and security, and seeking to increase their individual share of anything whatever that could be legally possessed.

Closely connected with the vagueness of men's ideas about property was the vagueness of their ideas about currency. Both the American and the French republics fell into serious trouble upon this score. Here, again, we

deal with something that is not simple, a tangle of usages, conventions, laws, and prevalent mental habits, out of which arise problems which admit of no solution in simple terms, and which yet are of vital importance to the everyday life of the community. The validity of the acknowledgment a man is given for a day's work is manifestly of quite primary importance to the working of the social machine. The growth of confidence in the precious metals and of coins, until the assurance became practically universal that good money could be trusted to have its purchasing power anywhere, must have been a gradual one in human history. And being fairly established, this assurance was subjected to very considerable strains and perplexities by the action of governments in debasing currency and in substituting paper promises to pay for the actual metallic coins. Every age produced a number of clever people intelligent enough to realize the opportunities for smart operations afforded by the complex of faiths and fictions upon which the money system rested, and sufficiently unsound morally to give their best energies to growing rich and so getting people to work for them, through tricks and tampering with gold coinage, and credit. So soon as serious political and social dislocation occurred the money mechanism began to work stiffly and inaccurately. The United States and the French Republic both started their careers in a phase of financial difficulty. Everywhere governments had been borrowing and issuing paper promises to pay interest, more interest than they could conveniently raise. Both revolutions led to much desperate public spending and borrowing, and at the same time to an interruption of cultivation and production that further diminished real taxable wealth. Both governments, being unable to pay their way in gold, resorted to the issue of paper money, promising to pay upon the security of undeveloped land (in America) or recently confiscated church lands (France). In both cases the amount of issue went far beyond the confidence of men in the new security. Gold was called in, hidden by the cunning ones, or went abroad to pay for imports; and people found themselves with various sorts of bills

and notes in the place of coins, all of uncertain and diminishing value.

However complicated the origins of currency, its practical effect and the end it has to serve in the community may be stated roughly in simple terms. The money a man receives for his work (mental or bodily) or for relinquishing his property in some consumable good, must ultimately be able to purchase for him for his use a fairly equivalent amount of consumable goods. ("Consumable goods" is a phrase we would have understood in the widest sense to represent even such things as a journey, a lecture or theatrical entertainment, housing, medical advice, and so forth.) When everyone in a community is assured of this, and assured that the money will not deteriorate in purchasing power, then currency—and the distribution of goods by trade—is in a healthy and satisfactory state. Then men will work cheerfully, and only then. The imperative need for that steadfastness and security of currency is the fixed datum from which the scientific study and control of currency must begin. But under the most stable conditions there will always be fluctuations in currency value. The sum total of salable consumable goods in the world and in various countries varies from year to year and from season to season; autumn is probably a time of plenty in comparison with spring; with an increase in the available goods in the world the purchasing power of currency will increase, unless there is also an increase in the amount of currency. On the other hand, if there is a diminution in the production of consumable goods or a great and unprofitable destruction of consumable goods, such as occurs in a war, the share of the total of consumable goods represented by a sum of money will diminish and prices and wages will rise. In modern war the explosion of a single big shell, even if it hits nothing, destroys labour and material roughly equivalent to a comfortable cottage or a year's holiday for a man. If the shell hits anything, then that further destruction has to be added to the diminution of consumable goods. Every shell that burst in the recent war diminished by a little fraction the purchasing value of every coin in the

whole world. If there is also an increase of currency during a period when consumable goods are being used up and not fully replaced—and the necessities of revolutionary and war-making governments almost always require this—then the enhancement of prices and the fall in the value of the currency paid in wages is still greater. Usually also governments under these stresses borrow money, that is to say, they issue interest-bearing paper, secured on the willingness and ability of the general community to endure taxation. Such operations would be difficult enough if they were carried out frankly by perfectly honest men, in the full light of publicity and scientific knowledge. But hitherto this has never been the case; at every point the clever egotist, the bad sort of rich man, is trying to deflect things a little to his own advantage. Everywhere too one finds the stupid egotist ready to take fright and break into panic. Consequently we presently discover the state encumbered by an excess of currency, which is in effect a non-interest-paying debt, and also with a great burthen of interest upon loans. Both credit and currency begin to fluctuate wildly with the evaporation of public confidence. They are, we say, demoralized.

The ultimate consequence of an entirely demoralized currency would be to end all work and all trade that could not be carried on by payment in kind and barter. Men would refuse to work except for food, clothing, housing, and payment in kind. The immediate consequence of a partially demoralized currency is to drive up prices and make trading feverishly adventurous and workers suspicious and irritable. A sharp man wants under such conditions to hold money for as brief a period as possible; he demands the utmost for his reality, and buys a reality again as soon as possible in order to get this perishable stuff, the currency paper, off his hands. All who have fixed incomes and saved accumulations suffer by the rise in prices, and the wage-earners find, with a gathering fury, that the real value of their wages is continually less. Here is a state of affairs where the duty of every clever person is evidently to help adjust and reassure.

But all the traditions of private enterprise, all the ideas of the later eighteenth century, went to justify the action of acute-minded and dexterous people who set themselves to accumulate claims, titles, and tangible property in the storms and dislocations of this currency breakdown. The number of understanding people in the world who were setting themselves sincerely and simply to restore honest and workable currency and credit conditions were few and ineffectual. Most of the financial and speculative people of the time were playing the part of Cornish wreckers—not apparently with any conscious dishonesty, but with the completest self-approval and the applause of their fellow men. The aim of every clever person was to accumulate as much as he could of really negotiable wealth, and then, and only then, to bring about some sort of stabilizing political process that would leave him in advantageous possession of his accumulation. Here were the factors of a bad economic atmosphere, suspicious, feverish, greedy, and speculative. . . .

In the third direction in which the Revolution had been unprepared with clear ideas, the problem of international relationships, developments were to occur that interacted disastrously with this state of financial and economic adventure, this scramble and confusion, this preoccupation of men's minds with the perplexing slipperiness of their private property and their monetary position at home. The Republic at its birth found itself at war. For a time that war was waged by the new levies with a patriotism and a zeal unparalled in the world's history. But that could not go on. The Directory found itself at the head of a conquering country, intolerably needy and embarrassed at home, and in occupation of rich foreign lands, full of seizable wealth and material and financial opportunity. We have all double natures, and the French in particular seem to be developed logically and symmetrically on both sides. Into these conquered regions France came as a liberator, the teacher of Republicanism to mankind. Holland and Belgium became the Batavian Republic, Genoa and its Riviera the Ligurian Republic, north Italy the Cisalpine Republic, Switzerland

was rechristened the Helvetic Republic, Mülhausen, Rome, and Naples were designated republics. Grouped about France, these republics were to be a constellation of freedom leading the world. That was the ideal side. At the same time the French government, and French private individuals in concert with the government, proceeded to a complete and exhaustive exploitation of the resources of these liberated lands.

So within ten years of the meeting of the States General, New France begins to take on a singular likeness to the old. It is more flushed, more vigorous; it wears a cap of liberty instead of a crown; it has a new army—but a damaged fleet; it has new rich people instead of the old rich people, a new peasantry working even harder than the old and yielding more taxes, a new foreign policy curiously like the old foreign policy disrobed, and—there is no Millennium.





## XXXVII

### THE CAREER OF NAPOLEON BONAPARTE

- § 1. *The Bonaparte Family in Corsica.* § 2. *Bonaparte as a Republican General.* § 3. *Napoleon First Consul, 1799-1804.* § 4. *Napoleon I, Emperor, 1804-14.* § 5. *The Hundred Days.* § 6. *The Map of Europe in 1815.*

#### § 1 .

AND now we come to one of the most illuminating figures in modern history, the figure of an adventurer and a wrecker, whose story seems to display with an extraordinary vividness the universal subtle conflict of egoism, vanity, and personality with the weaker, wider claims of the common good. Against this background of confusion and stress and hope, this strained and heaving France and Europe, this stormy and tremendous dawn, appears this dark little archaic personage, hard, compact, capable, unscrupulous, imitative, and neatly vulgar. He was born (1769) in the still half-barbaric island of Corsica, the son of a rather prosaic father, a lawyer who had been first a patriotic Corsican against the French monarchy which was trying to subjugate Corsica, and who had then gone over to the side of the invader. His mother was of sturdier stuff, passionately patriotic and a strong and managing woman. (She birched her sons; on one occasion she birched Napoleon when he was sixteen.) There were numerous brothers and sisters, and the family pursued the French authorities with importunities for rewards and jobs. Except for Napoleon it seems to have been a thoroughly commonplace, "hungry" family. He was clever, bad-tempered, and overbearing. From his

mother he had acquired a romantic Corsican patriotism.

Through the patronage of the French governor of Corsica he got an education first at the military school of Brienne and then at the military school of Paris, from which he passed into the artillery in 1785. He was an industrious student both of mathematics and history, his memory was prodigiously good, and he made copious note-books which still exist. These note-books show no very exceptional intelligence, and they contain short pieces of original composition—upon suicide and similar adolescent topics. He fell early under the spell of Rousseau; he developed sensibility and a scorn for the corruptions of civilization. In 1786 he wrote a pamphlet against a Swiss pastor who had attacked Rousseau. It was a very ordinary adolescent production, rhetorical and imitative. He dreamt of an independent Corsica, freed from the French. With the revolution, he became an ardent republican and a supporter of the new French régime in Corsica. For some years, until the fall of Robespierre, he remained a Jacobin.

## § 2

He soon gained the reputation of a useful and capable officer, and it was through Robespierre's younger brother that he got his first chance of distinction at Toulon. Toulon had been handed over to the British and Spanish by the Royalists, and an allied fleet occupied its harbour. Bonaparte was given the command of the artillery, and under his direction the French forced the allies to abandon the port and town.

He was next appointed commander of the artillery in Italy, but he had not taken up his duties when the death of Robespierre seemed likely to involve his own; he was put under arrest as a Jacobin, and for a time he was in danger of the guillotine. That danger passed. He was employed as artillery commander in an abortive raid upon Corsica, and then went to Paris (1795) rather down at heel. Madame

Junot in her Memoirs describes his lean face and slovenly appearance at this time, "his ill-combed, ill-powdered hair hanging down over his grey overcoat," his gloveless hands and badly blacked boots. It was a time of exhaustion and reaction after the severities of the Jacobite republic. "In Paris," says Holland Rose, "the star of Liberty was paling before Mercury, Mars, and Venus"—finance, uniforms, and social charm. The best of the common men were in the armies, away beyond the frontiers. We have already noted the last rising of the royalists in this year (1795). Napoleon had the luck to be in Paris, and found his second opportunity in this affair. He saved the Republic—of the Directory.

His abilities greatly impressed Carnot, the most upright of the Directors. Moreover, he married a charming young widow, Madame Josephine de Beauharnais, who had great influence with Barras. Both these things probably helped him to secure the command in Italy.

We have no space here for the story of his brilliant campaigns in Italy (1796-97), but of the spirit in which that invasion of Italy was conducted we must say a word or two, because it illustrates so vividly the double soul of France and of Napoleon, and how revolutionary idealism was paling before practical urgencies. He proclaimed to the Italians that the French were coming to break their chains—and *they were!* He wrote to the Directory: "We will levy 20,000,000 francs in exactions in this country; it is one of the richest in the world." To his soldiers he said, "You are famished and nearly naked. . . . I lead you into the most fertile plain in the world. There you will find great towns, rich provinces, honour, glory, riches. . . ."

We are all such mixed stuff as this; in all of us the intimations of a new world and a finer duty struggle to veil and control the ancient greeds and lusts of our inherited past; but these passages, written by a young man of twenty-seven, seem to show the gilt of honourable idealism rubbed off at an unusually early age. These are the bribes of an adventurer

who has brought whatever impulse of devotion to a great cause once stirred within him, well under the control of his self love.

His successes in Italy were brilliant and complete; they enormously stimulated his self-confidence and his contempt for the energy and ability of his fellow creatures. He had wanted to go into Italy because there lay the most attractive task—he had risked his position in the army by refusing to take up the irksome duties of a command against the rebels in La Vendée—and there are clear signs of a vast expansion of his vanity with his victories. He had been a great reader of Plutarch's Lives and of Roman history, and his extremely active but totally uncreative imagination was now busy with dreams of a revival of the eastern conquests of the Roman Empire. He got the republic of Venice out of his way by cutting it up between the French and Austria, securing the Ionian islands and the Venetian fleet for France. This peace, the peace of Campo Formio, was for both sides a thoroughly scoundrelly and ultimately a disastrous bargain. The new republic of France assisted in the murder of an ancient republic—Napoleon carried his point against a considerable outcry in France—and Austria got Venetia, in which land in 1918 she was destined to bleed to death. There were also secret clauses by which both France and Austria were later to acquire south German territory. And it was not only the Roman push eastward that was now exciting Napoleon's brain. This was the land of Cæsar—and Cæsar was a bad example for the successful general of a not very stable republic.

Cæsar had come back to Rome from Gaul a hero and conqueror. His new imitator would come back from Egypt and India—Egypt and India were to be his Gaul. There was really none of the genius about which historians write so glibly in this decision. It was a tawdry and ill-conceived imitation. The elements of failure stared him in the face. The way to Egypt and India was by sea, and the British, in spite of two recent naval mutinies, whose importance Napoleon exaggerated, were stronger than the French at sea.

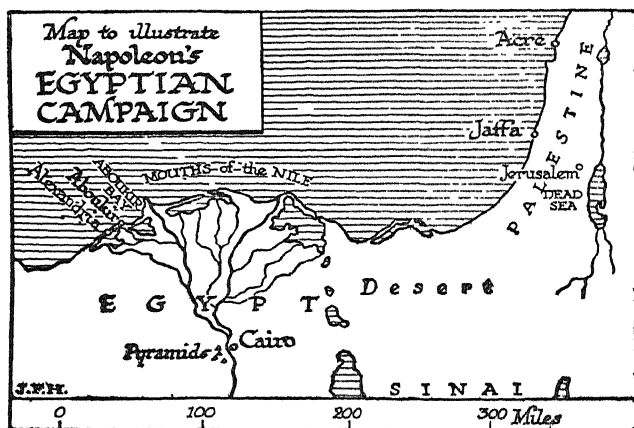
Moreover, Egypt was a part of the Turkish empire, by no means a contemptible power in those days. Nevertheless he persuaded the Directory, which was dazzled by his Italian exploits, to let him go. An Armada started from Toulon in May, 1798, captured Malta, and had the good luck to evade the British fleet and arrive at Alexandria. He landed his troops hurriedly, and the battle of the Pyramids made him master of Egypt.

The main British fleet at that time was in the Atlantic outside Cadiz, but the admiral had detached a force of his best ships, under Vice-Admiral Nelson—as great a genius in naval affairs as was Napoleon in things military—to chase and engage the French flotilla. For a time Nelson sought the French fleet in vain; finally, on the evening of the first of August, he found it at anchor in Aboukir bay. He had caught it unawares; many of the men were ashore and a council was being held in the flagship. He had no charts, and it was a hazardous thing to sail into the shallow water in a bad light. The French admiral concluded, therefore, that his adversary would not attack before morning, and so made no haste in recalling his men aboard until it was too late to do so. Nelson however, struck at once—against the advice of some of his captains. One ship only went aground. She marked the shoal for the rest of the fleet. He sailed to the attack in a double line about sundown, putting the French between two fires. Night fell as the battle was joined; the fight thundered and clashed in the darkness, until it was lit presently by the flames of burning French ships, and then by the flare of the French flag-ship, the *Orient*, blowing up. . . . Before midnight the battle of the Nile was over, and Napoleon's fleet was destroyed. Napoleon was cut off from France.

Says Holland Rose, quoting Thiers, this Egyptian expedition was "the rashest attempt history records." Napoleon was left in Egypt with the Turks gathering against him and his army infected with the plague. Nevertheless, with a stupid sort of persistence, he went on for a time with this Eastern scheme. He gained a victory at Jaffa, and, being

short of provisions, *massacred all his prisoners*. Then he tried to take Acre, where his own siege artillery, just captured at sea by the English, was used against him. Returning baffled to Egypt, he gained a brilliant victory over a Turkish force at Aboukir, and then, deserting the army of Egypt—it held on until 1801, when it capitulated to a British force—made his escape back to France (1799), narrowly missing capture by a British cruiser off Sicily.

Here was muddle and failure enough to discredit any general—had it been known. But the very British cruisers which came so near to catching him, helped him by prevent-



ing any real understanding of the Egyptian situation from reaching the French people. He could make a great flourish over the battle of Aboukir and conceal the shame and loss of Acre. Things were not going well with France just then. There had been military failures at several points; much of Italy had been lost, Bonaparte's Italy, and this turned men's minds to him as the natural saviour of that situation; moreover, there had been much speculation, and some of it was coming to light; France was in one of her phases of financial scandal, and Napoleon had not filched; the public was in that state of moral fatigue when a strong and honest man is

called for, a wonderful, impossible healing man who will do everything for everybody. People, poor lazy souls, persuaded themselves that this specious young man with the hard face, so providentially back from Egypt, was the strong and honest man required—another Washington.

With Julius Cæsar rather than Washington at the back of his mind, Napoleon responded to the demand of his time. A conspiracy was carefully engineered to replace the Directory by three "Consuls"—everybody seems to have been reading far too much Roman history just then—of whom Napoleon was to be the chief. The working of that conspiracy is too intricate a story for our space; it involved a Cromwell-like dispersal of the Lower House (the Council of Five Hundred), and in this affair Napoleon lost his nerve. The deputies shouted at him and hustled him, and he seems to have been very much frightened. He nearly fainted, stuttered, and could say nothing, but the situation was saved by his brother Lucien, who brought in the soldiers and dispersed the council. This little hitch did not affect the final success of the scheme. The three Consuls were installed at the Luxembourg palace, with two commissioners, to reconstruct the constitution.

With all his confidence restored and sure of the support of the people, who supposed him to be honest, patriotic, republican, and able to bring about a good peace, Napoleon took a high hand with his colleagues and the commissioners. A constitution was produced in which the chief executive officer was to be called the First Consul, with enormous powers. He was to be Napoleon; this was part of the constitution. He was to be re-elected or replaced at the end of ten years. He was to be assisted by a Council of State, appointed by himself, which was to initiate legislation and send its proposals to two bodies, the Legislative Body (which could vote, but not discuss) and the Tribunate (which could discuss, but not vote), which were *selected* by an appointed Senate from a special class, the "notabilities of France," who were elected by the "notabilities of the departments," who were elected by the "notabilities of the commune," who were



elected by the common voters. The suffrage for the election of the notabilities of the commune was universal. This was the sole vestige of democracy in the astounding pyramid. This constitution was chiefly the joint production of a worthy philosopher Sieyès, who was one of the three consuls, and Bonaparte. But so weary was France with her troubles and efforts, and so confident were men in the virtue and ability of this adventurer from Corsica, that when, at the birth of the nineteenth century, this constitution was submitted to the country, it was carried by 3,011,007 votes to 1,562. France put herself absolutely in Bonaparte's hands, and prepared to be peaceful, happy, and glorious.

### § 3

Now surely here was opportunity such as never came to man before. Here was a position in which a man might well bow himself in fear of himself, and search his heart and serve God and man to the utmost. The old order of things was dead or dying; strange new forces drove through the world seeking form and direction; the promise of a world republic and an enduring world peace whispered in a multitude of startled minds. Had this man any profundity of vision, any power of creative imagination, had he been accessible to any disinterested ambition, he might have done work for mankind that would have made him the very sun of history. All Europe and America, stirred by the first promise of a new age, was waiting for him. Not France alone. France was in his hand, his instrument, to do with as he pleased, willing for peace, but tempered for war like an exquisite sword. There lacked nothing to this great occasion but a noble imagination. And failing that, Napoleon could do no more than strut upon the crest of this great mountain of opportunity like a cockerel on a dunghill. The figure he makes in history is one of almost incredible self-conceit, of vanity, greed, and cunning, of callous contempt and disregard of all who trusted him, and of a grandioses aping of Cæsar, Alexander, and Charlemagne which would

be purely comic if it were not caked over with human blood. Until, as Victor Hugo said in his tremendous way, "God was bored by him," and he was kicked aside into a corner to end his days, explaining and explaining how very clever his worse blunders had been, prowling about his dismal hot island shooting birds and squabbling meanly with an underbred gaoler who failed to show him proper "respect."

His career as First Consul was perhaps the least dishonourable phase in his career. He took the crumbling military affairs of the Directory in hand, and after a complicated campaign in North Italy brought matters to a head in the victory of Marengo, near Alessandria (1800). It was a victory that at some moments came very near disaster. In the December of the same year General Moreau, in the midst of snow, mud, and altogether abominable weather, inflicted an overwhelming defeat upon the Austrian army at Hohenlinden. If Napoleon had gained this battle, it would have counted among his most characteristic and brilliant exploits.

These things made the hoped-for peace possible. In 1801 the preliminaries of peace with England and Austria were signed. Peace with England, the Treaty of Amiens, was concluded in 1802, and Napoleon was free to give himself to the creative statecraft of which France, and Europe through France, stood in need. The war had given the country extended boundaries, the treaty with England restored the colonial empire of France and left her in a position of security beyond the utmost dreams of Louis XIV. It was open to Napoleon to work out and consolidate the new order of things, to make a modern state that should become a beacon and inspiration to Europe and all the world.

He attempted nothing of the sort. He did not realize that there were such things as modern states in the scheme of possibility. His little imitative imagination was full of a deep cunning dream of being Cæsar over again—as if this universe would ever tolerate anything of that sort over again! He was scheming to make himself a real emperor, with a crown upon his head and all his rivals and school-fellows and friends at his feet. This could give him no

fresh power that he did not already exercise, but it would be more splendid—it would astonish his mother. What response was there in a head of that sort for the splendid creative challenge of the time? But first France must be prosperous. France hungry would certainly not endure an emperor. He set himself to carry out an old scheme of roads that Louis XV had approved; he developed canals in imitation of the English canals; he reorganized the police and made the country safe; and, preparing the scene for his personal drama, he set himself to make Paris look like Rome, with classical arches, with classical columns. Admirable schemes for banking development were available, and he made use of them. In all these things he moved with the times, they would have happened—with less autocracy, with less centralization, if he had never been born. And he set himself to weaken the republicans whose fundamental convictions he was planning to outrage. He recalled the émigrés, provided they gave satisfactory assurances to respect the new régime. Many were very willing to come back on such terms, and let Bourbons be by-gones. And he worked out a great reconciliation, a Concordat, with Rome. Rome was to support him, and he was to restore the authority of Rome in the parishes. France would never be obedient and manageable, he thought; she would never stand a new monarchy, without religion. "How can you have order in a state," he said, "without religion? Society cannot exist without inequality of fortunes, which cannot endure apart from religion. When one man is dying of hunger near another who is ill of surfeit, he cannot resign himself to this difference, unless there is an authority which declares—'God wills it thus: there must be poor and rich in the world: but hereafter and during all eternity the division of things will take place differently.'"

Religion—especially of the later Roman brand—was, he thought, excellent stuff for keeping the common people quiet. In his early Jacobin days he had denounced it for that very reason.

Another great achievement which marks his imaginative

scope and his estimate of human nature was the institution of the Legion of Honour, a scheme for decorating Frenchmen with bits of ribbon which was admirably calculated to divert ambitious men from subversive proceedings.

And also Napoleon interested himself in Christian propaganda. Here is the Napoleonic view of the political uses of Christ, a view that has tainted all French missions from that time forth. "It is my wish to re-establish the institution for foreign missions; for the religious missionaries may be useful to me in Asia, Africa, and America, as I shall make them reconnoitre all the lands they visit. The sanctity of their dress will not only protect them, but serve to conceal their political and commercial investigations. The head of the missionary establishment shall reside no longer at Rome, but in Paris."

These are the ideas of a roguish merchant rather than a statesman. His treatment of education shows the same narrow vision, the same blindness to the realities of the dawn about him. Elementary education he neglected almost completely; he left it to the conscience of the local authorities, and he provided that the teachers should be paid out of the fees of the scholars; it is clear he did not want the common people to be educated; he had no glimmering of any understanding why they should be; but he interested himself in the provision of technical and higher schools because his state needed the services of clever, self-seeking, well-informed men. This was an astounding retrogression from the great scheme, drafted by Condorcet for the Republic in 1792, for a complete system of free education for the entire nation. Slowly but steadfastly the project of Condorcet comes true; the great nations of the world are being compelled to bring it nearer and nearer to realization, and the cheap devices of Napoleon pass out of our interest. As for the education of the mothers and wives of our race, this was the quality of Napoleon's wisdom: "I do not think that we need trouble ourselves with any plan of instruction for young females, they cannot be better brought up than by their mothers. Public education is not suitable for them,

because they are never called upon to act in public. Manners are all in all to them, and marriage is all they look to."

The First Consul was no kinder to women in the Code Napoleon. A wife, for example, had no control over her own property; she was in her husband's hands. This code was the work very largely of the Council of State. Napoleon seems rather to have hindered than helped its deliberations. He would invade the session without notice, and favour its members with lengthy and egotistical monologues, frequently quite irrelevant to the matter in hand. The Council listened with profound respect; it was all the Council could do. He would keep his councillors up to unearthly hours, and betray a simple pride in his superior wakefulness. He recalled these discussions with peculiar satisfaction in his later years, and remarked on one occasion that his glory consisted not in having won forty battles, but in having created the Code Napoleon. . . . So far as it substituted plain statements for inaccessible legal mysteries his Code was a good thing; it gathered together, revised and made clear a vast disorderly accumulation of laws, old and new. Like all his constructive work, it made for immediate efficiency, it defined things and relations so that men could get to work upon them without further discussion. It was of less immediate practical importance that it frequently defined them wrongly. There was no intellectual power, as distinguished from intellectual energy, behind this codification. It took everything that existed for granted. ("*Sa Majesté ne croit que ce qui est.*"<sup>1</sup>) The fundamental ideas of the civilized community and of the terms of human co-operation were in process of reconstruction all about Napoleon—and he never perceived it. He accepted a phase of change, and tried to fix it for ever. To this day France is cramped by this early nineteenth-century strait-waistcoat into which he clapped her. He fixed the status of women, the status of labourers, the status of the peasant; they all struggle to this day in the net of his hard definitions.

So briskly and forcibly Napoleon set his mind, hard, clear

<sup>1</sup> Gourgaud quoted by Holland Rose.

and narrow, to brace up France. That bracing up was only a part of the large egotistical schemes that dominated him. His imagination was set upon a new Caesarism. In 1802 he got himself made First Consul for life with the power of appointing a successor, and his clear intention of annexing Holland and Italy, in spite of his treaty obligations to keep them separate, made the Peace of Amiens totter crazily from the very beginning. Since his schemes were bound to provoke a war with England, he should, at any cost, have kept quiet until he had brought his navy to a superiority over the British navy. He had the control of great resources for ship-building, the British government was a weak one, and three or four years would have sufficed to shift that balance. But in spite of his rough experiences in Egypt, he had never mastered the importance of sea power, and he had not the mental steadfastness for a waiting game and long preparation. In 1803 his occupation of Switzerland precipitated a crisis, and war broke out again with England. The weak Addington in England gave place to the greater Pitt. The rest of Napoleon's story turns upon that war.

During the period of the Consulate, the First Consul was very active in advancing the fortunes of his brothers and sisters. This was quite human, very clannish and Corsican, and it helps us to understand just how he valued his position and the opportunities before him. Few of us can live without an audience, and the first audience of our childhood is our family; most of us to the end of our days are swayed by the desire to impress our parents and brothers and sisters. Few "letters home" of successful men or women display the graces of modesty and self-forgetfulness. A large factor in the making of Napoleon was the desire to amaze, astonish, and subdue the minds of the Bonaparte family, and their neighbours. He promoted his brothers ridiculously—for they were the most ordinary of men. The hungry Bonapartes were in luck. Surely all Corsica was open-mouthed! But one person who knew him well was neither amazed nor subdued. This was his mother. He sent her money to spend and astonish the neighbours; he exhorted her to make

a display, to live as became the mother of so marvellous, so world-shaking, a son. But the good lady, who had birched the Man of Destiny at the age of sixteen for grimacing at his grandmother, was neither dazzled nor deceived by him at the age of thirty-two. All France might worship him, but she had no illusions. She put by the money he sent her; she continued her customary economies. "When it is all over," she said, "you will be glad of my savings."

#### § 4

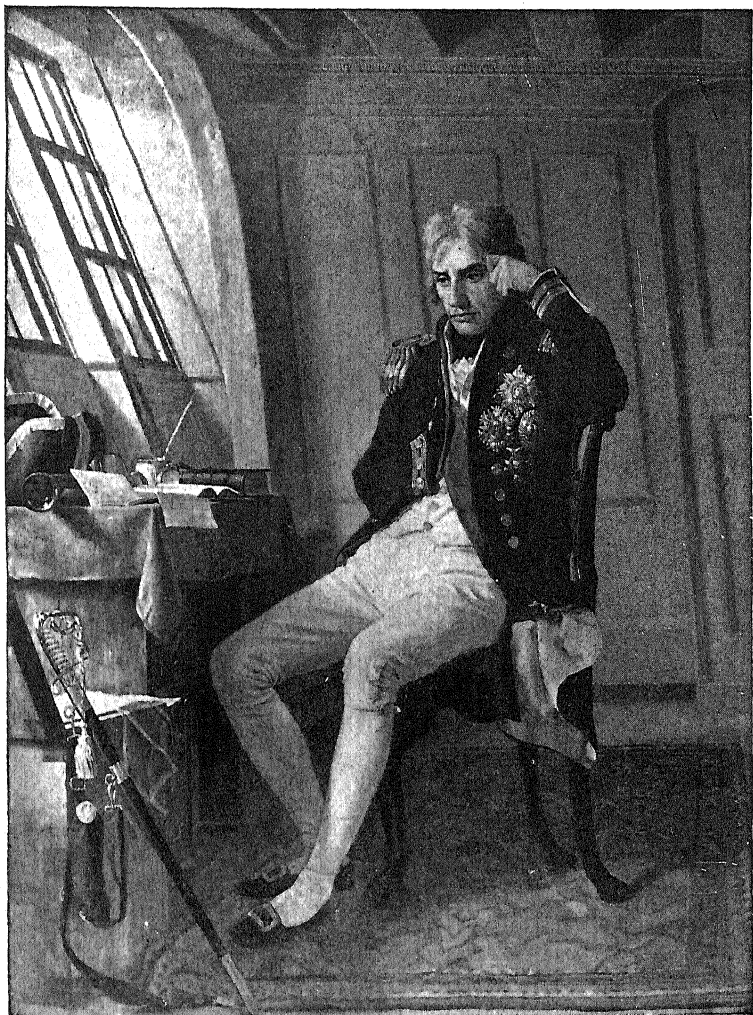
We will not detail the steps by which Napoleon became Emperor. His coronation was the most extraordinary revival of stale history that it is possible to imagine. Caesar was no longer the model; Napoleon was playing now at being Charlemagne. He was



*Napoleon as Emperor*

indeed at Rome, but in the cathedral of Notre-Dame in Paris; the Pope (Pius VII) had been brought from Rome to perform the ceremony; and at the climax Napoleon I seized the crown, waved the Pope aside, and crowned himself. The attentive reader of this Outline will know that a thousand years before this would have had considerable

significance; in 1804 it was just a ridiculous scene. In 1806 Napoleon revived another venerable antiquity, and, following still the footsteps of Charlemagne, crowned himself with the iron crown of Lombardy in the cathedral of Milan. All this mummery was to have a wonderful effect upon the imagination of western Germany, which was to remember that it too had been a part of the empire of Charlemagne.



#### NELSON BEFORE THE BATTLE OF TRAFALGAR

On October 21, 1805, the British fleet was closing with the French for the battle which finally destroyed all chance of Napoleon's invasion of England, and in which the Admiral lost his life, after giving naval history one of its great phrases: "England expects every man to do his duty." The painting by Charles Lucy (at the Metropolitan Museum of Art) shows Nelson in his cabin on the *Victory*, all battle preparations made, having prayed, and written a codicil to his will





The four daughter republics of France were now to become kingdoms; in 1806 he set up brother Louis in Holland and brother Joseph in Naples. But the story of the subordinate kingdoms he created in Europe, helpful though this free handling of frontiers was towards the subsequent unification of Italy and Germany, is too complex and evanescent for this *Outline*.

The pact between the new Charlemagne and the new Leo did not hold good for very long. In 1807 he began to bully the Pope, and in 1811 he made him a close prisoner at Fontainebleau. There does not seem to have been much reason in these proceedings. They estranged all Catholic opinion, as his coronation had estranged all liberal opinion. He ceased to stand either for the old or the new. The new he had betrayed; the old he had failed to win. He stood at last for nothing but himself.

There seems to have been as little reason in the foreign policy that now plunged Europe into a fresh cycle of wars. Having quarrelled with Great Britain too soon, he (1804) assembled a vast army at Bolougne for the conquest of England, regardless of the naval situation. He even struck a medal and erected a column at Boulogne to commemorate the triumph of this projected invasion. In some "Napoleonic" fashion the British fleet was to be decoyed away, this army of Boulogne was to be smuggled across the Channel on a flotilla of rafts and boats, and London was to be captured before the fleet returned. At the same time his aggressions in south Germany forced Austria and Russia steadily into a coalition with Britain against him. In 1805 two fatal blows were struck at any hope he may have entertained of ultimate victory, by the British Admirals Calder and Nelson. In July the former inflicted a serious reverse upon the French fleet in the Bay of Biscay; in October the latter destroyed the joint fleets of France and Spain at the battle of Trafalgar. Nelson died splendidly upon the *Victory*, victorious. Thereafter Napoleon was left with Britain in pitiless opposition, unattainable and unconquerable, able to

In 1808 he committed a very serious blunder. Spain was his abject ally, completely under his control, but he saw fit to depose its Bourbon king in order to promote his brother Joseph from the crown of the two Sicilies. Portugal he had already conquered, and the two kingdoms of Spain and Portugal were to be united. Thereupon the Spanish arose in a state of patriotic fury, surrounded a French army at Baylen, and compelled it to surrender. It was an astonishing break in the French career of victory.

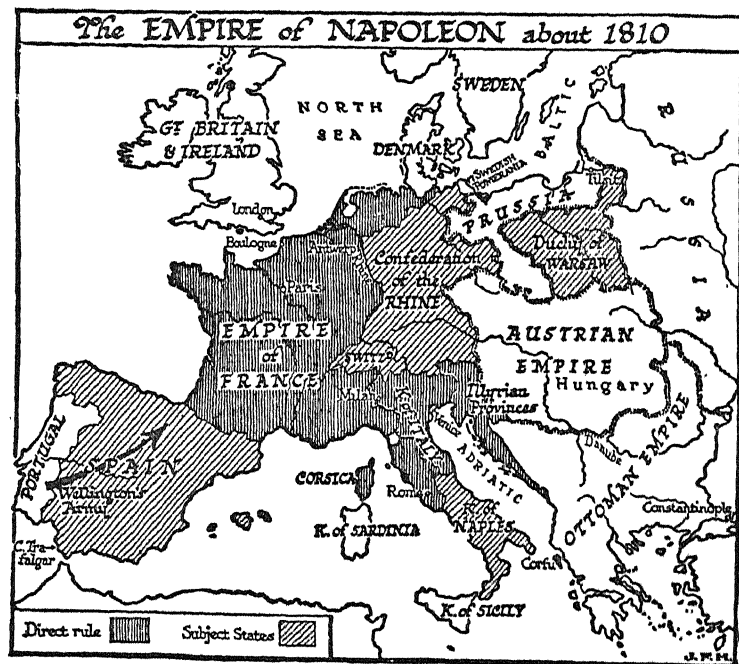
The British were not slow to seize the foothold this insurrection gave them. A British army under Sir Arthur Wellesley (afterwards the Duke of Wellington) landed in Portugal, defeated the French at Vimiero, and compelled them to retire into Spain. The news of these reverses caused a very great excitement in Germany and Austria, and the Tzar assumed a more arrogant attitude towards his ally.

There was another meeting of these two potentates at Erfurt, in which the Tzar was manifestly less amenable to the dazzling tactics of Napoleon than he had been. Followed four years of unstable "ascendancy" for France, while the outlines on the map of Europe waved about like garments on a clothesline on a windy day. Napoleon's personal empire grew by frank annexations to include Holland, much of western Germany, much of Italy, and much of the eastern Adriatic coast. But one by one the French colonies were falling to the British, and the British armies in the Spanish peninsula, with the Spanish auxiliaries, slowly pressed the French northward. All Europe was getting very weary of Napoleon and very indignant with him; his antagonists now were no longer merely monarchs and ministers, but whole peoples also. The Prussians, after the disaster of Jena in 1807, had set to work to put their house in order. Under the leadership of Freiherr von Stein they had swept aside their feudalism, abolished privilege and serfdom, organized popular education and popular patriotism, accomplished, in fact, without any internal struggle nearly everything that France had achieved in 1789. By 1810 a new Prussia existed, the nucleus of a new Germany.

And now Alexander, inspired it would seem by dreams of world ascendancy even crazier than his rival's was posing again as the friend of liberty. In 1810 fresh friction was created by Alexander's objection to Napoleon's matrimonial ambitions. For he was now divorcing his old helper Josephine, because she was childless, in order to secure the "continuity" of his "dynasty." Napoleon, thwarted of a Russian princess, snubbed indeed by Alexander, turned to Austria, and married the arch-duchess Marie Louise. The Austrian statesmen read him aright. They were very ready to throw him their princess. By that marriage Napoleon was captured for the dynastic system; he might have been the maker of a new world, he preferred to be the son-in-law of the old.

In the next two years this adventurer's affairs crumbled apace. Nobody believed in his pretensions any more. He was no longer the leader and complement of the revolution; no longer the embodied spirit of a world reborn; he was just a new and nastier sort of autocrat. He had estranged all free-spirited men, and he had antagonized the church. Kings and Jacobins were at one, when it came to the question of his overthrow. Only base and self-seeking people supported him, because he seemed to have the secret of success. Britain was now his inveterate enemy, Spain was blazing with a spirit that surely a Corsican should have understood; it needed only a breach with Alexander I to set this empire of bluff and stage scenery swaying toward its downfall. The quarrel came. Alexander's feelings for Napoleon had always been of a very mixed sort; he envied Napoleon as a rival, and despised him as an underbred upstart. Moreover, there was a kind of vague and sentimental greatness about Alexander; he was given to mystical religiosity, he had the conception of a mission for Russia and himself to bring peace to Europe and the world—by destroying Napoleon. In that respect he had an imaginative greatness Napoleon lacked. But bringing peace to Europe seemed to him quite compatible with the annexation of Finland, of most of Poland, and of great portions of the Turk-

ish empire. This man's mind moved in a luminous fog. And particularly he wanted to resume trading with Britain, against which Napoleon had set his face. For all the trade of Germany had been dislocated and the mercantile classes embittered by the Napoleonic "Continental System," which was to ruin Britain by excluding British goods from every



country in Europe. Russia had suffered more even than Germany.

The breach came in 1811, when Alexander withdrew from the "Continental System." In 1812 a great mass of armies, amounting altogether to 600,000 men, began to move towards Russia under the supreme command of the new emperor. About half of this force was French; the rest was drawn from the French allies and subject peoples. It was

a conglomerate army like the army of Darius or the army of Kavadh. The Spanish war was still going on; Napoleon made no attempt to end it. Altogether, it drained away a quarter of a million men from France. He fought his way across Poland and Russia to Moscow before the winter—for the most part the Russian armies declined battle—and even before the winter closed in upon him his position became manifestly dangerous. He took Moscow, expecting that this would oblige Alexander to make peace. Alexander would not make peace, and Napoleon found himself in much the same position as Darius had been in 2,300 years before in South Russia. The Russians, still unconquered in a decisive battle, raided his communications, wasted his army—disease helped them; even before Napoleon reached Moscow 150,000 men had been lost. But he lacked the wisdom of Darius, and would not retreat. The winter remained mild for an unusually long time—he could have escaped; but instead he remained in Moscow, making impossible plans, at a loss. He had been marvellously lucky in all his previous flounderings; he had escaped undeservedly from Egypt, he had been saved from destruction in Britain by the British naval victories; but now he was in the net again, and this time he was not to escape. Perhaps he would have wintered in Moscow, but the Russians smoked him out; they set fire to and burnt most of the city.

It was late October, too late altogether, before he decided to return. He made an ineffectual attempt to break through to a fresh line of retreat to the south-west, and then turned the faces of the survivors of his Grand Army towards the country they had devastated in their advance. Immense distances separated them from any friendly territory. The winter was in no hurry. For a week the Grand Army struggled through mud; then came sharp frosts, and then the first flakes of snow, and then snow and snow. . . .

Slowly discipline dissolved. The hungry army spread itself out in search of supplies until it broke up into mere bands of marauders. The peasants, if only in self-defence,

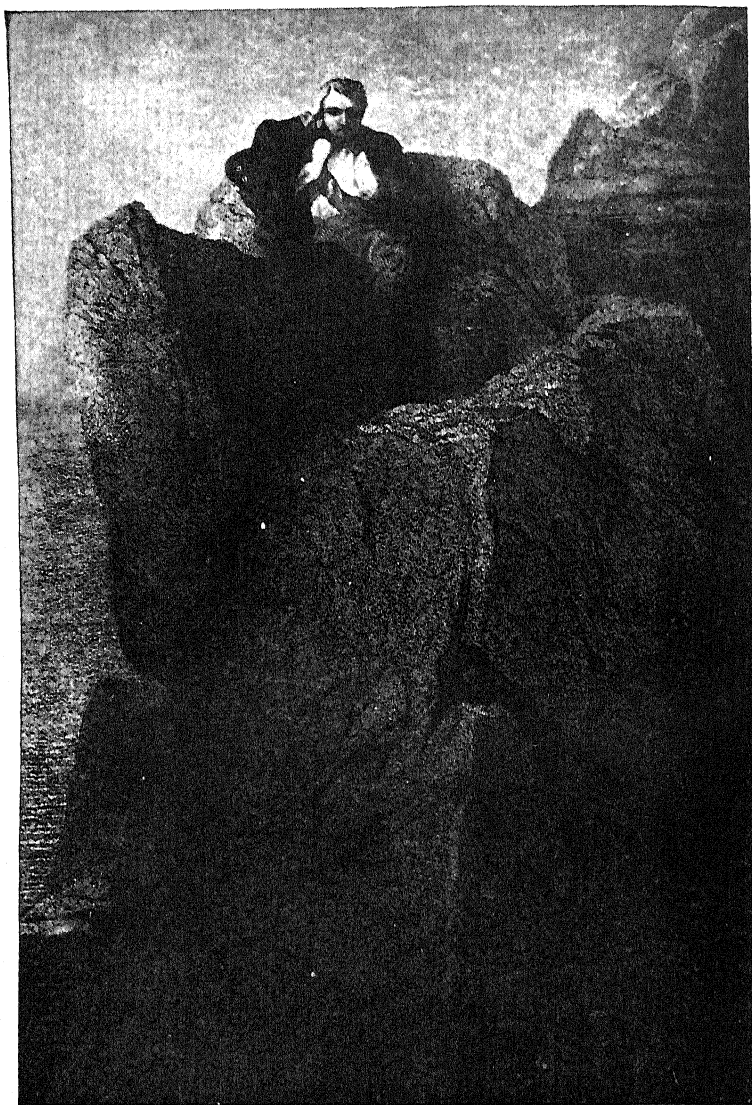




### CORONATION OF NAPOLEON

At the supreme moment in the Cathedral of Nôtre Dame, December 2, 1804, Napoleon took the crown from the Pope and placed it on his own head. (From the painting by David)





#### NAPOLÉON AT ST. HELENA

On this little island, 1,200 miles off the African coast, the conqueror of Europe spent his last five and a half years. Some thousands of Boer prisoners were kept here, 1899-1902, and it was also the place of exile of several Zulu chiefs

forms, endless dinners and balls, a mighty flow of bright anecdotes and sparkling wit. Whether the two million dead men upon the battle-fields laughed at the jokes, admired the assemblies, and marvelled at the diplomatists is beyond our knowledge. It is to be hoped their poor wraiths got something out of the display. The brightest spirit of the gathering was a certain Talleyrand, one of Napoleon's princes, a very brilliant man indeed, who had been a pre-revolutionary cleric, who had proposed the revolutionary confiscation of the church estates, and who was now for bringing back the Bourbons.

The Allies, after the fashion of Peace Congresses, frittered away precious time in more and more rapacious disputes; the Bourbons returned to France. Back came all the remainder of the émigrés with them, eager for restitution and revenge. One great egotism had been swept aside—only to reveal a crowd of meaner egotists. The new king was the brother of Louis XVI; he had taken the title of Louis XVIII very eagerly so soon as he learnt that his little nephew (Louis XVII) was dead in the Temple. He was gouty and clumsy, not perhaps ill-disposed, but the symbol of the ancient system; all that was new in France felt the heavy threat of reaction that came with him. This was no liberation, only a new tyranny, a heavy and inglorious tyranny instead of an active and splendid one. Was there no hope for France but this? The Bourbons showed particular malice against the veterans of the Grand Army, and France was now full of returned prisoners of war, who found themselves under a cloud. Napoleon had been packed off to a little consolation empire of his own, upon the island of Elba. He was still to be called Emperor and keep a certain state. The chivalry or whim of Alexander had insisted upon this treatment of his fallen rival. The Habsburgs, who had toadied to his success, had taken away his Habsburgs empress—she went willingly enough—to Vienna, and he never saw her again.

After eleven months at Elba Napoleon judged that France had had enough of the Bourbons; he contrived to evade the

British ships that watched his island, and reappeared at Cannes in France for his last gamble against fate. His progress to Paris was a triumphal procession; he walked on white Bourbon cockades. For a hundred days, "The Hundred Days," he was master of France again.

His return created a perplexing position for any honest Frenchman. On the one hand there was this adventurer who had betrayed the republic; on the other the dull weight of old kingship restored. The allies would not hear of any further experiments in republicanism; it was the Bourbons or Napoleon. Is it any wonder that on the whole France was with Napoleon? And he came back professing to be a changed man; there was to be no more despotism; he would respect the constitutional régime. . . .

He gathered an army, he made some attempts at peace with the allies; when he found these efforts ineffectual, he struck swiftly at the British, Dutch, and Prussians in Belgium, hoping to defeat them before the Austrians and Russians could come up. He did very nearly manage this. He beat the Prussians at Ligny, but not sufficiently; and then he was hopelessly defeated by the tenacity of the British under Wellington at Waterloo (1815), the Prussians, under Blücher, coming in on his right flank as the day wore on. Waterloo ended in a rout; it left Napoleon without support and without hope. France fell away from him again. Everyone who had joined him was eager now to attack him, and so efface that error. A provisional government in Paris ordered him to leave the country; was for giving him twenty-four hours to do it in.

He tried to get to America, but Rochefort, which he reached, was watched by British cruisers. France, now disillusioned and uncomfortably royalist again, was hot in pursuit of him. He went aboard a British frigate, the *Bellerophon*, asking to be received as a refugee, but being treated as a prisoner. He was taken to Plymouth, and from Plymouth straight to the lonely tropical island of St. Helena.

There he remained until his death from cancer in 1821, devoting himself chiefly to the preparation of his memoirs,

which were designed to exhibit the chief events of his life in a misleading and attractive light and to minimise his worst blunders. One or two of the men with him recorded his conversations and set down their impressions of him.

These works had a great vogue in France and Europe. The Holy Alliance of the monarchs of Russia, Austria, and Prussia (to which other monarchs were invited to adhere) laboured under the delusion that in defeating Napoleon they had defeated the Revolution, turned back the clock of fate, and restored Grand Monarchy—on a sanctified basis for evermore. The cardinal document of the scheme of the Holy Alliance is said to have been drawn up under the inspiration of the Baroness von Krüdener, who seems to have been a sort of spiritual director to the Russian emperor. It opened, "In the name of the Most Holy and Indivisible Trinity," and it bound the participating monarchs "regarding themselves towards their subjects and armies as fathers of families," and "considering each other as fellow-countrymen," to sustain each other, protect true religion, and urge their subjects to strengthen and exercise themselves in Christian duties. Christ, it was declared, was the real king of all Christian peoples, a very Merovingian king, one may remark, with these reigning sovereigns as his mayors of the palace. The British king had no power to sign this document, the pope and the sultan were not asked; the rest of the European monarchs, including the king of France, adhered. But the king of Poland did not sign because there was no king in Poland; Alexander, in a mood of pious abstraction, was sitting on the greater part of Poland. The Holy Alliance never became an actual legal alliance of states; it gave place to a real league of nations, the Concert of Europe, which France joined in 1818, and from which Britain withdrew in 1822.

There followed a period of peace and dull oppression in Europe over which Alexander brooded in attitudes of orthodoxy, piety, and unquenchable self-satisfaction. Many people in those hopeless days were disposed to regard even Napoleon with charity, and to accept his claim that in some inexplicable way he had, in asserting himself, been as-

serting the revolution and France. A cult of his as of something mystically heroic grew up after his death.

## § 6

For nearly forty years the idea of the Holy Alliance, the Concert of Europe which arose out of it, and the series of congresses and conferences that succeeded the concert, kept an insecure peace in war-exhausted Europe. Two main things prevented that period from being a complete social and international peace, and prepared the way for the cycle of wars between 1854 and 1871. The first of these was the tendency of the royal courts concerned, towards the restoration of unfair privilege and interference with freedom of thought and writing and teaching. The second was the impossible system of boundaries drawn by the diplomatists of Vienna.

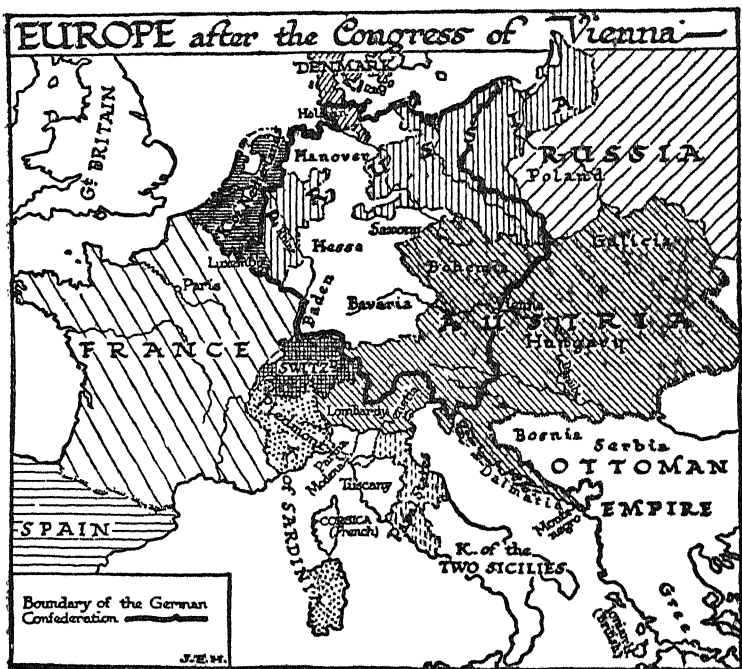
The obstinate disposition of monarchy to march back towards past conditions was first and most particularly manifest in Spain. Here even the Inquisition was restored. Across the Atlantic the Spanish colonies had followed the example of the United States and revolted against the European Great Power system, when Napoleon set up his brother Joseph from the Spanish throne in 1810. The Washington of South America was General Bolivar. Spain was unable to suppress this revolt, it dragged on much as the United States War of Independence had dragged on, and at last the suggestion was made by Austria in accordance with the spirit of the Holy Alliance, that the European monarchs should assist Spain in this struggle. This was opposed by Britain in Europe, but it was the prompt action of President Monroe of the United States in 1823 which conclusively warned off this projected monarchist restoration. He announced that the United States would regard any extension of the European system in the western Hemisphere as a hostile act. Thus arose the Monroe Doctrine, which has kept the Great Power system out of America for nearly a hundred years, and permitted the new states of Spanish

America to work out their destinies along their own lines. But if a Spanish monarchism lost its colonies, it could at least, under the protection of the Concert of Europe, do what it chose in Europe. A popular insurrection in Spain was crushed by a French army in 1823, with a mandate from a European congress, and simultaneously Austria suppressed a revolution in Naples. The moving spirit in this conspiracy of governments against peoples was the Austrian statesman, Metternich.

In 1824 Louis XVIII died, and was succeeded by that Count d'Artois whom we have seen hovering as an émigré on the French frontiers in 1789; he took the title of Charles X. Charles set himself to destroy the liberty of the press and universities, and to restore absolute government; the sum of a billion francs was voted to compensate the nobles for the château burnings and sequestrations of 1789. In 1830 Paris rose against this embodiment of the ancient régime, and replaced him by the son of that sinister Philip, Duke of Orleans, whose execution was one of the brightest achievements of the Terror. The other continental monarchies, in face of the open approval of the revolution by Great Britain and a strong liberal ferment in Germany and Austria, did not interfere in this affair. After all, France was still a monarchy. This young man, Louis Philippe (1830-48), remained the constitutional king of France for eighteen years. He went down in 1848, a very eventful year for Europe, of which we shall tell in the next chapter.

Such were the uneasy swayings of the peace of the Congress of Vienna, which were provoked by the reactionary proceedings to which, sooner or later, all monarchist courts seem by their very nature to gravitate. The stresses that arose from the unscientific map-making of the diplomatists gathered force more deliberately, but they were even more dangerous to the peace of mankind. It is extraordinarily inconvenient to administer together the affairs of peoples speaking different languages and so reading different literatures and having different general ideas, especially if those differences are exacerbated by religious disputes. Only some strong mutual

interest such as the common defensive needs of the Swiss mountaineers, can justify a close linking of peoples of dissimilar languages and faiths; and even in Switzerland there is the utmost local autonomy. Ultimately, when the Great Power tradition is certainly dead and buried, those Swiss populations may gravitate towards their natural affinities in Germany, France, and Italy. When, as in Macedonia,

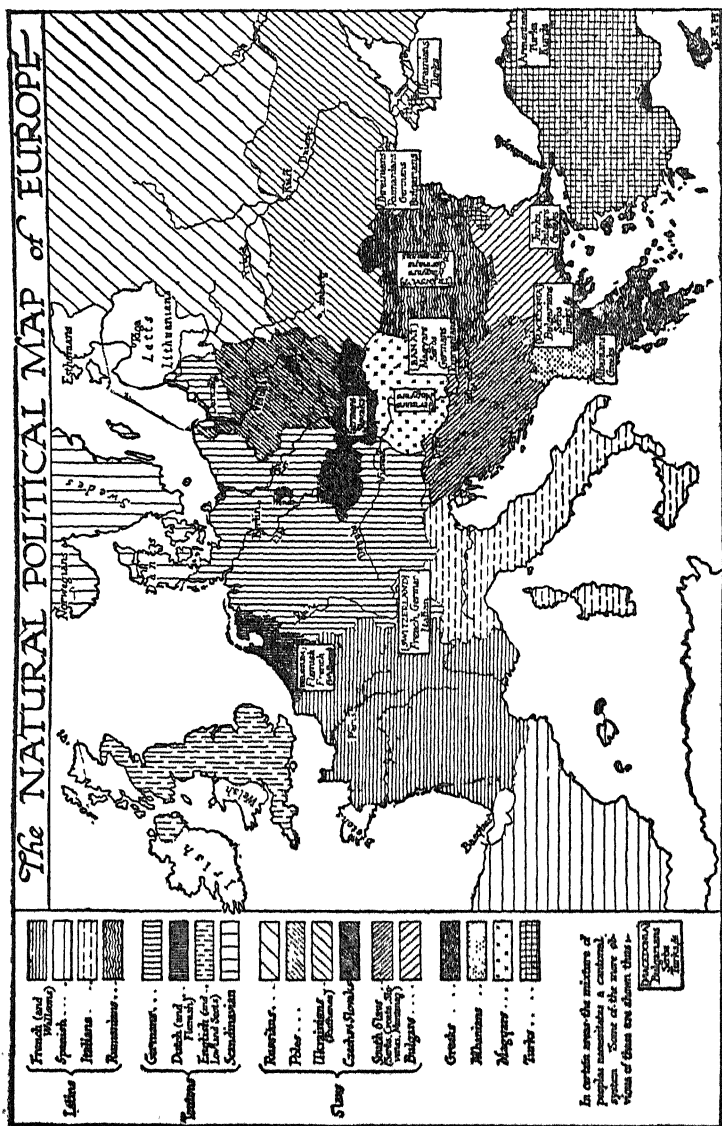


populations are mixed in a patchwork of villages and districts, the cantonal system is imperatively needed. But if the reader will look at the map of Europe as the Congress of Vienna drew it, he will see that this gathering seems almost as if it had planned the maximum of local exasperation. It destroyed the Dutch Republic, quite needlessly, it lumped together the Protestant Dutch with the French-speaking

Catholics of the old Spanish (Austrian) Netherlands, and set up a kingdom of the Netherlands. It handed over not merely the old republic of Venice, but all of North Italy as far as Milan to the German-speaking Austrians. French-speaking Savoy it combined with pieces of Italy to restore the kingdom of Sardinia. Austria and Hungary, already a sufficiently explosive mixture of discordant nationalities, Germans, Hungarians, Czecho-Slovaks, Jugo-Slavs, Roumanians, and now Italians, was made still more impossible by confirming Austria's Polish acquisitions of 1772 and 1795. The Polish people, being catholic and republican-spirited, were chiefly given over to the less civilized rule of the Greek-orthodox Tsar, but important districts went to Protestant Prussia. The Tsar was also confirmed in his acquisition of the entirely alien Finns. The very dissimilar Norwegian and Swedish peoples were bound together under one king. Germany, the reader will see, was left in a particularly dangerous state of muddle. Prussia and Austria were both partly in and partly out of a German confederation, which included a multitude of minor states. The King of Denmark came into the German confederation by virtue of certain German-speaking possessions in Holstein. Luxembourg was included in the German Confederation, though its ruler was also king of the Netherlands, and though many of its peoples talked French. Here was a crazy tangle, an outrage on the common sense of mankind, a preposterous disregard of the fact that the people who talk German and base their ideas on German literature, the people who talk Italian and base their ideas on Italian literature, and the people who talk Polish and base their ideas on Polish literature, will all be far better off and most helpful and least obnoxious to the rest of mankind if they conduct their own affairs in their own idiom within the ring-fence of their own speech. Is it any wonder that one of the most popular songs in Germany during this period declared that wherever the German tongue was spoken, there was the German Fatherland?

Even to-day men are still reluctant to recognize that areas





of government are not matters for the bargaining and interplay of tsars and kings and foreign offices. There is a *natural and necessary political map of the world* which transcends these things. There is a *best way possible* of dividing any part of the world into administrative areas, and a best possible kind of government for every area, having regard to the speech and race of its inhabitants, and it is the common concern of all men of intelligence to secure those divisions and establish those forms of government quite irrespective of diplomacies and flags, "claims" and melodramatic "loyalties" and the existing political map of the world. The natural political map of the world insists upon itself. It heaves and frets beneath the artificial political map like some misfitted giant. In 1830 French-speaking Belgium, stirred up by the current revolution in France, revolted against its Dutch association in the kingdom of the Netherlands. The Powers, terrified at the possibility of a republic and of annexation to France, hurried in to pacify this situation, and gave the Belgians a monarch from that rich breeding-ground of monarchs, Germany, Leopold I of Saxe-Coburg Gotha. There were also ineffectual revolts in Italy and Germany in 1830, and a much more serious one in Russian Poland. A republican government held out in Warsaw for a year against Nicholas I (who succeeded Alexander in 1825), and was then stamped out of existence with great violence and cruelty. The Polish language was banned, and the Greek Orthodox church was substituted for the Roman Catholic as the State religion. . . .

An outbreak of the natural political map of the world, which occurred in 1821, ultimately secured the support of England, France, and Russia. This was the insurrection of the Greeks against the Turks. For six years they fought a desperate war, while the governments of Europe looked on. Liberal opinion protested against this inactivity; volunteers from every European country joined the insurgents, and at last Britain, France, and Russia took joint action. The Turkish fleet was destroyed by the French and the English at the Battle of Navarino (1827), and the Tsar invaded Turkey.

By the treaty of Adrianople (1829) Greece was declared free, but she was not permitted to resume her ancient republican traditions. There is a sort of historical indecency in a Greek monarchy. But a Greek republic would have been dangerous to all monarchy in a Europe that fretted under the ideas of the Holy Alliance. A German king was found for Greece, one Prince Otto of Bavaria, slightly demented, but quite royal—he gave way to delusions about his divine right, and was ejected in 1862—and Christian governors were set up in the Danubian provinces (which are now Roumania) and Serbia (a part of the Jugo-Slav region). This was a partial concession to the natural political map, but much blood had still to run before the Turk was altogether expelled from these lands.

A little later the natural political map was to assert itself in Italy and Germany.

























12  
3992